# On the Selectivity of Photo-catalytic Reduction of Carbon dioxide

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### 1 Abstract

The main issues in the photo-catalytic reduction of carbon dioxide can be listed as follows:

- (i) Why this process has not reached the commercial level in spite of intense research?
- (ii) Why the desired selectivity in the photo-catalytic reduction of carbon dioxide cannot be achieved?
- (iii) What is the mode of activation of carbon dioxide is favourable for the production of value added chemicals?
- (iv) Among the photo-catalytic reduction of carbon dioxide which can be the desired product and why this selection?
- (v) In the photo-catalytic reduction of carbon dioxide the band gap or photon absorption cross section is important and why this selection?

These issues are addressed in this article

## 2 Introduction

Carbon dioxide mainly formed from the combustion of fossil fuels have been considered as a greenhouse gas and hence its release has become a topic of concern for environmental issues. For over a decade now, there are concerted attempts to either limit the emission of carbon dioxide or converting atmospheric carbon dioxide into useful products. This is evident from the number of publications dealing with carbon dioxide conversion to useful products of hydrocarbons.[1-8] It is obvious that this field is most fertile and intense research is being pursued all over the world. It is therefore, necessary a periodical review of the field is available. Photo-catalytic reduction of carbon dioxide can be carried out either in homogeneous phase or in heterogeneous conditions and the heterogeneous photo-catalytic aspects are considered in view of the fact that this process has the chance of commercialization. In essence, photo-catalytic reduction of carbon dioxide is carreied out mostly on semiconductor surfaces involving the steps of adsorption of substrate photon absorption by the semiconductor, electron hole separation and migration and utilisation in the chemical transformation and the desorption of the products.

Under this title there are a number of publications [9-12]. The debate in scientific circle is intense on this topic. This aspect was raised by us under the title "Carbon dioxide: Matter of Pollution or Profit?" in the year 2007 itself.[13].

The field of conversion of carbon dioxide is nascent and fertile. The main issues is to get selective reduction products and establishing conditions for optimum catalytic activity. Second issue is selection of appropriate activation mode, like thermal, photo-catalytic mode, Electro-chemical mode or others. In all these aspects, there is intense research is going on but making the process commercially viable is another challenging issue.

The motivation for compiling this article is to bring the attention of practicing scientist to the issues involved in converting the waste carbon dioxide into a wealth. In order to make this exercise more mean-

ingful, we would like to list out the issues involved in this exercise, and also to attempt to point out the challenges involved.

Various methods of carbon dioxide removal (CDR) are being pursued in response to the climate crisis, but they are mostly not proven at scale. Climate experts are divided over whether CDR is a necessary requirement or a dangerous distraction from limiting emissions.[14]. In spite of these controversies, the efforts to value add to this so called waste product is going on. However, the limited success, leads to frustrations and doubts on achieving success in this endeavour. One of the conversion technologies for the carbon dioxide into worthwhile chemicals through photo-catalysis has been a matter of attraction for the last four decades among the scientific community. However, the conversion rate has not yet been achieved to the desired efficiency due to the inevitable barriers associated with the process making it as a Holy Grail. Major problem associated is finding the appropriate material, hence efficient material should be engineered to overcome the high energy barrier associated with the reduction process. Product analysis as well as efficiency determination are highly susceptible to errors. Because of these serious limitations, these efforts have been looked upon suspicion and the initial enthusiasm is slowly fading away.[15].

## 3 Adsorption Modes of carbon dioxide

The molecule has two centres namely C and O atoms in the molecule. The adsorption can take place either in one of these atoms in the molecule or both. Even on metallic surfaces the surface adsorbed oxygen is known to function as the adsorption centre for carbon dioxide. In short the possible adsorption modes can be generalized and are shown in Fig,1, There can be many other possible modes other than these but however, these can be grouped in these models. The common modes of adsorption modes are shown in Fig.1.

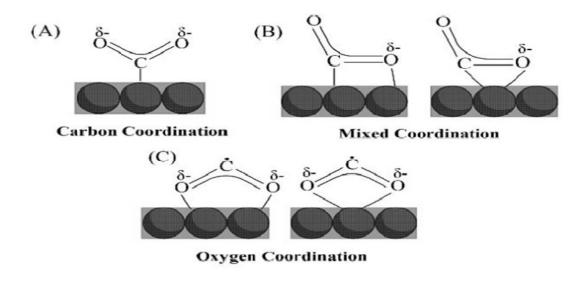


Figure 1: Adsorption modes of carbon dioxide on surfaces

In addition, there can be other modes of adsorption of carbon dioxide on oxide surfaces. Some of the possible modes of adsorption of carbon dioxide on oxide surfaces are shown in Fig.2.

The schematic representations shown in Fig.1 and 2 are models and the actual state of adsorption and their energetics may be variable and hence the reactivity of adsorbed carbon dioxide can be varying depending on the nature of adsorption site and also the orientation of adsorbed carbon dioxide.

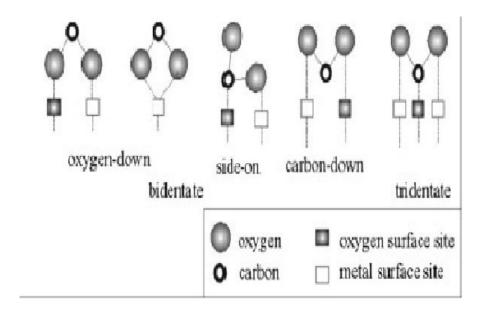


Figure 2: Adsorption modes of carbon dioxide on oxide surfaces

Depending on the mode of adsorption of carbon dioxide and the centres involved, the subsequent reduction of the molecule will take place. Essentially, the  $CO^vsO^andCO^vsH^+$  binding states govern the reduction product obtained. In the gas phase, the main products are methane, methanol and also Fischer Tropsh process products while in aqueous phase, the main products CO, COOH and other variety of products as shown in Figure 3.

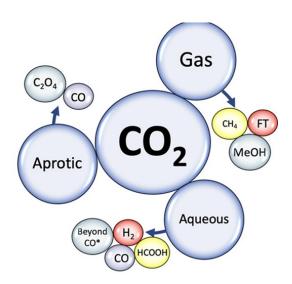


Figure 3: Various products from the reduction of carbon ddioxide in different phases - reproduced from ref.16

It is necessary to list the product pattern that has been reported by the photo-catalytic reduction of carbon dioxide. Typical data assembled from literature are given in Table 1. The values of reduction potentials and the main uses of each of the products are also included in this Tabulation. The reactions shown are only typical ones and the list is not complete but only indicative.

Table 1 Common products of photo-catalytic  $CO_2$  reduction

Product	Half reaction of CO <sub>2</sub>	Redoxx	main uses
Troduct	Trail reaction of $CO_2$	Potential	mam uses
		(V)	
CO	$CO_2 + 2e + 2H^+ \rightarrow CO + H_2$	0.52	Fischer Tropsch synthesis,
		0.02	carbonylation of alkenes,
HCOO-	$CO_2 + 2e + 2H^+ \rightarrow HCOO-$	0.41	Preservative and antibacterial agent
			leather and textile industry
			in livestock feed,
НСНО	$\mathrm{CO_2} + 4\mathrm{r} + H^+ \rightarrow \mathrm{HCHO} + \mathrm{H_2O}$	-0.48	Production of resins
			and polyfunctional alcohols
CH <sub>3</sub> OH	$CO_2 + 6e + 6H^+ \rightarrow$	0.38	gasoline additive, fuel
	$CH_3OH + H_2O$		production of HCHO, acetic acid
			and methyle tert butyl ester
СН	$CO_2 + 8e + 6H^+ \rightarrow$	0.24	reforming to syngas, fuel
	$CH_4 + 2H_2O$		
$C_@O_4^-$	$2\text{CO}_2 + 2\text{e} \rightarrow \text{C}_2\text{O}_4^{2-}$	1.00	cleaning ,Bleaching
CH <sub>3</sub> COO-	$2\text{CO}2+8\text{e}+7\text{H}+\rightarrow\text{CH}3\text{COO}$	0.29	solvent, production of ethyl acetate,
	+2H2O		acetic anhydride and ester
CH <sub>3</sub> CHO	$2CO_2 + 10e + 10H^+ \rightarrow$	0.36	Production of 2-ethyl-1-octanol
	$CH_3CHO + 3H_2O$		and pentaerythritol
$C_2H_5OH$	$2CO_2 + 12e + 12H^+ \rightarrow$	0.33	fuel, solvent, alcoholic beverage
	$C_2H_5OH + 3H_2O$		antisecptic
$C_2H_4$	$2CO_2 + 12e + !@H^+ \rightarrow$	0.35	production of ethylene oxide
	$C_2H_4 + H_2O$		ethylne dichloride
			ethylbenzene and polyethylene
$C_2H_6$	$2CO_2 + 14e + 14H^+ \rightarrow$	0.27	production of ethylene
	$C_{\odot}H_6 + 4H_2O$		power generation
			cryogenic referigeration

Values of standard redox potentials are relative to standard hydrogen electrode (SHE) at pH=7. Data given in table are from reference [17].

In general, a photo-catalytic process must satisfy two thermodynamic requirements. Namely, the redox potential of reduction half-reaction must be more positive than the Conduction band minimu (CBM), and the redox potential of oxidation half-reaction must be more negative than the valence band maxium (VBM). In addition, from the perspective of reaction kinetics, there must be catalytic sites in which CO2 activation takes place.

## 4 Photo-catalytic Reduction of Carbon dioxide

Photo-catalytic reduction of carbon dioxide has several advantages like mild operation condition, small energy consumption and ready availability when solar radiation is employed as the source. Therefore, photo-catalytic CO<sub>2</sub> reduction has received enormous research interests since the pioneering work to drive CO<sub>2</sub> reduction into HCHO and CH<sub>3</sub>OH17 by illuminating the aqueous suspensions of various semiconductor powders including TiO<sub>2</sub>, ZnO, CdS, GaP and SiC.

In the following sections let us consider only the heterogeneous process though it ca also be applicable to homogeneous process. Heterogeneous processes are typically based on semiconductor or plasmonic metal solid photo-catalysts. The Photo-catalytic CO<sub>2</sub> reduction over a semiconductor photo-catalyst undergoes at least three mechanistic steps5,18. First, light with photon energy equal to or greater than the semi-conductor energy band gap is absorbed, exciting the electrons from the Valence band maximum (VBM) to the conduction band minimum (CBM), while leaving holes at the VBM. Next, the photo-generated

electrons and holes transfer to the catalyst surface (through co-catalyst if applicable). Finally, adsorbed CO<sub>2</sub> is reduced by photo-generated electrons and the adsorbed reductant is oxidized by photo-generated holes. Ideally, CO<sub>2</sub> reduction is accompanied by water oxidation or some other value-added oxidations. Moreover, such a process must satisfy two thermodynamic requirements. The redox potential of reduction half-reaction must be more positive than the CBM, and the redox potential of oxidation half-reaction must be more negative than the VBM. In addition, from the perspective of reaction kinetics, there must be catalytic sites in which CO<sub>2</sub> activation takes place.

There are a variety of configurations in which this reaction has been attempted to perform. when semiconducting combinations are used there are various Z or s schemes, various modifications like doping, co-catalyst and other agents have been attempted. Still there appears to be a large barrier in pushing this reaction to commercial level. These studies show that the difficulty faced in making this reaction commercially viable does not depend on the catalyst selection but the real reason is still not known. Another aspect is the dissocution of carbon dioxide in the medium in which the photo-catalytic reaction is carried out. This is controlled by the solubility limit and in most often used solvent, its solubility is small (for example 0,145 g per 100 ml at 273 K Other than these, let us list other barriers that have to be crossed in realizing the reaction at the level of commercially viable.

- [1] The capture of carbon dioxide: This is an intensively pursued field since gas phase carbon dioxide is dispersed. Various solvent media are proposed and being examined. this will continue to a exercise which will receive attention for some period now.
- [2]Among the various options available for the conversion of carbon dioxide to value added chemicals, photo-catalytic or electro-catalytic methods seem to be promising as of today. However, achieving the required selectivity is an issue, there can be various reasons for this aspect, but the main parameters is the surface activation of carbon dioxide and the closeness of the redox potential values for the various products.
- [3] Photo-catalytic reaction for studying carbon dioxide reaction on commercial scale requires an appropriate reactor configuration. Even, the laboratory scale reactor is not standard so that the reproducibility could be established unequivocally.
- . [4] The selectivity issue has been considered. It appears that in this photo-catalytic reduction of carbon dioxide the selectivity should not be considered as the primary condition. value addition alone should be emphasized. Secondly, the utilisation of the waste product to some useful chemical must be considered at face value.
- [5] Optimum catalytic activity should not also be the criterion. among the available catalyst systems, one must make use and get some value addition and also should be satisfied with the environmental benefits derived

The main issue in the utilization of carbon dioxide is the activation of this substrate. The term activation in this context means how the reactivity of the molecule is altered by an external source probe like photolysis, thermolysis, molecular interaction. In the use of these probes the molecular structure is altered, but in photo-catalysis the energy state of the molecule is altered and LUMO levels is occupied by photoexcitation. In this process of photoexcitation, the bonding scheme of the molecule is only altered and possibly the bonding scheme is altered. The bonding state within the molecule is altered as a result of photo-excitation. In this sense, this activation is preferred since it retains all the possibilities of transformation even after activation even though there may be some preference. That is one of the reasons the importance of selectivity is important in the conversion of carbon dioxide. Therefore photo-catalytic reduction of carbon dioxide is however, yet is useful and the product obtained is value added substrate. In this sense, photo-catalytic reduction of carbon dioxide is one of the ways to make carbon dioxide as a useful molecule and does not contribute to waste or environmental degradation. Another advantage in photo-catalytic reduction is the process simplicity and less energy consumption. In photo-excitation the molecular geometry can be retained but in other modes of activation the molecular geometry may or may

not be retained. When the molecular geometry is retained, the transformation can be manipulated while when the molecular geometry is altered the transformation is directional and selective. Bond order decrease is better way of activation rather than band breaking by other probes. In this sense, photo-catalytic reduction of carbon dioxide may have to be preferred route for the utilization of carbon dioxide and also to contribute to environmental concerns.

It appears today that this effort is not yielding the desired outcome, but it is hoped that in course of time this process may become economically viable and it will contribute to decrease the environmental concerns. It is suggested that photo-catalytic reduction of carbon dioxide may be a preferred route.

## 5 MO Diagram of Carbon dioxide

The 2s and 2p orbitals of carbon and th 2p orbitals of oxygen combine to form the molecular orbitals of carbon dioxide. one such a diagram is shown in Fig.3. The HOMO orbitals of the lone pair electrons from the 2p orbitals of oxygen and the LUMO orbitals are the Pi\* orbitals combined from 2p orbitals of carbon and the two oxygen atoms. The activation of arbon dioxide means occupying the pi\* orbital by excitation from the occupied molecular orbital of carbon dioxide.

# Molecular orbital diagram for $CO_2$ $2 p_x 2 p_y 2 p_z$ $2 p_x 2 p_y 2 p_z$

Figure 4: Molecular orbital diagram of carbon dioxide

## 6 Reduction Potentials of Carbon dioxide

It is essential to compile the values of reduction potential (versus Standard Hydrogen Electrode (SHE) and implication of these values has to be realized. In Table 2. these values are tabulated for ready reference. It is necessary to note that the values of reduction potential values are dependent on the pH of the medium. Therefore, the reduction reaction of carbon dioxide under acid or alkaline medium will be different and the reaction course will be dependent on the acidity or alkalinity of the medium. This effect has to be

kept in mind.

Table 2 Typical carbon dioxide reaction and the standard Reduction potential in volts

$2CO_2 + 2H^+ + 2e \rightarrow H_2C_2O_4$ ; -0.913
$CO_2 + 4H*+ 4e \rightarrow HCHO + H_2O ; -0.480$
$CO_2 + 6H^+ + 6e \rightarrow CH_3OH + CH_3OH + H_2O; -0.380$
$CO_2 + 8h^+ + 8e \rightarrow CH_4 + H2O$ ; - 0.240
$2CO_2 + 12H^+ + 12e \rightarrow C_2H_4 + 4H_2O$ ; - 0.349
$2CO_2 + 12H^+ + 12e \rightarrow C_2H_5OH + 3H_2O ; -).329$
$2\text{CO}_2 + 14\text{H}^+ + 14\text{e} \rightarrow \text{C}_2 \text{ H}_6 + 4\text{H}_2\text{O} ; -0.270$
$3\text{CO}_2 + 18\text{H}^+ + 18\text{e} \rightarrow \text{C}_3\text{H}_7\text{OH} + 5\text{H}_2\text{O} ; -0.310$

It is seen from the values of reduction potentials given in Table 2 that the reduction potentials values are close to each other and hence selective formation of product is difficult. Exclusive formation of one carbon product can therefore difficult. A schematic representation of the reduction potentials for various products from carbon dioxide is shown in Fig.4.

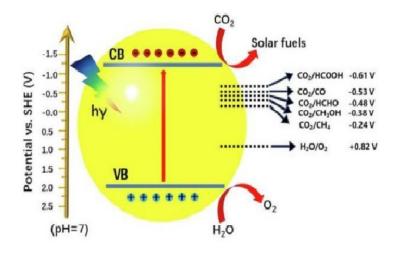


Figure 5: Selected values of reduction potentials for carbon dioxide

## 7 Thermodynamic Parameters of the Reduction of Carbon dioxide

The standard enthalpies (Ho 298K(kJ/mol) and Gibbs free energies (Go 298K(kJ/mol)) for different products formed in the reduction of carbon di oxide.are given below. Thermodynamics data adapted from the authors of [18].

- (1)  $2CO_2 \rightarrow 2CO + O_2$ ; 293.0; 257.2
- (2)  $CO_2 + H_2 \rightarrow CO + O_2$ ; 41.2; 28.6
- (3)  $CO_2 + 3H_2 \rightarrow CH_3OH + H_2O$ ; 49.5 3.5
- (4)  $2CO_2 + 6H_2 \rightarrow 2C_2H_5OH + 3H_2O$ ; 86.7' 32.4
- (5)  $CO_2 + 4H_2 \rightarrow CH_4 + 2H_2O$ ; 165.0;113.5

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(6) 2CO_2 + 7H_2 \rightarrow C_2H_6 + 4H_2O; 132.1; 78.7
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- (7)  $3CO_2 + 10H_2 \rightarrow C_3H_8 + 6H_2O$ ; 125.0; 70.9
- (8)  $4\text{CO}_2 + 13\text{H}_2 \rightarrow \text{n} \text{C}_4\text{H}_1(10) + 8\text{H}_2\text{O}$ ; 121.6; 66.9
- (9)  $2CO_2 + 6H_2 \rightarrow C_2H_4 + 4H_2O$ ; 64.0; 28.7
- (10)  $3CO_2 + 9H_2 \rightarrow C_3H_6 + 6H_2O$ ; 83.6; 42.1
- (11)  $4CO_2 + 13H_2 \rightarrow n C_4H_8 + 8H_2O$ ; 90.3; 45.2

It is seen that reduction to various products like hydrocarbons or oxygenates all these formation is almost similar thermodynamic parameter change. it is thus appears that achieving selectivity depends on the mode of adsorption of carbon dioxide. and of hydrogen in the atomic form. The factor that will play an important role is the type of catalyst and also the reaction sequence.

Thermodynamics of CO<sub>2</sub> reduction reaction is found to be dependent on redox potential values and Gibbs free energy. Due to highly positive Gibbs free energy, which indicates that the reaction is endothermic and not favorable under standard conditions, CO<sub>2</sub> reduction is an energetically uphill and non-spontaneous process, which means that it requires an input of energy to proceed and it is not favorable for normal temperature and pressure ranges. Compared to water splitting where Gibbs free energy is negative, indicating thermodynamic favorability and spontaneity under standard conditions, CO<sub>2</sub> reduction requires significantly more energy to drive the process. Therefore, the energy storage ratio of CO<sub>2</sub> reduction, i.e., the amount of energy needed for the reaction compared to the energy stored in the resulting products, is generally higher than that for water splitting.

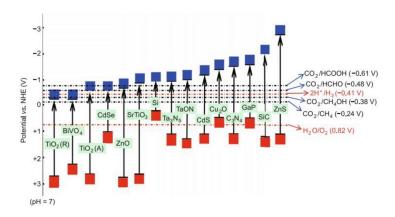


Figure 6: Energy band positions in different semiconductors' photo-catalysts and the redox potentials of CO2 reduction in an aqueous solution pH = 7

The positions of top of the valence and bottom of the conduction band and the reduction potentials for various reduction products of carbon dioxide are shown in Fig.6. This figure indicates which semiconducting systems will be able to reduce carbon dioxide and which product formation is thermodynamically possible.

## 8 Outlook and Perspectives [17]

[17] It is now recognized that Photocatalytic CO2 reduction is a sustainable strategy to utilizes renewable solar energy to produce high-value chemicals and fuels. Overall, there are various levels of progress in the selection of catalyst exploitation, reactor design, process optimization and exploring various mechanisms and these studies have led to improvement on our understanding of this reaction sequence. However, photocatalytic CO2 reduction has not yet reached the level to practical application.

The atomistic level understanding of the reaction sequence is not fully exposed and this should be one's prime motive. The computational methods so far employed Is based on model systems and the results obtained cannot be extrapolated to actual commercial level of the catalytic reaction The designing of the

catalyst has to optimize many parameters like range of wavelength of photon absorption, stability and recyclability of the chosen catalyst, high efficiency, required selectivity in the reaction, cost considerations for the catalyst formulation restricted photo-corrosion and environmental acceptability. There should be sound basis for the modification of catalyst design.

Multiple activation in addition to light like thermal, electric, magnetic, ultrasonic, microwave or mechanical energy sources can also be exploited. The design of the photocatalytic reactor should be capable of varying concentration of carbon dioxide and also should be capable of tolerant some impurities.

In due course, this technology will evolve and at that time it is required large effort in catalyst optimization, modelling and construction of suitable reactor, efficient methodologies for carbon dioxide capture, product downstream processing, and environmental concerns then only this technology will be an effective and sustainable one. In view of the fact that a small UV fraction in solar irradiation, it is necessary to concentrate on visible-light-sensitive photocatalysis. In this regard, N and F co-doped systems could be potential candidates for both visible-light sensitization as well as photostability. Other innovative catalyst systems like carbon nitride-based systems can be examined. Direct photochemical conversion of CO2 to methanol and other useful compounds continues to remain an important research target. [19]

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