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# X-Ray Emission Spectroscopy

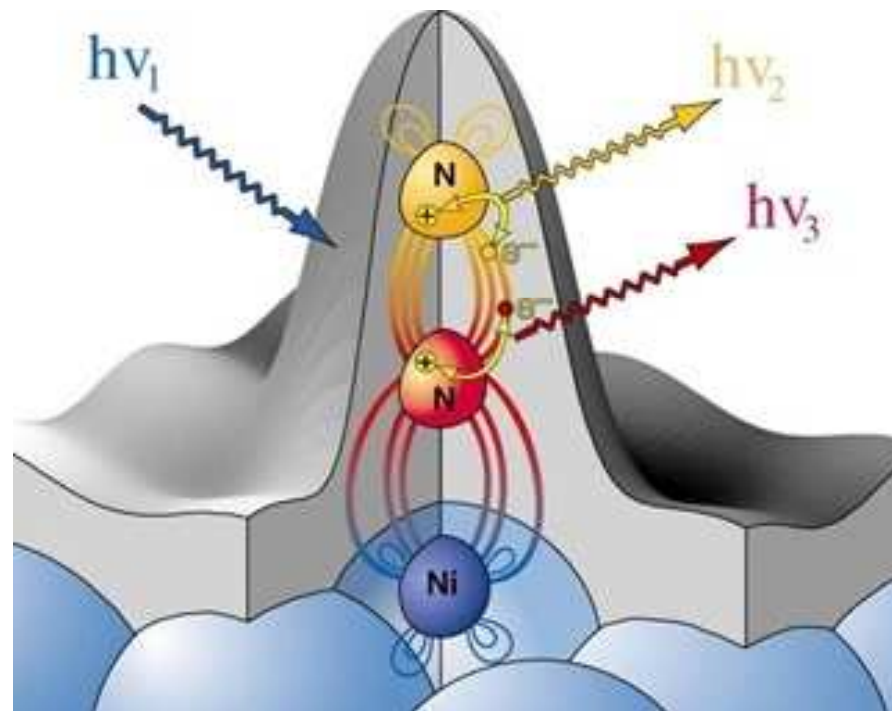
Axel Knop-Gericke

[knop@fhi-berlin.mpg.de](mailto:knop@fhi-berlin.mpg.de)



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# Core Level Spectroscopy



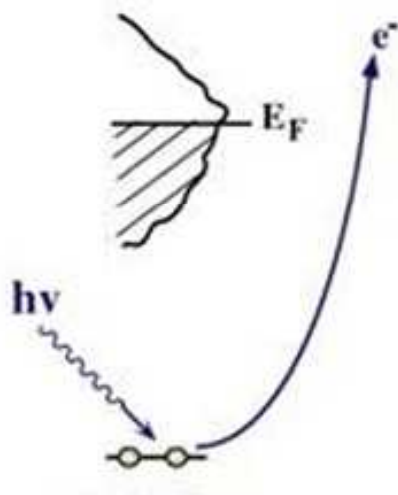


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# Creation of Core holes

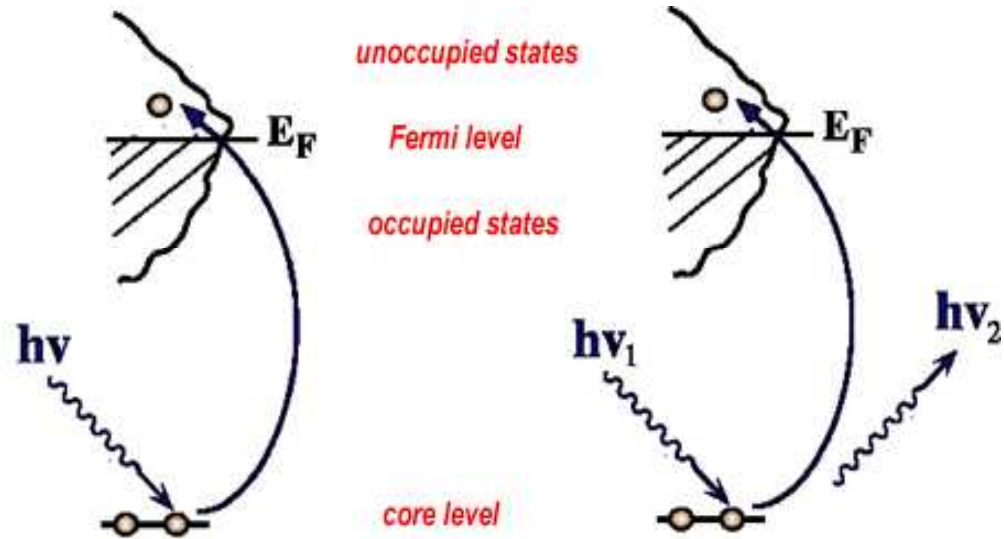


Ionisation



X-ray photoelectron spectroscopy (XPS)

Excitation



X-ray absorption spectroscopy XAS

X-ray Inelastic scattering (XIS)

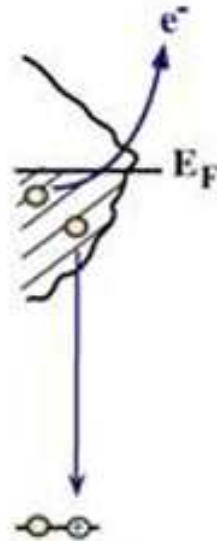


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# Decay of core holes

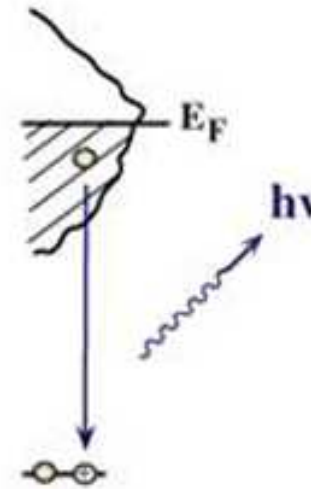


Non radiant



Auger Electron Spectroscopy (AES)

radiant

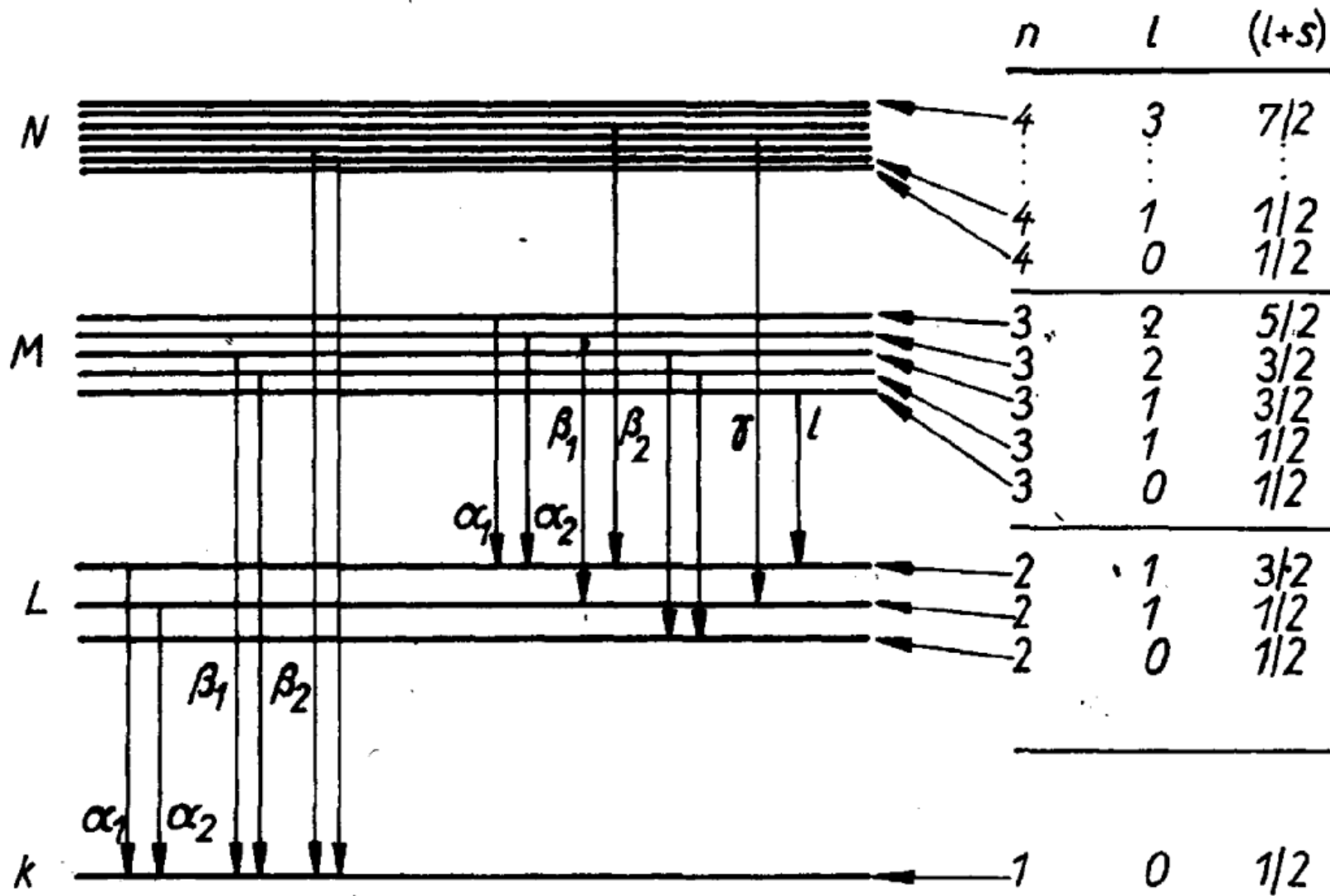


X-ray Emission Spectroscopy (XES)

[http://ssrl.slac.stanford.edu/nilsongroup/pages/core\\_spec\\_xps.html](http://ssrl.slac.stanford.edu/nilsongroup/pages/core_spec_xps.html)

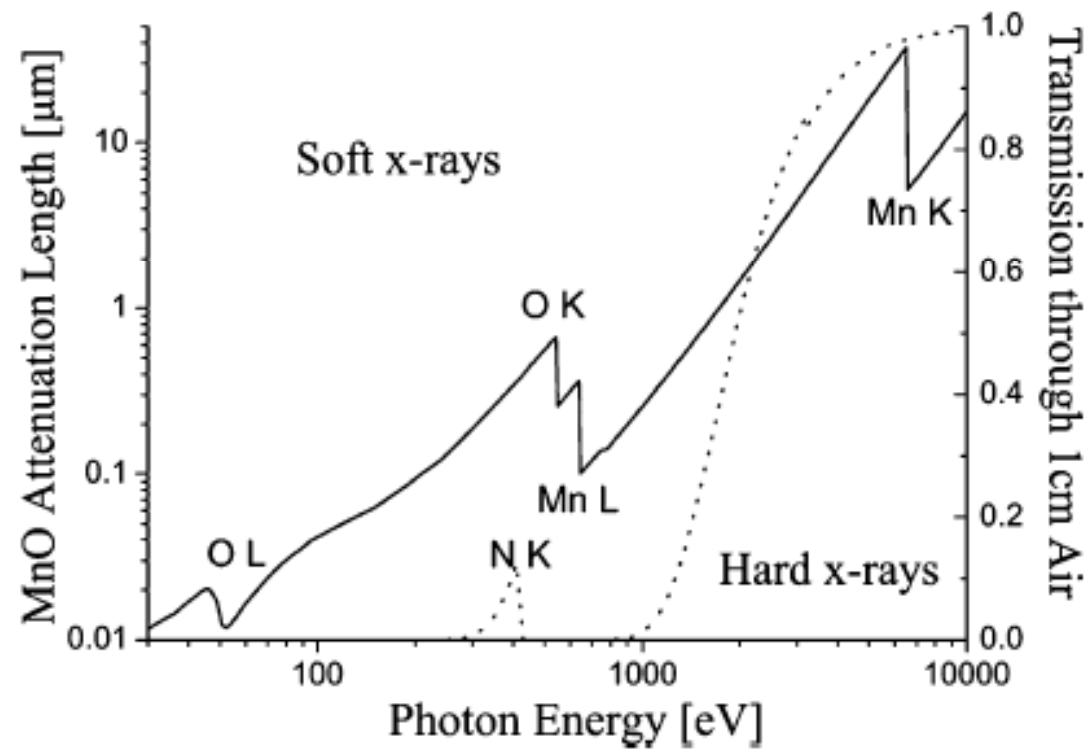


# Assignment of X-ray emission lines



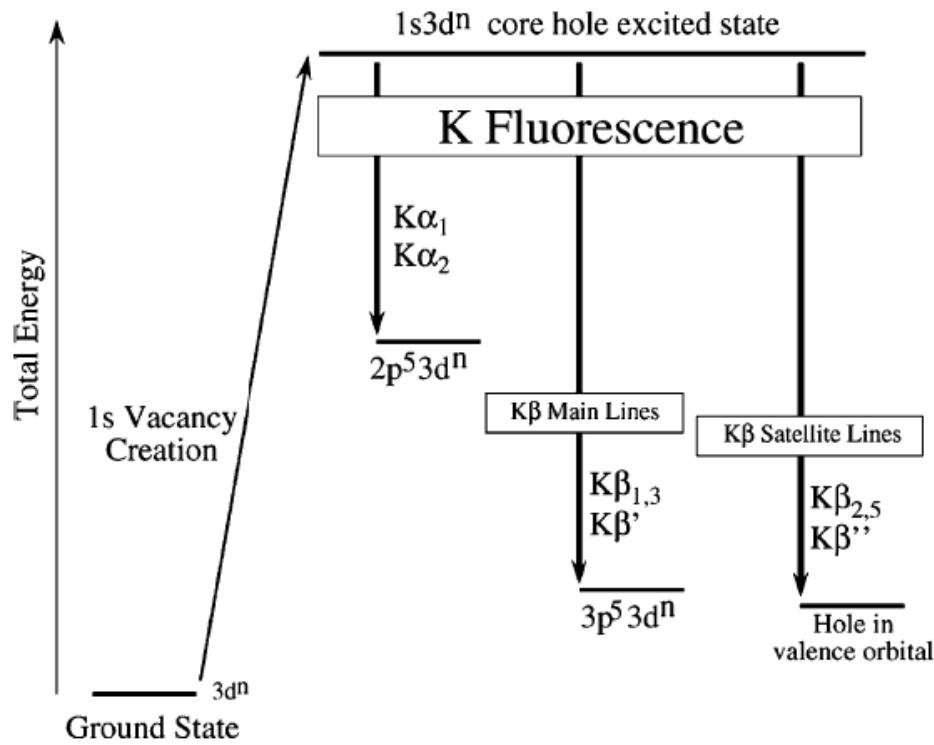


# X-ray attenuation length

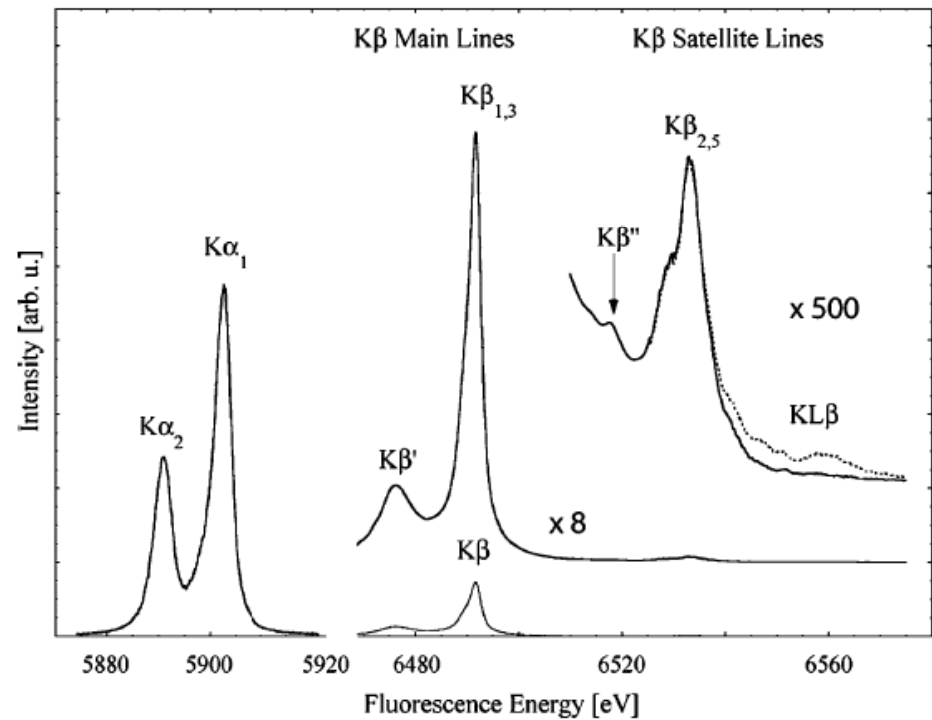




# K fluorescence emission



### K shell emission lines in MnO



$K\beta_1$ :  $3p_{3/2}$ ,  $K\beta_3$ :  $3p_{1/2}$  final states

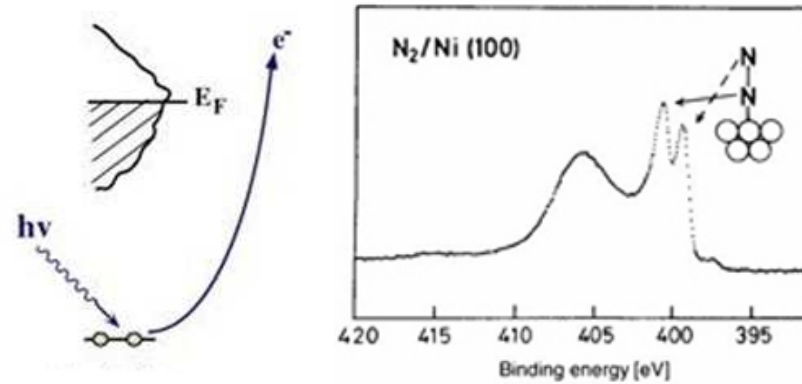
$K\beta_2$ : transition from 4p orbitals,  $K\beta_5$ : from 3d orbitals



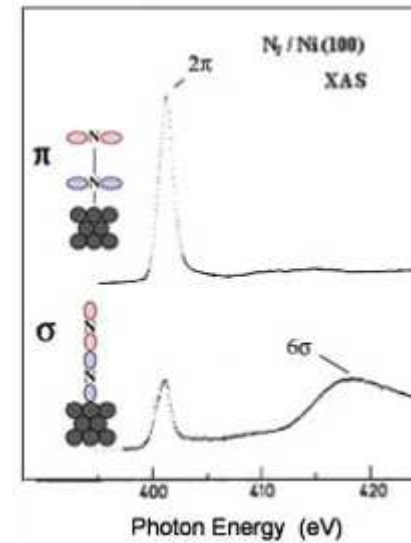
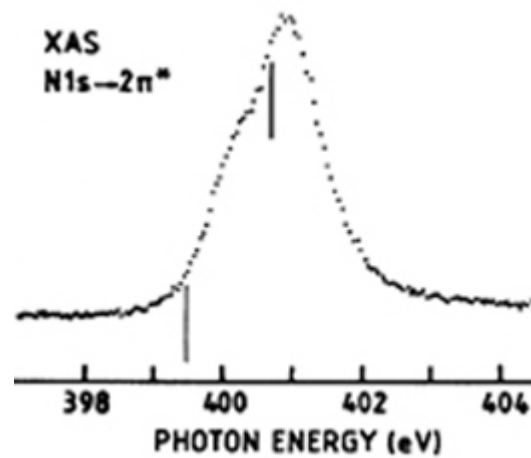
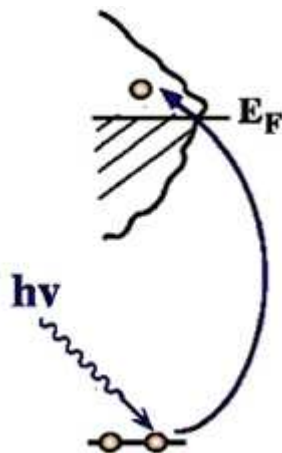
# Core Level Spectroscopy



## XPS



## XAS





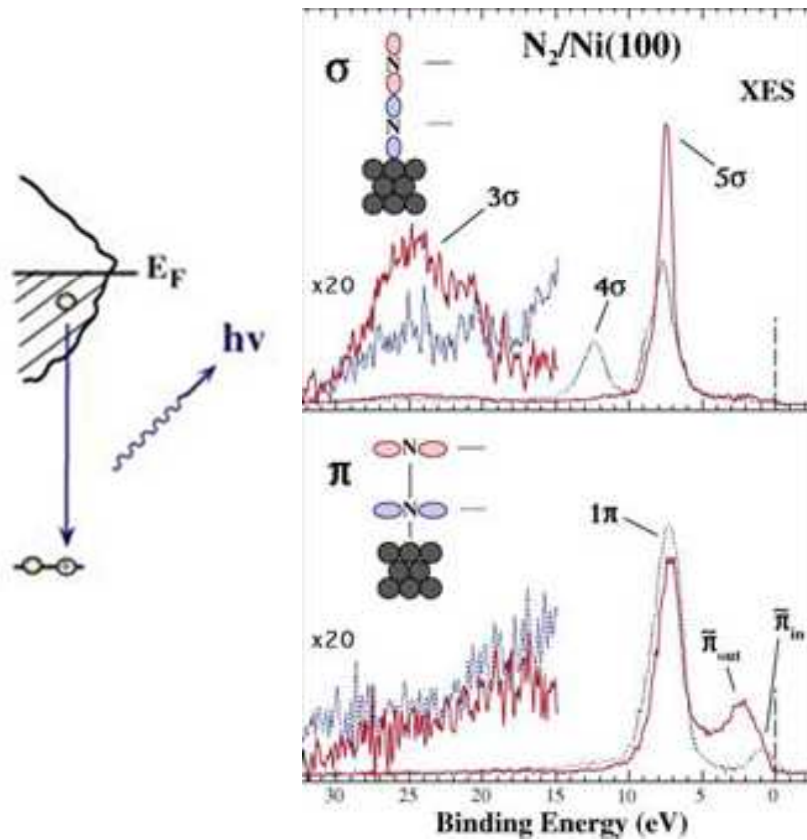


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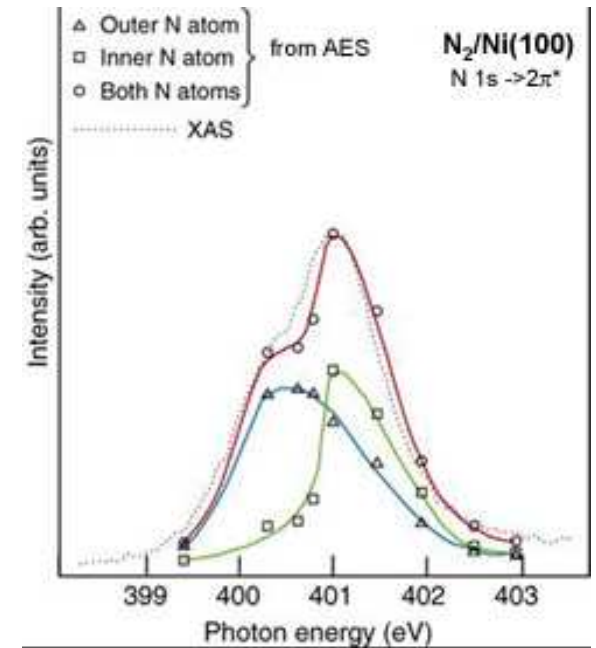
# Core Level Spectroscopy



## XES



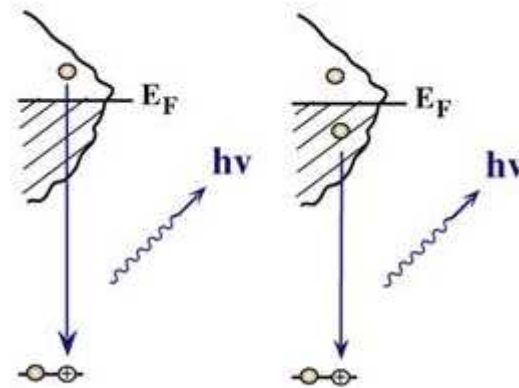
## AES





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# Resonant Processes



Resonant X-ray Emission Spectroscopy (RXES)  
Resonant Inelastic X-ray Scattering (RIXS)



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# X-ray Emission Techniques



## High-Energy Resolution Fluorescence Detected (HERFD) XAS

Experiment: The emitted energy  $\omega$  is tuned to a fluorescence line and the incident energy  $\Omega$  is scanned through an absorption edge. The intensity variation of the fluorescence line is recorded as a function of the incident energy.

Dispersive solid state detector: energy bandwidth of 200-300 eV at Fe  $K\alpha$  line

Non linearity at high count rates (pileup effect)

Other option: use an X-ray spectrometer and avalanche photodiode (no background)



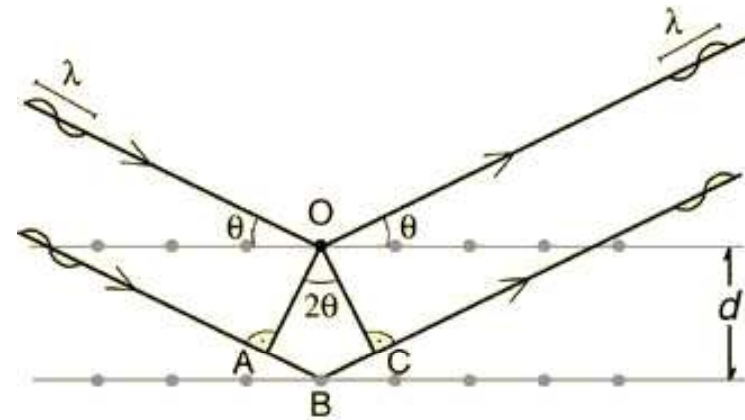
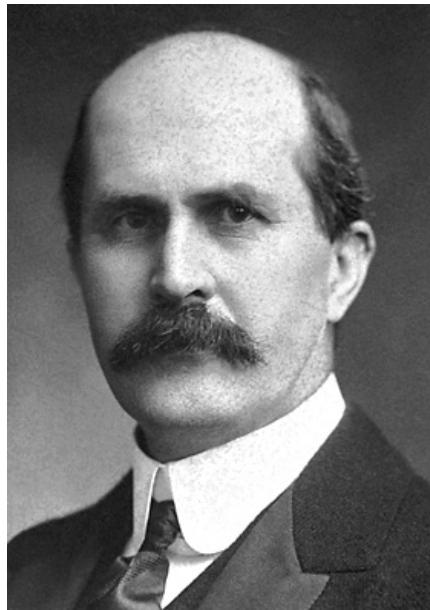
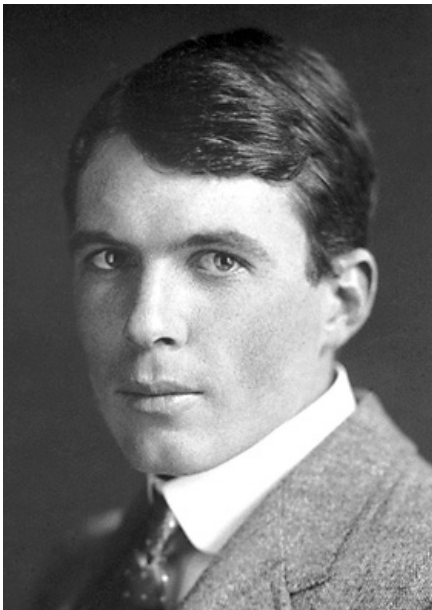
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# Bragg Equation



William Lawrence Bragg and Henry Bragg

Noble Prize of Physics in 1914



$$n \lambda = 2 d \sin \theta$$

$\lambda$  = Wellenlänge

$d$  = Netzebenenabstand

$\theta$  = Glanzwinkel

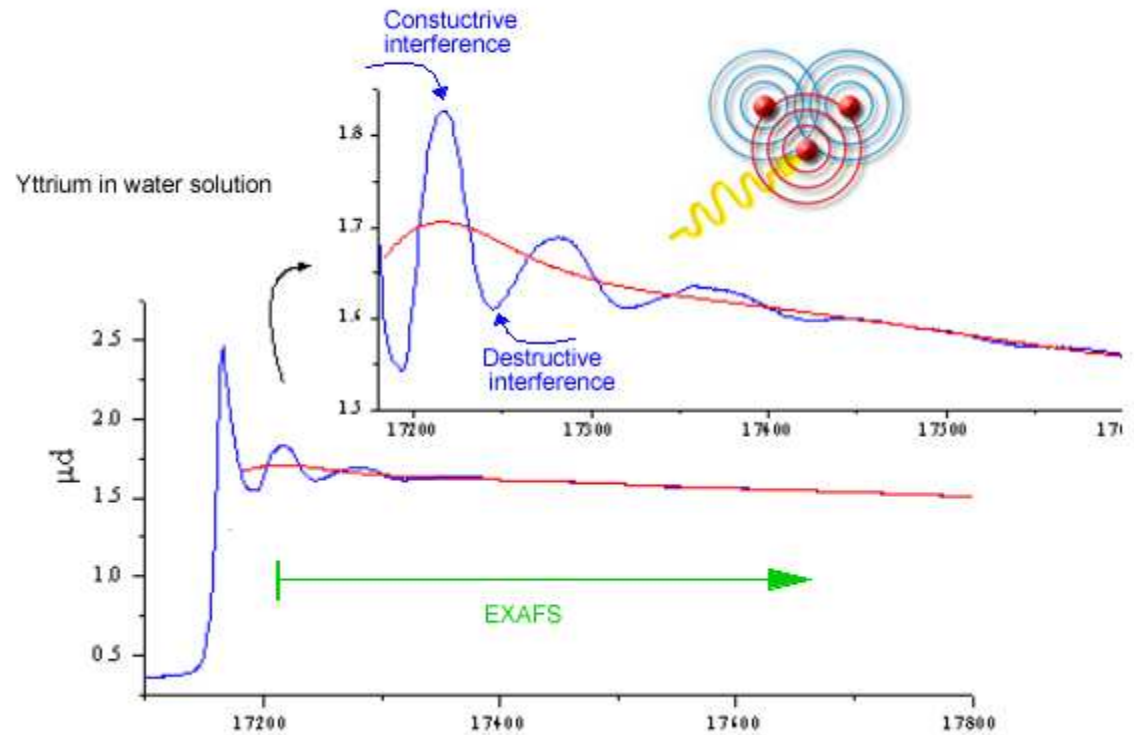
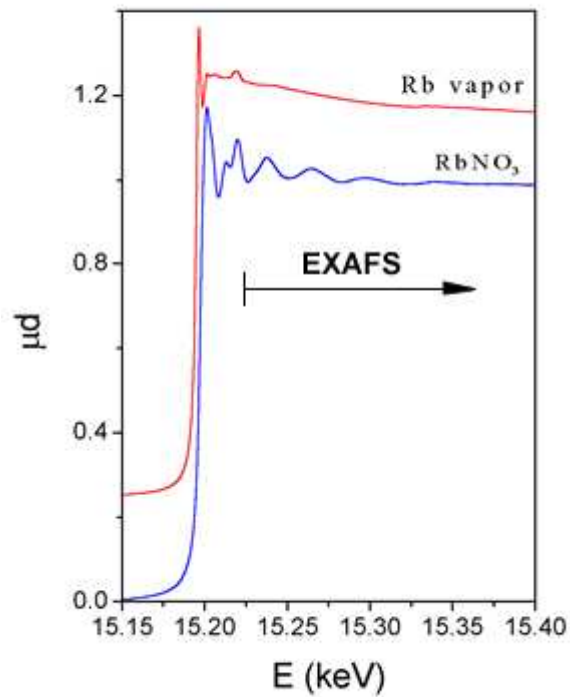
$n$  = ganze Zahl



# Extended X-ray Absorption Fines Structure -EXAFS



RbNO<sub>3</sub> water solution and Rb vapour @ Rb K edge



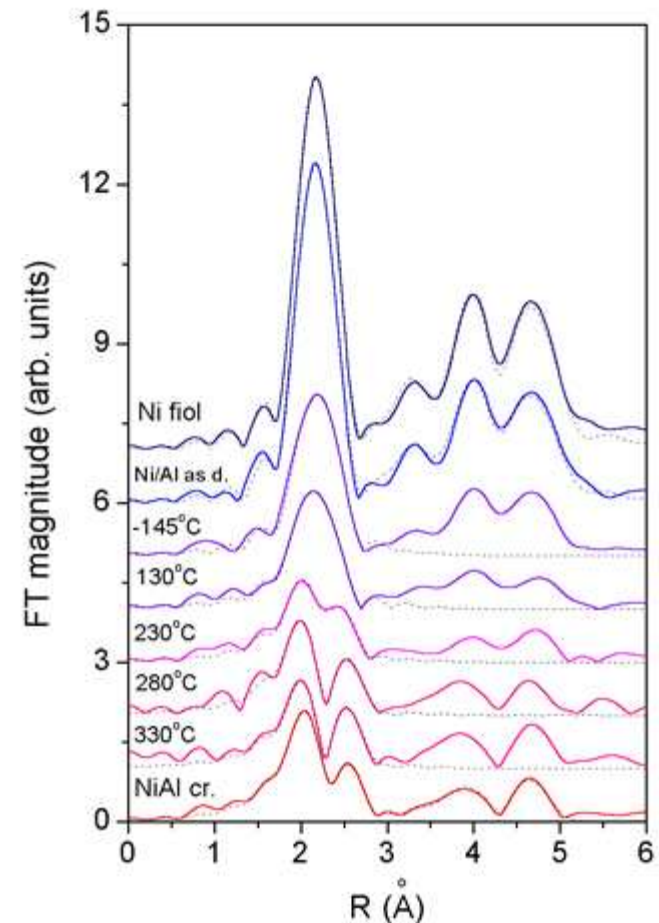
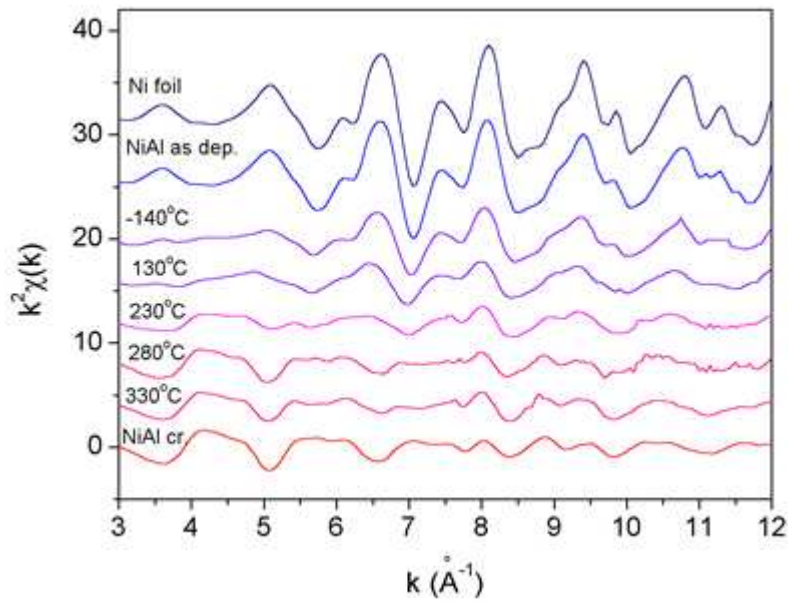


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## Extended X-ray Absorption Fines Structure -EXAFS

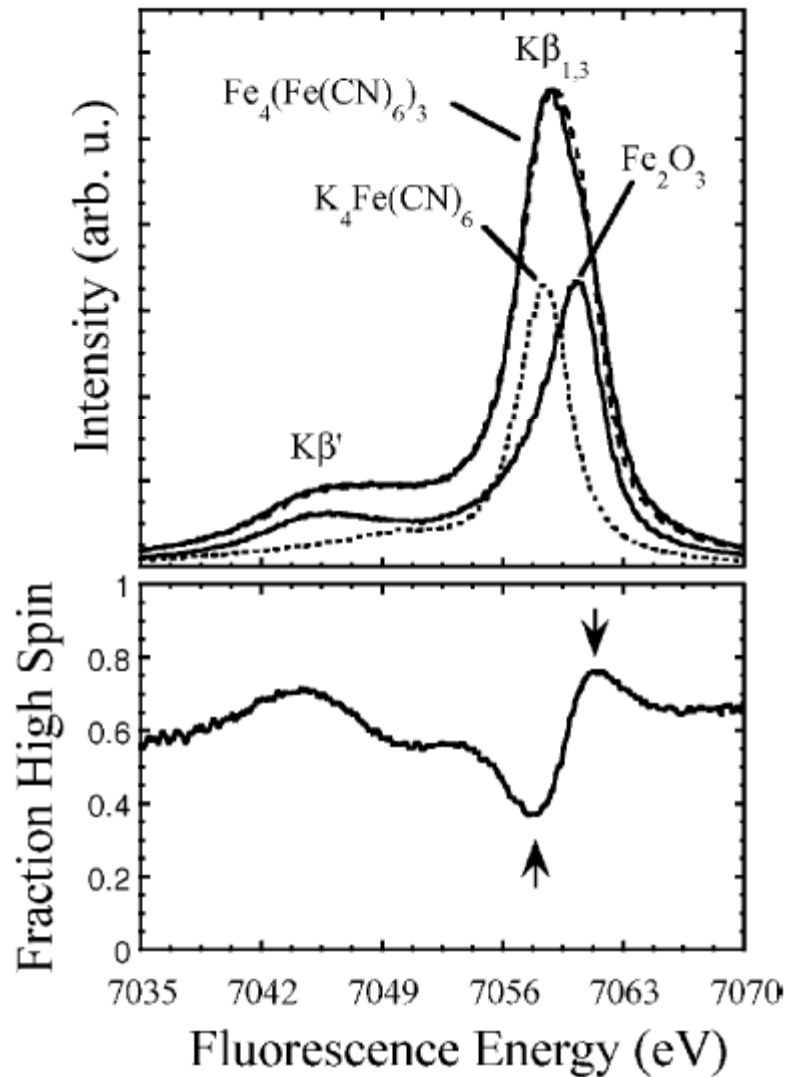


*Ni K-edge EXAFS spectra (left) and their Fourier transform magnitudes (right) measured on the as deposited Ni/Al multilayer sample and on samples after ion mixing at substrate temperatures  $-140^{\circ}\text{C}$ ,  $130^{\circ}\text{C}$ ,  $230^{\circ}\text{C}$ ,  $280^{\circ}\text{C}$  and  $330^{\circ}\text{C}$ . For comparison the spectra of Ni metal and NiAl monocystal are added. Solid line - experiment; dashed line - EXAFS model.*





# Site selective EXAFS



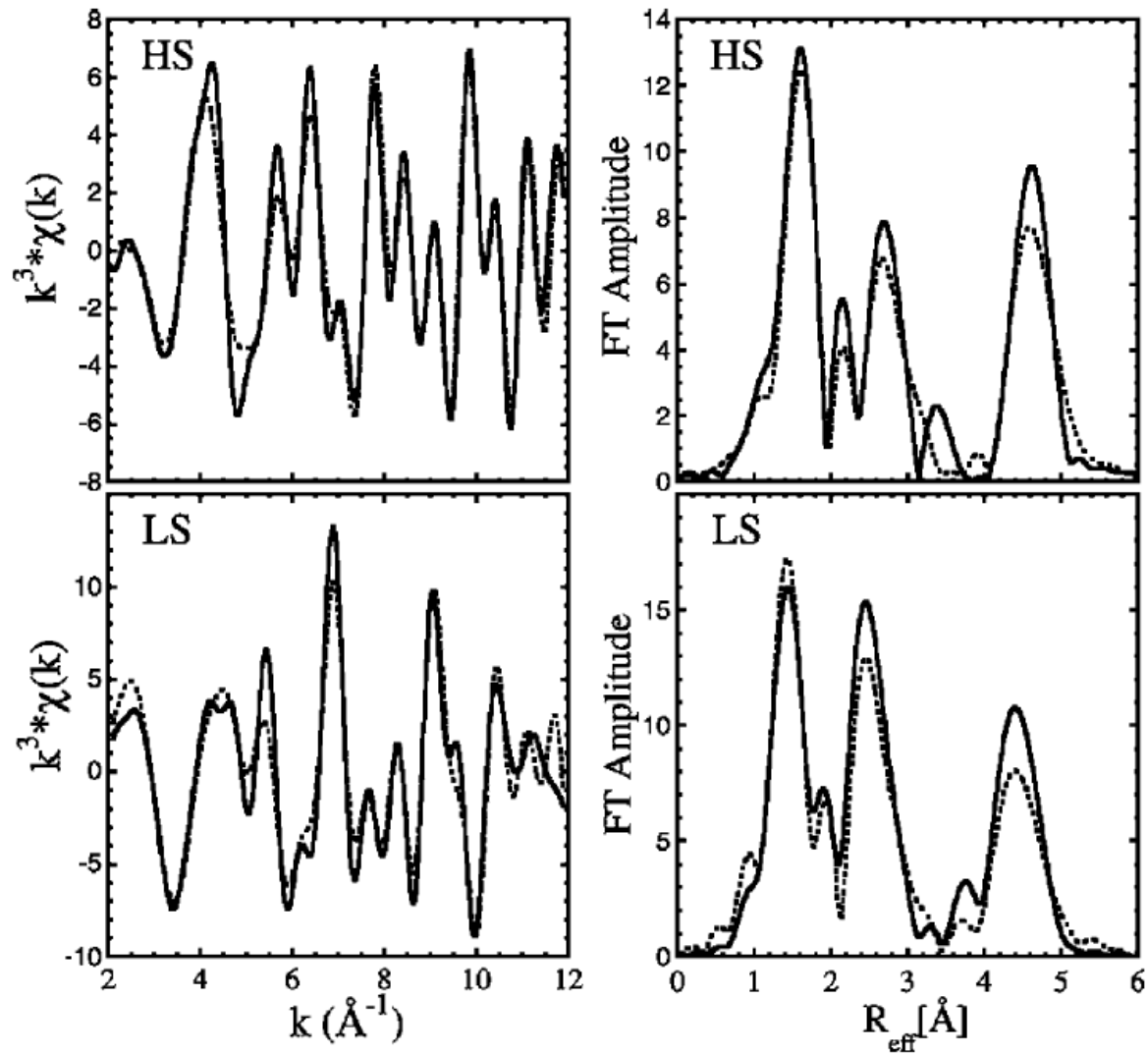
$\text{K}\beta$  emission in Prussian Blue ( $\text{Fe}_4[\text{Fe}(\text{CN})_6]_3$ )

High spin component :  $\text{Fe}(\text{III}) \text{Fe}_2\text{O}_3$

Low spin component :  $\text{K}_4\text{Fe}(\text{CN})_6$



# Deduced site selective EXAFS spectra







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# X-ray Emission Techniques



## Non-Resonant X-Ray Emission Spectroscopy (XES)

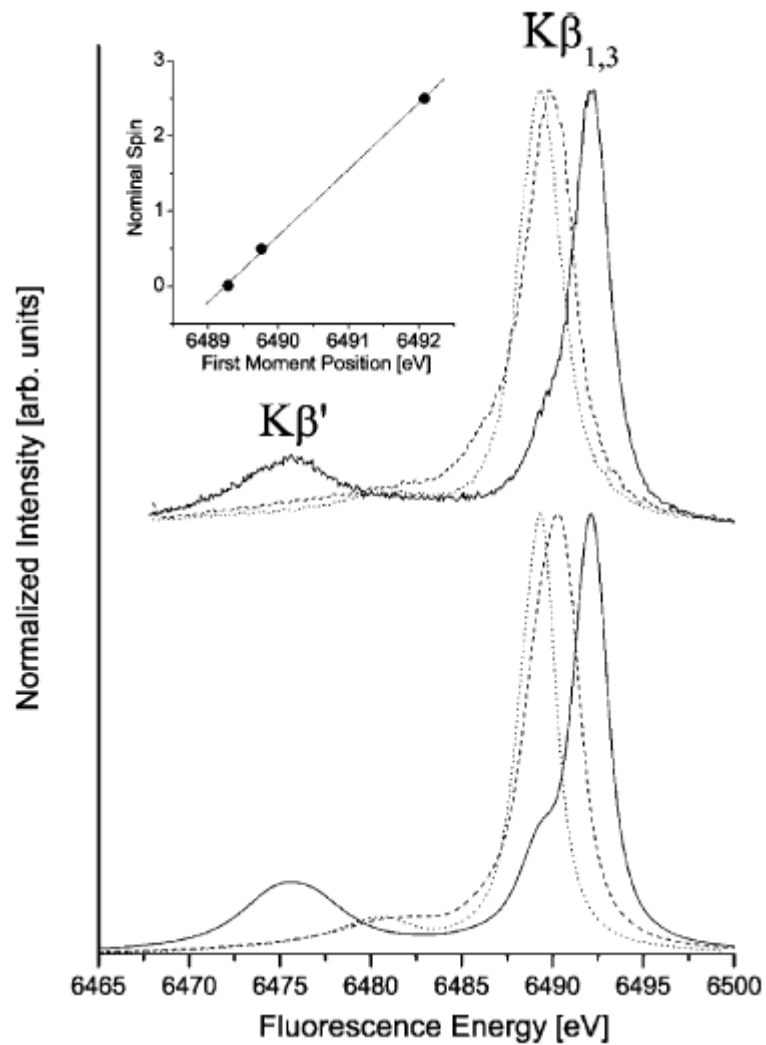
Experiment: The incident energy  $\Omega$  is tuned well above an absorption and the emitted energy  $\omega$  is scanned over the energy range of a fluorescence line

XES is a second order process. If the core hole is replaced by another core hole, e.g. 3p to 1s ( $K\beta$ ) transition in a 3d transition metal, the sensitivity to the valence electrons is indirect. The final state core hole interacts with the valence electrons and this interaction shapes the emission line.

The  $K\beta$  main line, for example, are sensitive to the valence shell spin state.

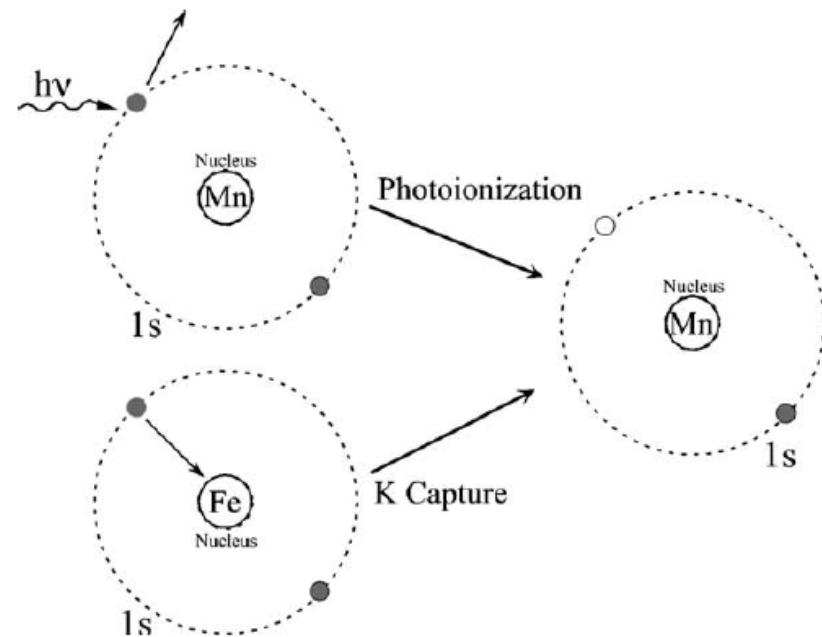


# K $\beta$ lines



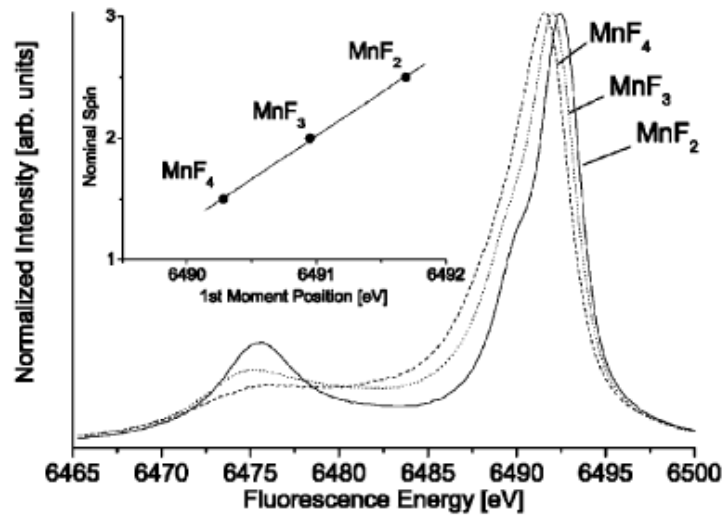
$^{55}\text{Fe}_2\text{O}_3$  (solid line)  $S=5/2$   
 $\text{K}_3^{55}\text{Fe}(\text{CN})_6$  (dashed line)  $S=1/2$   
 $\text{K}_4^{55}\text{Fe}(\text{CN})_6$  (dotted line)  $S=0$

Measured after K capture decay

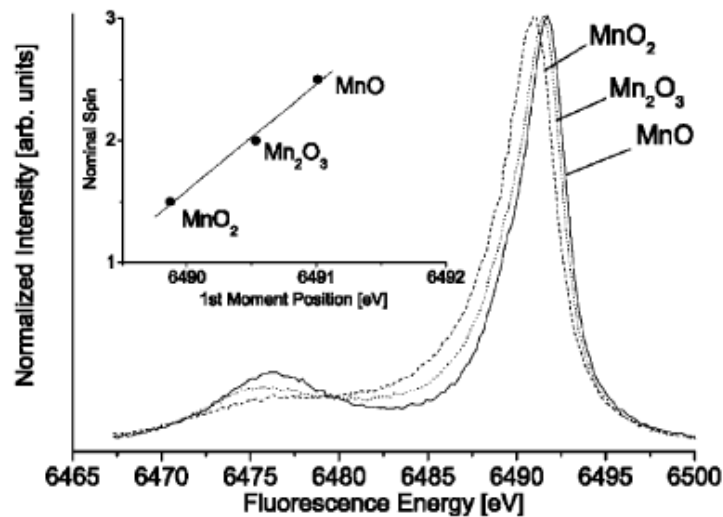




# K $\beta$ lines of Mn fluorides and oxides



MnF<sub>2</sub> (solid line)  
MnF<sub>3</sub> (dashed line)  
MnF<sub>4</sub> (dotted line)



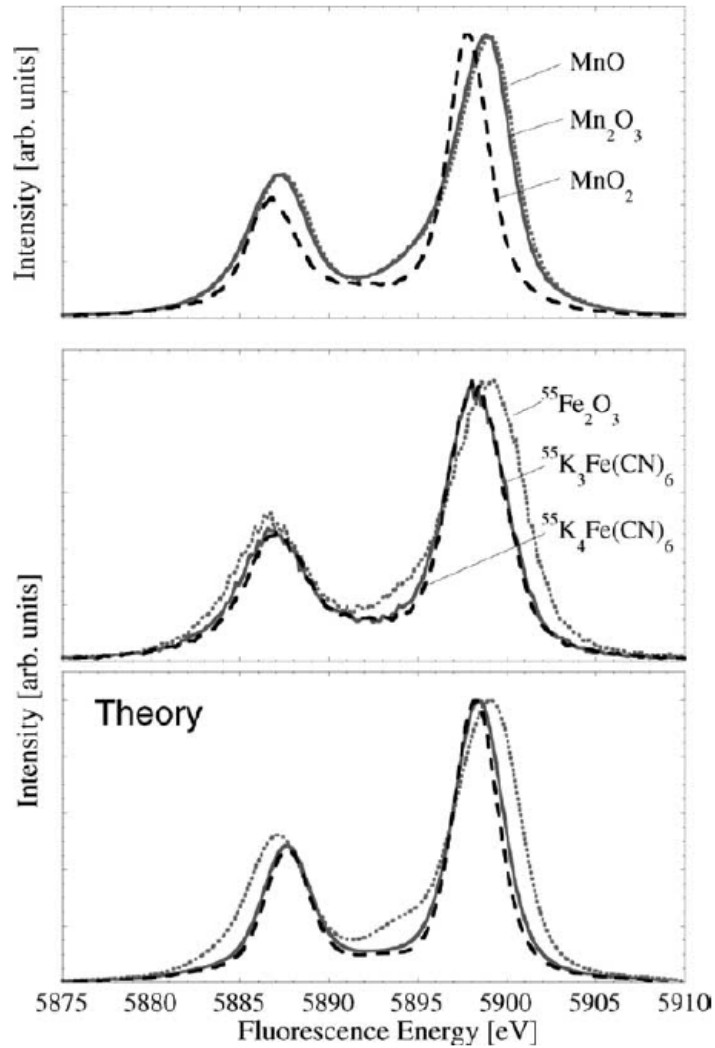
MnO (solid line)  
Mn<sub>2</sub>O<sub>3</sub> (dashed line)  
MnO<sub>2</sub> (dotted line)

Different correlation of fluorides and oxides due to different degree of covalent bonding!

Short-range (3p,3d) exchange interaction:  
Sensitive just to the number of unpaired 3d electrons



# K $\alpha$ lines



Spectral changes for K $\alpha$  lines are less pronounced

The 2p and 3d orbitals interact less with each other than 3p and 3d because of the smaller overlap of the wave function.



# X-ray Emission Techniques



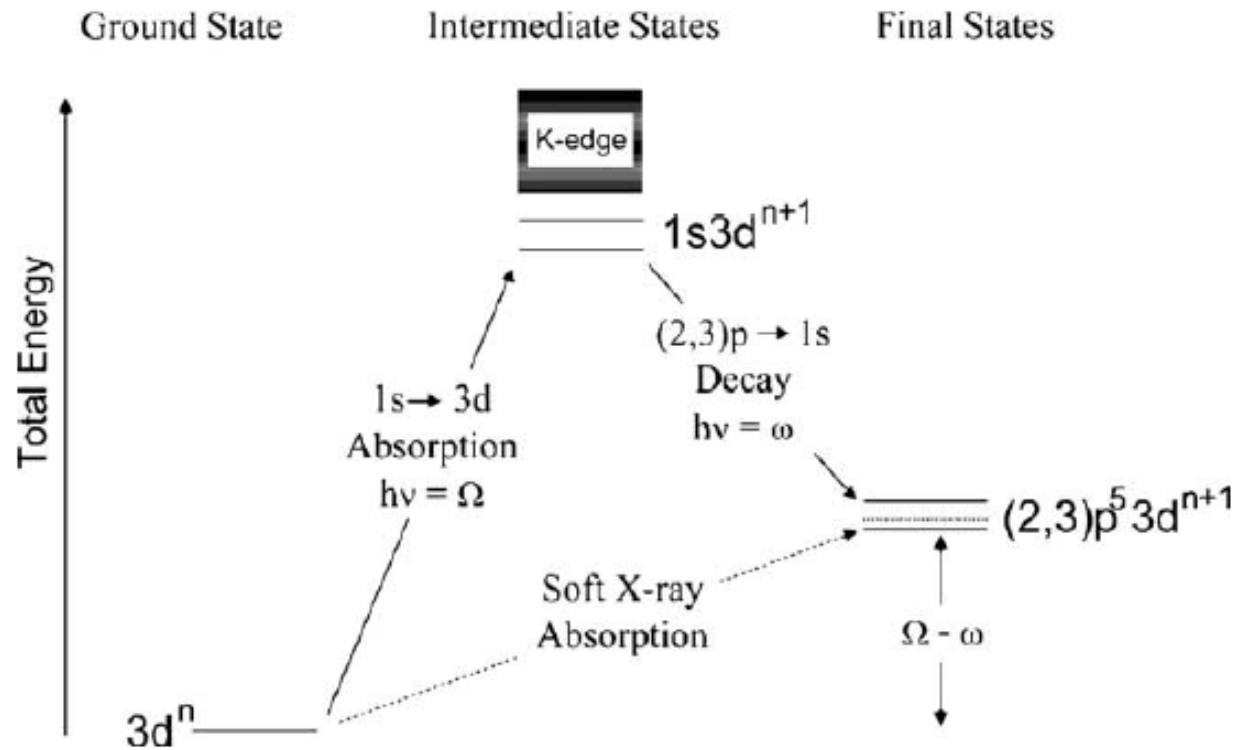
## Resonant Inelastic X-Ray Scattering (RIXS)

Experiment: The incident energy  $\Omega$  is scanned across an absorption edge. The emitted energy  $\omega$  is also scanned either over the fluorescence lines or over energies just below the elastically scattered peak. In the later case, the energy transfer  $\Omega - \omega$  becomes small (on the order of a few eV) and valence band excitations are observed.

- A fluorescence line can be measured after resonant excitation. This is referred to as resonant X-ray emission
- Spectral features may occur at emission energies different to the energies of the fluorescence lines. These features are frequently observed at an energy transfer of a few eV. The technique is often referred to as resonant inelastic x-ray scattering (RIXS).



# RIXS energy scheme for $1s(2,3)p$ RIXS in a transition metal atom





# Resonant inelastic X-ray scattering (RIXS)



Inelastic scattering of the incident photon at a resonance energy of the metal ion and is theoretically described by the Kramers-Heisenberg formular:

$$F(\Omega, \omega) = \sum_f \left| \sum_n \frac{\langle f | T_2 | n \rangle \langle n | T_1 | g \rangle}{E_g - E_n + \Omega - i\Gamma_n / 2} \right|^2 \times \frac{\Gamma_f / 2\pi}{(E_g - E_f + \Omega - \omega)^2 + \Gamma_f^2 / 4}$$

$$|n\rangle = 1s3d^{n+1}$$

$$|g\rangle = 3d^n$$

$$|f\rangle = (2,3)p^53d^{n+1}$$

$E_g, E_n$  and  $E_f$ : Energies of ground, intermediate and final state

$\Gamma_n, \Gamma_f$ : lifetime broadenings of the intermediate and final state

$T_1, T_2$ : transition operators for absorption and emission



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## Resonant inelastic X-ray scattering



- 1) The absorbing atom is not ionized in the case of resonant excitation, as the photoexcited electron stays within a bound state.
- 2) The spectral feature become sharper because it i the lifetime of the final state which determines the broadening
- 3) The final state electronic configuration may formally be equal to other spectroscopies, e.g. the L-edge in 1s2p RIXS of 3d transition metals or UV-Vis in RIXS that exhibits a hole in the valence band in the final state.

investigate the dipol allowed 2p-3d transition (below 1.1 KeV in ambient pressure)

- 4) Less radiation damage





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# Resonant inelastic X-ray scattering



How to study the 3d shell by K shell spectroscopy?

Dipol selection rules  $\Delta l = \pm 1$

Quadrupol transition are by more than two orders of magnitude lower

Two approaches: investigate 2p or 3p - 1s fluorescence lines that emitted after 1s hole creation

Information on the 3d metal shell will be derived indirectly by analysing the interaction of the 2p or 3p hole with the 3d electrons (large overlap of wave functions) K fluorescence show a pronounced chemical sensitivity



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# Resonant inelastic X-ray scattering



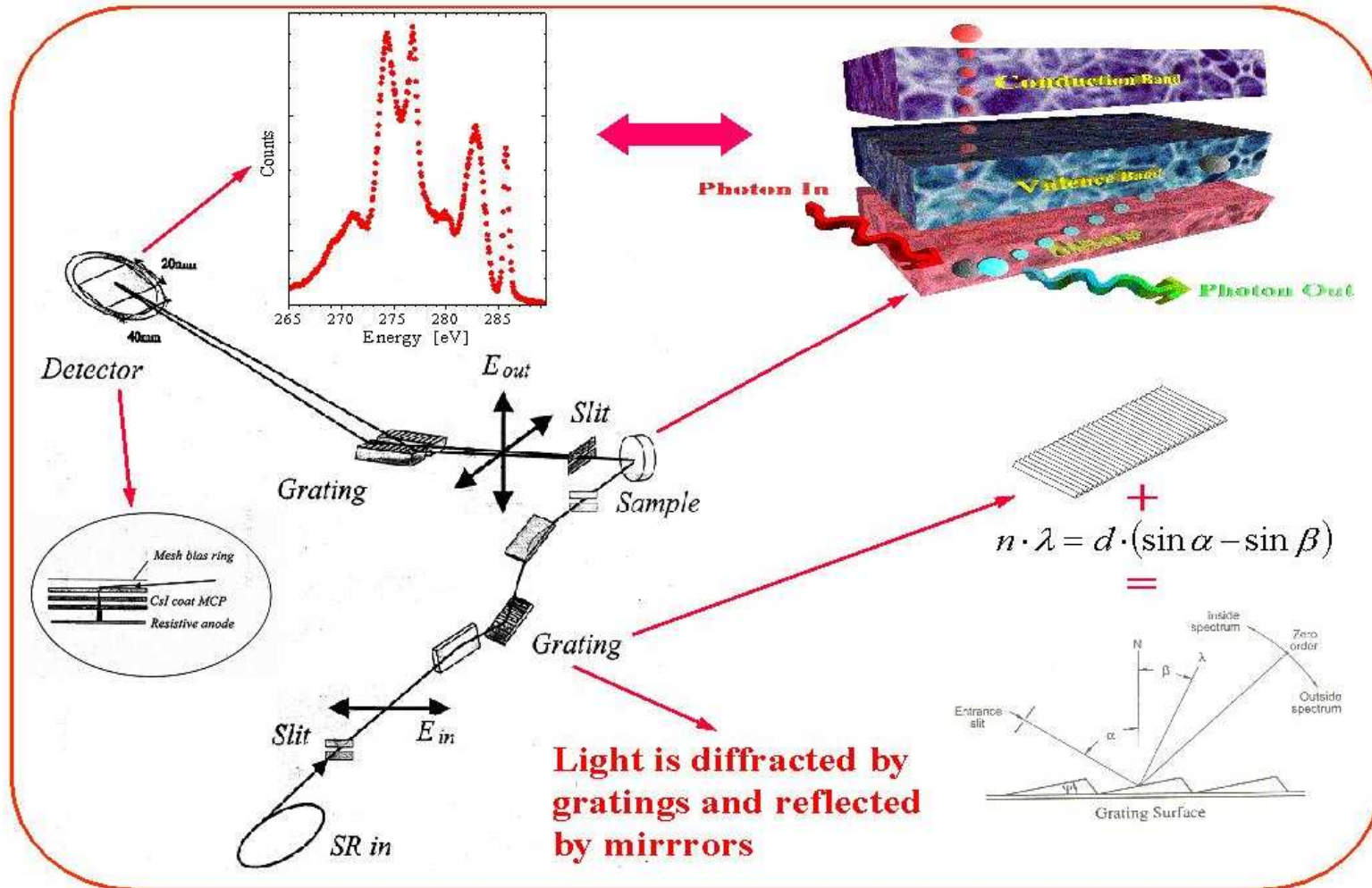
Second approach:

Study the weak K absorption pre-edge structure by probing directly the transition  $1s-3d$

RIXS enables the separation of pre-edge structures from main K absorption edge



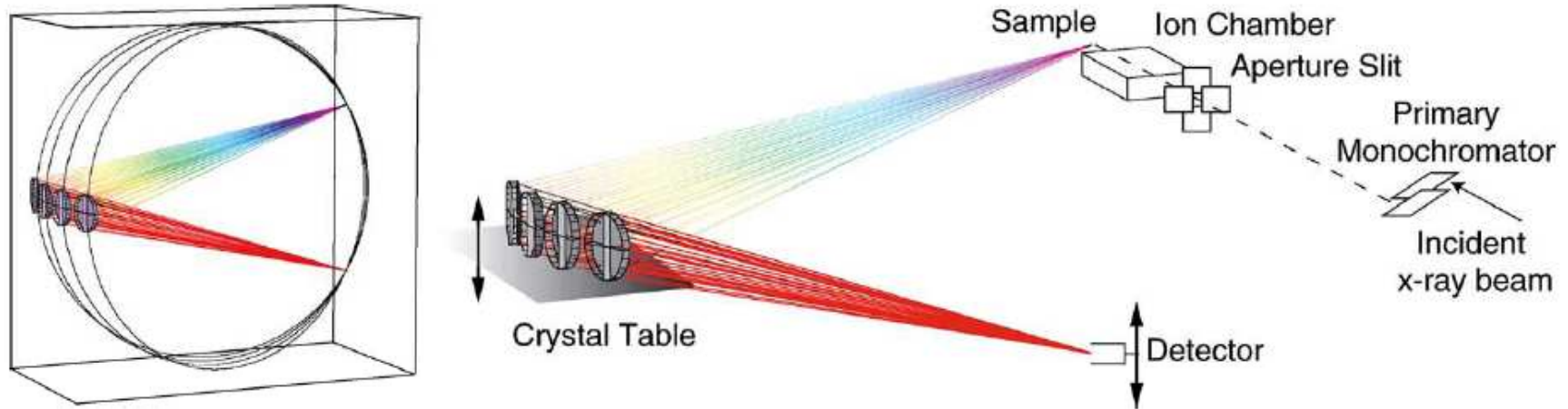
# RIXS setup





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## RIXS setup



Additional ion chamber to measure absorption in transition mode, calibration standard for energy calibration

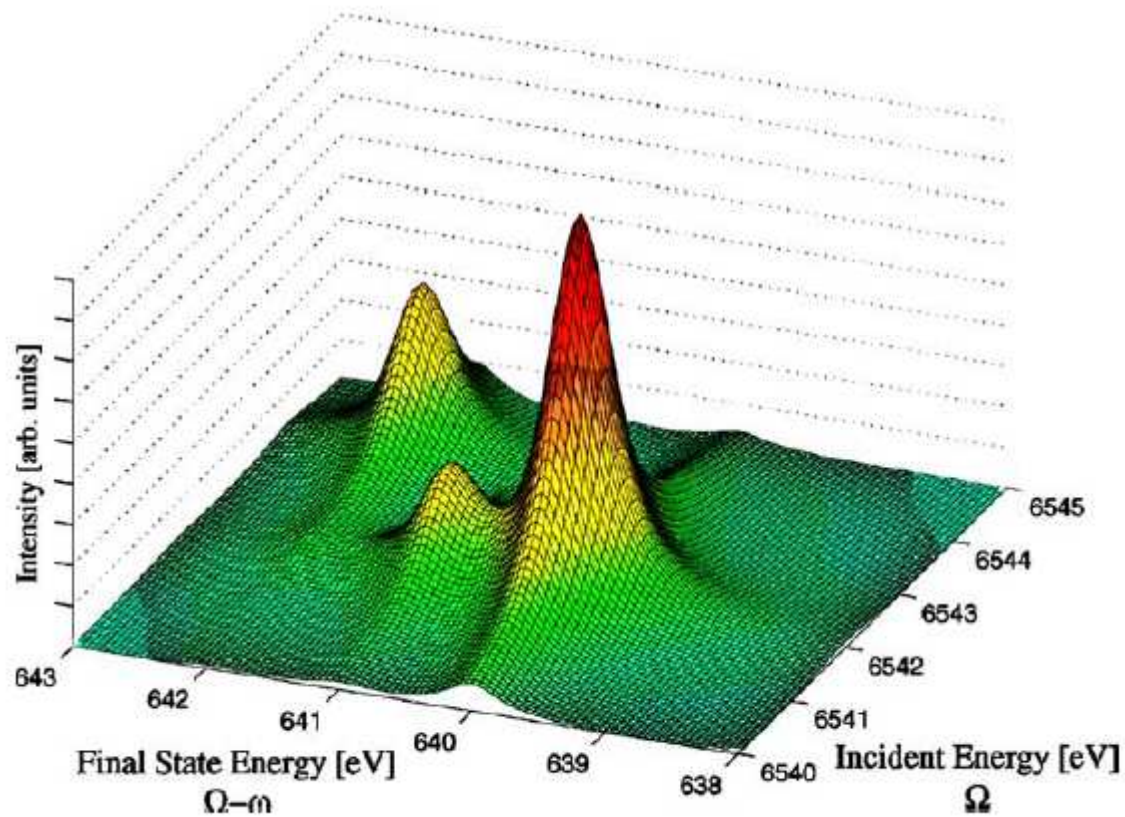
Photon flux:  $10^{11}$  photons/s (second generation synchrotron radiation facility)

Photon flux:  $10^{13}$  photons/s (third generation synchrotron radiation facility)

ESRF, APS, Spring8, PetraIII, SOLEIL, DIAMOND



## Surface plot of the RIXS plane

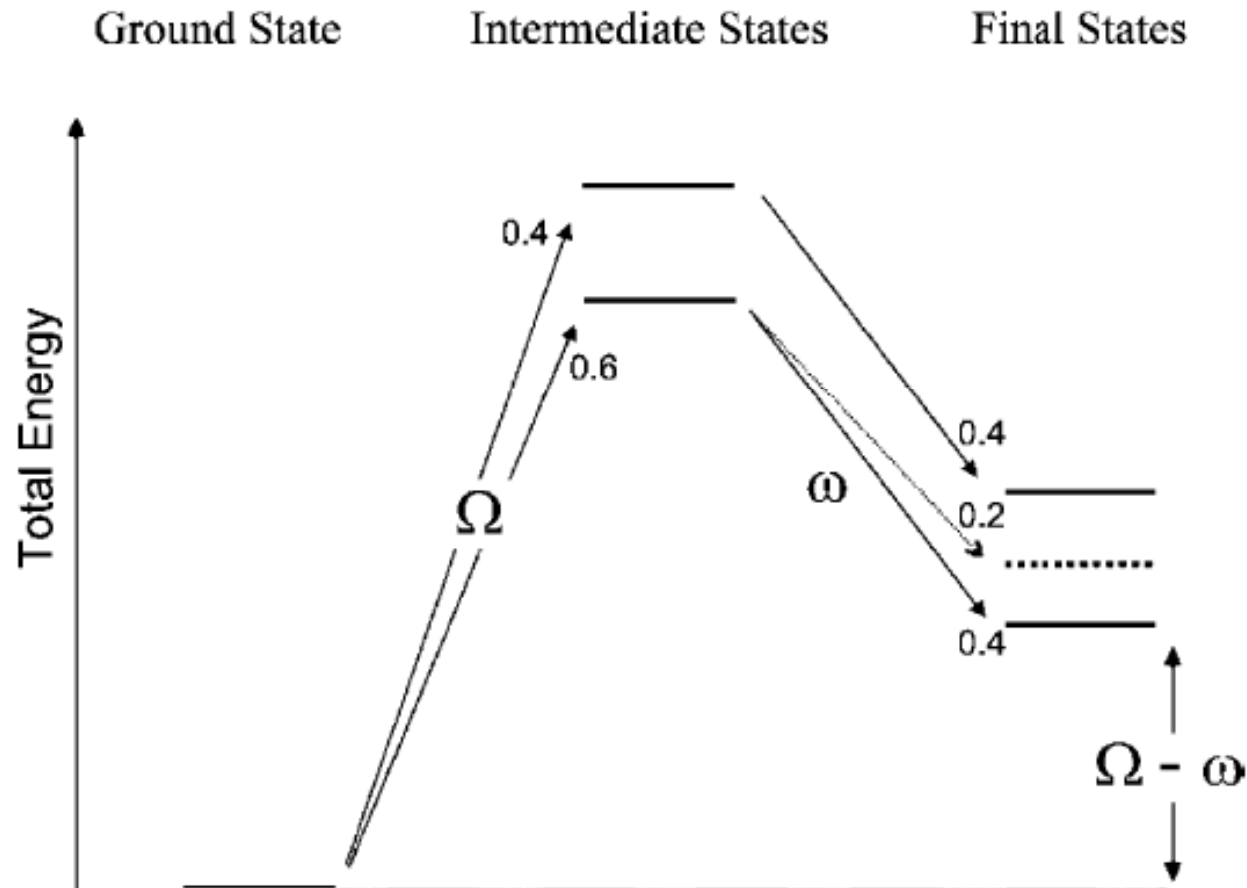


These energies relates to the excitation energy of L-M-edge absorption spectra



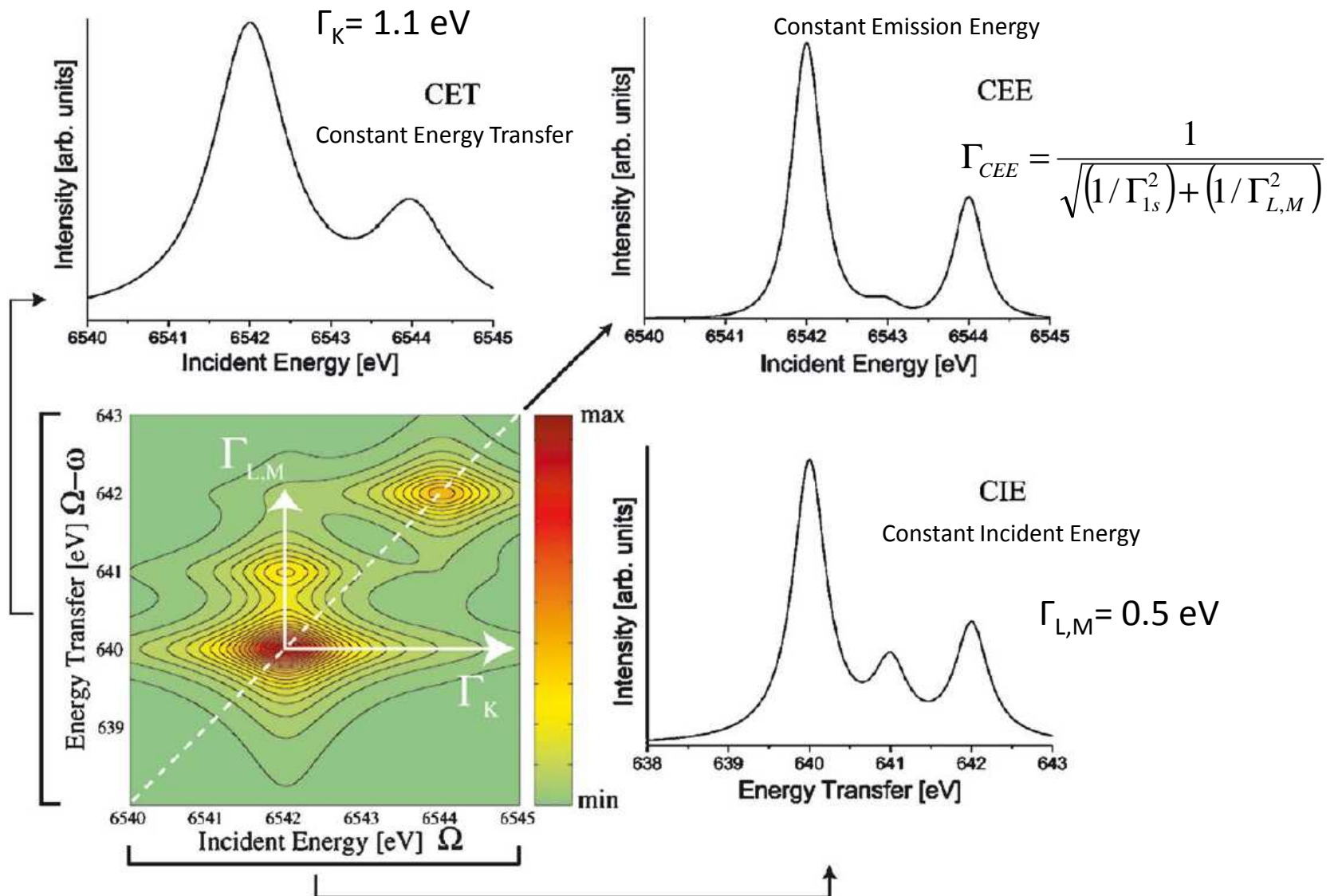
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# Energy scheme for a model system





# Theoretical RIXS plane with three line plots

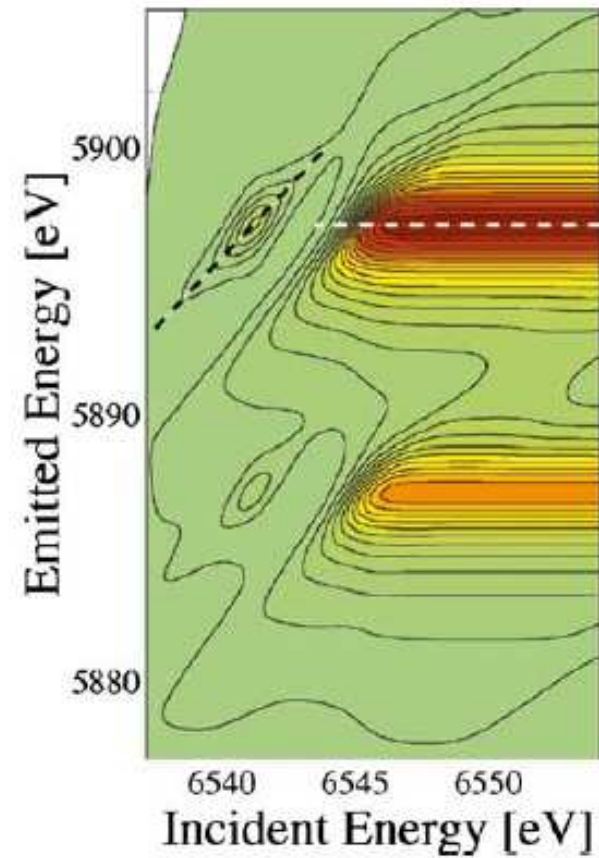
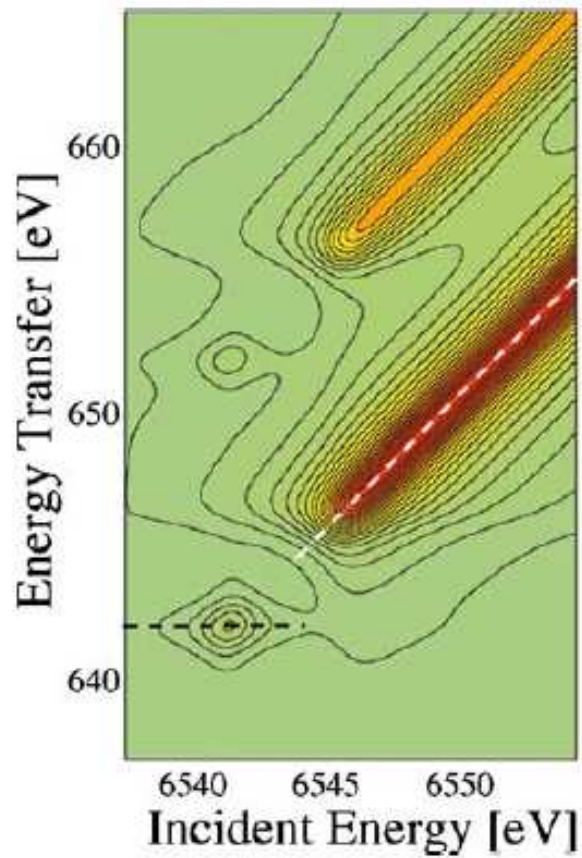


Splitting  
due to  
ligand  
field



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# Continuum excitations



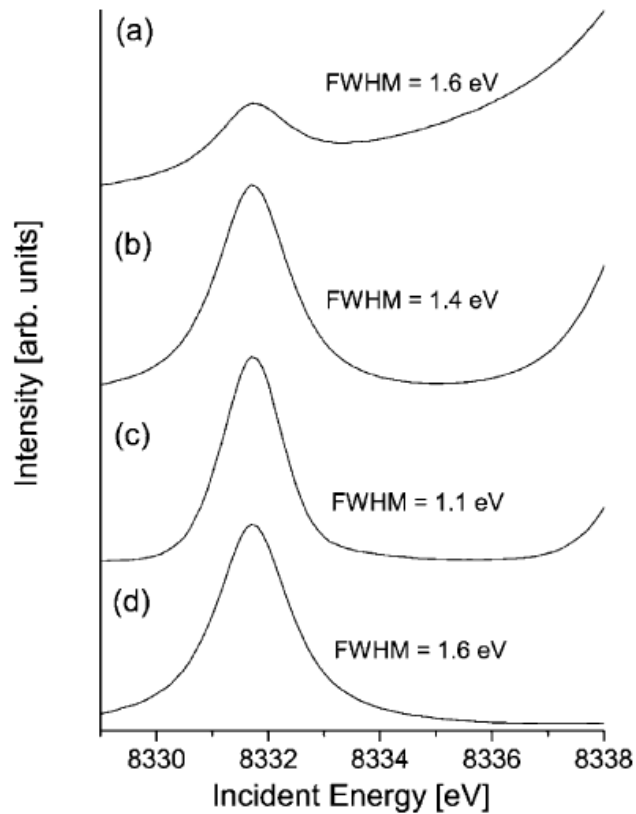
Spin-orbit  
splitting  
2p<sub>3/2</sub> and  
2p<sub>1/2</sub>

Raman-Stokes line shift

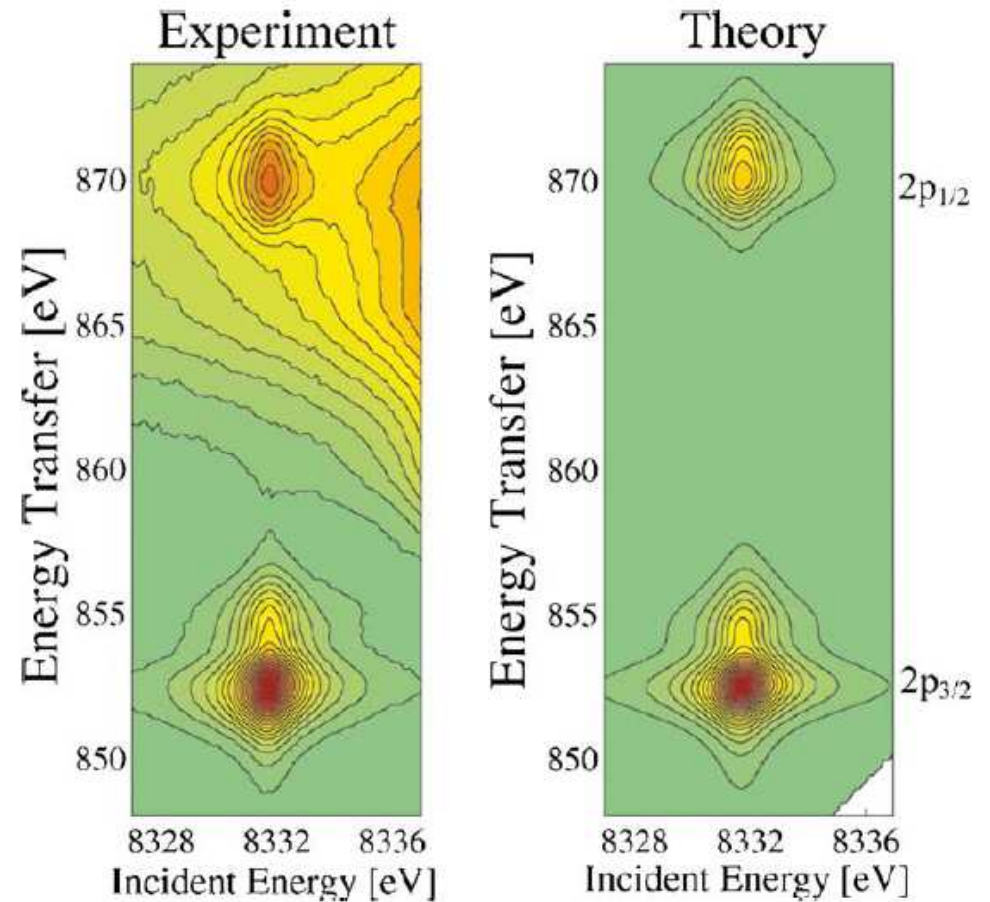




# Experimental data of the 1s – 3d resonance in NiF<sub>2</sub>



- a) K absorption pre-edge
- b) CEE line plot with 5 eV emission analyser bandwidth
- c) CEE line plot with 1 eV emission analyser bandwidth
- d) CET line plot integrated over 2p<sub>3/2</sub> final states

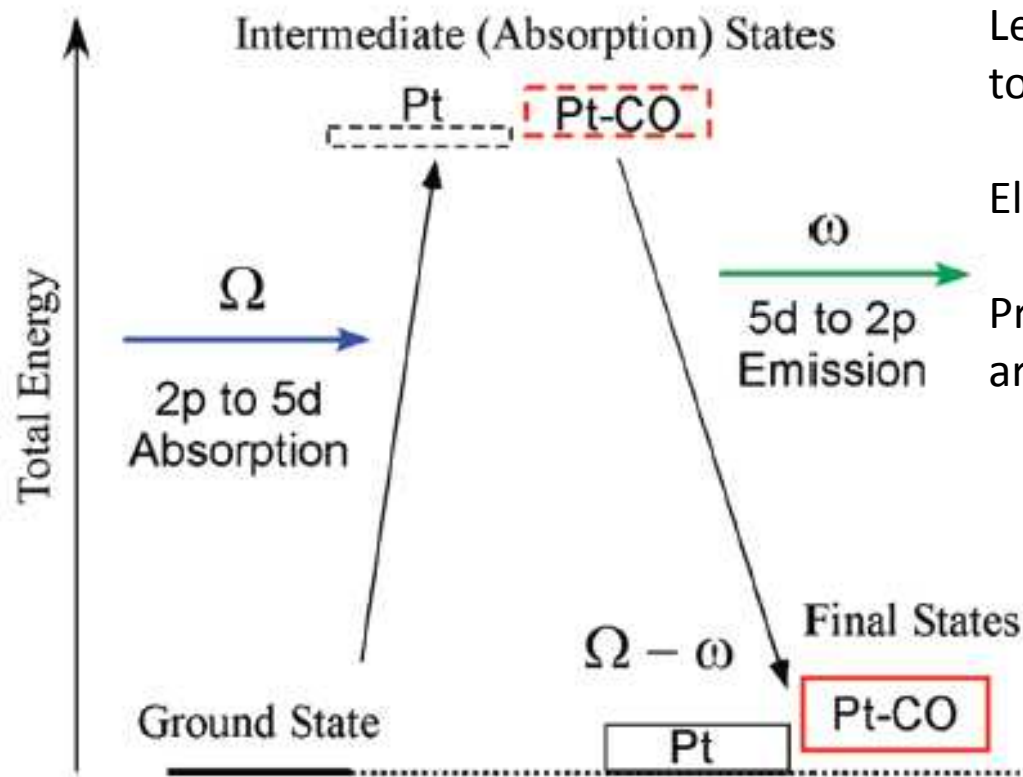


$\Gamma_K = 1.4$  eV lifetime broadening

$\Gamma_L = 0.7$  eV lifetime broadening



# Resonant inelastic X-ray scattering



Less restrictive than UPS with respect to the sample environment

Element selective

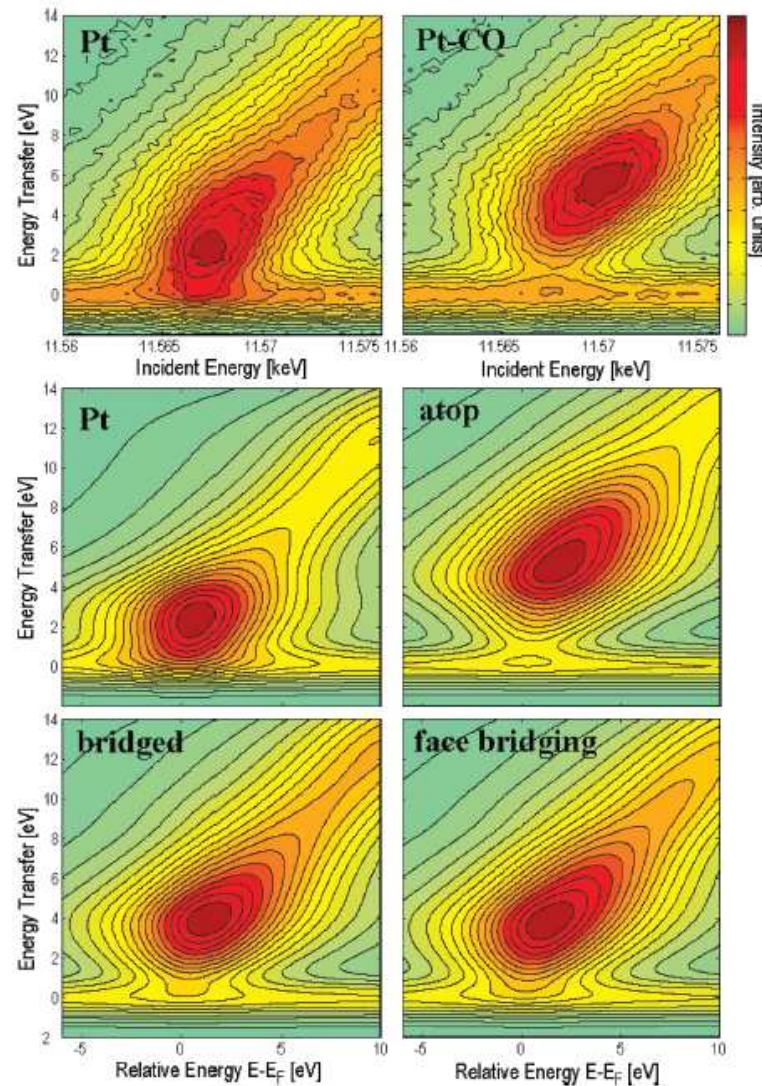
Probing the electronic structure around the Fermi level



# 2p<sub>3/2</sub> RIXS planes of Pt nanoparticles: metallic and with CO adsorbed



Metallic state: elastic peak merge with valence-band excitations  
Fermi level lies within a partially filled band



Left: metal  
Right: CO adsorbed

Calculated RIXS planes of Pt<sub>6</sub> clusters



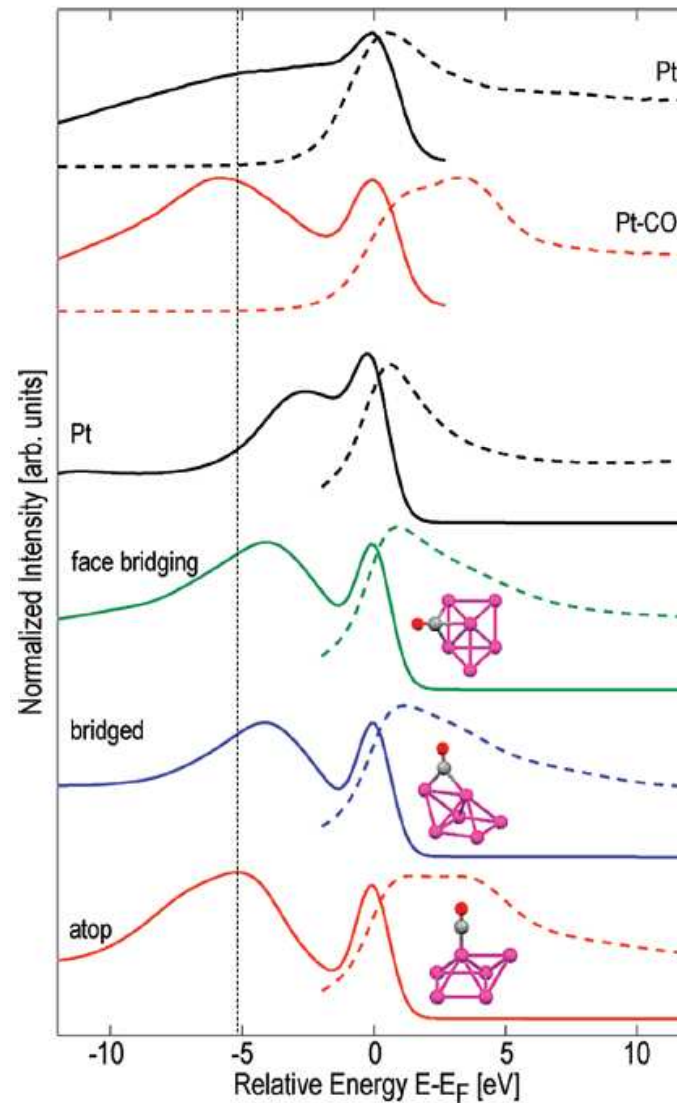
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# HERFD Pt L<sub>3</sub> XAS ↔ RIXS



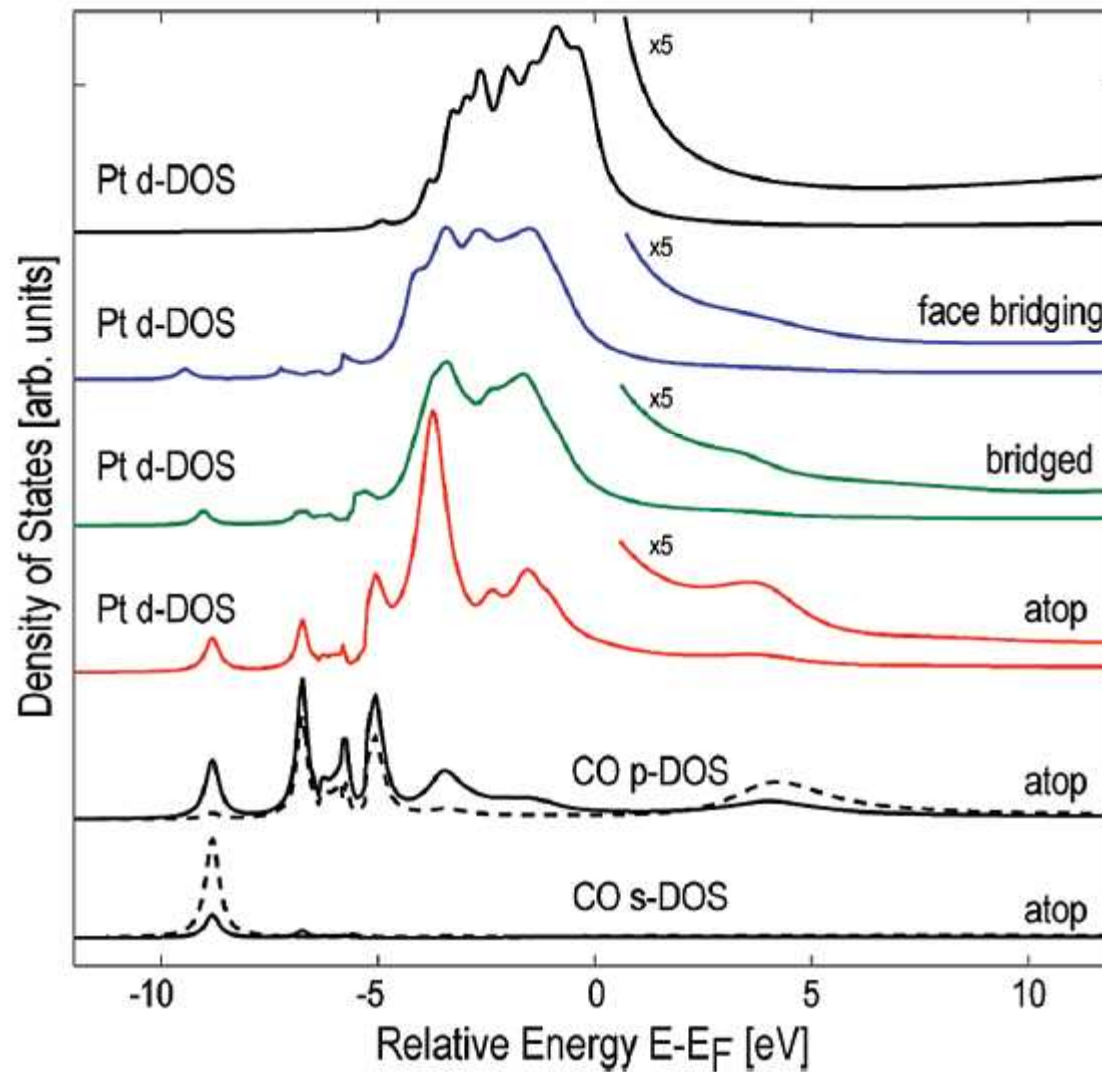
Dashed lines: exp.  
HERFD XAS  
Solid lines: RIXS

Calculated spectra:  
HERFD XAS  
Solid lines: RIXS





# Calculated orbital angular momentum-projected density of states



Dashed: C  
Solid: O



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# Literature



P. Glatzel, U. Bergmann

Coordination Chemistry Reviews 249 (2005) 65-95



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## Summary



RIXS is valuable to separate out the K-absorption pre-edge features and hence to study the local electronic structure of transition metal compounds

The energy transfer spectra are not broadened by the short 1s core hole lifetime but only by the longer final state lifetime resulting in sharper spectral features

By using an emission analyser with a modest energy bandwidth of a few eV and recording the  $2p_{3/2}$  ( $K\alpha_1$ ) CEE intensity the K-edge spectral features can be better resolved than in conventional absorption spectroscopy