# **X-Ray Diffraction**

#### NATIONAL CENTRE FOR CATALYSIS RESEARCH INDIAN INSTITUTE OF TECHNOLOGY MADRAS

29<sup>th</sup> July will be the last day of this course in view of the convocation on 30<sup>th</sup> and other reasons.

We do hope that you enjoyed this course as much as we did.

We shall be grateful if you can fill up the feed back form and return to us before the end of the course.

It will be our pleasure if you can suggest any other course that NCCR can hold as you think fit.

## X-ray Diffraction

- Bragg's Law
- Lattice Constants
- Laue Conditions
- θ 2θ Scan
- Scherrer's Formula
- Data Analysis Examples

#### Bragg's Law

 $n\lambda = 2 \ d \sin \theta$ 

• Constructive interference only occurs for certain  $\theta$ 's correlating to a (*hkl*) plane, specifically when the path difference is equal to n wavelengths.



### Bragg condition's

The diffraction condition can be written in vector form  $2\mathbf{k}\cdot\mathbf{G} + G^2 = 0$ 

- **k** is the incident wave vector
- **k'** is the reflected wave vector
- **G** is a reciprocal lattice vector such that where

 $\mathbf{G} = \Delta \mathbf{k} = \mathbf{k} - \mathbf{k'}$ 

the diffraction condition is met

#### Lattice Constants

The distance between planes of atoms is

$$d(hkl) = 2\pi / |\mathbf{G}|$$

Since G can be written as

$$\mathbf{G} = 2\pi/a \ (h^*\mathbf{b_1} + k^*\mathbf{b_2} + l^*\mathbf{b_3})$$

Substitute in G

$$d(hkl) = a / (h^2 + k^2 + l^2)^{(1/2)}$$

Or

$$a = d * (h^2 + k^2 + l^2)^{(1/2)}$$

*a* is the spacing between nearest neighbors

#### Laue Conditions

$$\mathbf{a_1} \cdot \Delta \mathbf{k} = 2\pi v_1 \qquad \mathbf{a_2} \cdot \Delta \mathbf{k} = 2\pi v_2$$
$$\mathbf{a_3} \cdot \Delta \mathbf{k} = 2\pi v_3$$

- Each of the above describes a cone in reciprocal space about the lattice vectors  $a_1$ ,  $a_2$ , and  $a_3$ .
  - the  $v_i$  are integers
- When a reciprocal lattice point intersects this cone the diffraction condition is met, this is generally called the Ewald sphere.

#### Summary of Bragg & Laue

- When a diffraction condition is met there can be a reflected X-ray
  - Extra atoms in the basis can suppress reflections
- Three variables  $\lambda$ ,  $\theta$ , and d
  - $-\lambda$  is known
  - $-\theta$  is measured in the experiment (2 $\theta$ )
  - d is calculated
- From the planes (*hkl*)
  - a is calculated

 $d = n\lambda/2 \sin\theta$ 

# $a = d \sqrt{(h2+k2+l2)}$

#### $\theta$ - 2 $\theta$ Scan

The  $\theta$  -  $2\theta$  scan maintains these angles with the sample, detector and X-ray source



#### $\theta$ - 2 $\theta$ Scan

The incident X-rays may reflect in many directions but will only be measured at one location so we will require that:

Angle of incidence  $(\theta_i)$  = Angle of reflection  $(\theta_r)$ 

This is done by moving the detector twice as fast in  $\theta$  as the source. So, only where  $\theta_i = \theta_r$  is the intensity of the reflect wave (counts of photons) measured.

#### $\theta$ - 2 $\theta$ Scan



NanoLab/NSF NUE/Bumm

Smaller Crystals Produce Broader XRD Peaks



### Scherrer's Formula



- *t* = thickness of crystallite
- K = constant dependent on crystallite shape (0.89)
- $\lambda$  = x-ray wavelength
- B = FWHM (full width at half max) or integral breadth
- $\theta_{\rm B} = Bragg \ Angle$

#### Scherrer's Formula

What is *B*?

 $B = (2\theta \text{ High}) - (2\theta \text{ Low})$ 

*B* is the difference in angles at half max



When to Use Scherrer's Formula

- Crystallite size <1000 Å
- Peak broadening by other factors
  - Causes of broadening
    - Size
    - Strain
    - Instrument
  - If breadth consistent for each peak then assured broadening due to crystallite size
- K depends on definition of t and B
- Within 20%-30% accuracy at best

Sherrer's Formula References

Corman, D. Scherrer's Formula: Using XRD to Determine Average Diameter of Nanocrystals.

#### Data Analysis

- Plot the data ( $2\theta$  vs. Counts)
- Determine the Bragg Angles for the peaks
- Calculate *d* and *a* for each peak
- Apply Scherrer's Formula to the peaks

#### Bragg Example



Au Foil

### Bragg Example

Simple Right!

#### Scherrer's Example



Au Foil

### Scherrer's Example



- $t = 0.89^* \lambda / (B \cos \theta_B) \qquad \lambda = 1.54 \text{ Å}$ = 0.89\*1.54 Å / (0.00174 \* Cos (98.25/2)) = 1200 Å
- $B = (98.3 98.2)^* \pi / 180 = 0.00174$

## Simple Right!

# Crystalline materials are characterized by the orderly periodic arrangements of atoms.

The (200) planes of atoms in NaCl



- The unit cell is the basic repeating unit that defines a crystal.
- Parallel **planes of atoms** intersecting the unit cell are used to define directions and distances in the crystal.
  - These crystallographic planes are identified by **Miller indices**.

The atoms in a crystal are a periodic array of coherent scatterers and thus can diffract light.

- Diffraction occurs when each object in a periodic array scatters radiation coherently, producing concerted constructive interference at specific angles.
- The <u>electrons</u> in an atom coherently scatter light.
  - The electrons interact with the oscillating electric field of the light wave.
- Atoms in a crystal form a periodic array of coherent scatterers.
  - The wavelength of X rays are similar to the distance between atoms.
  - Diffraction from different planes of atoms produces a diffraction pattern, which contains information about the atomic arrangement within the crystal.
- X Rays are also reflected, scattered incoherently, absorbed, refracted, and transmitted when they interact with matter.

X-Ray Powder Diffraction (XRPD) uses information about the position, intensity, width, and shape of diffraction peaks in a pattern from a polycrystalline sample.



The x-axis, 2theta, corresponds to the angular position of the detector that rotates around the sample.

Bragg's law is a simplistic model to understand what conditions are required for diffraction.

$$\lambda = 2d_{hkl}\sin\theta$$



- For parallel planes of atoms, with a space d<sub>hkl</sub> between the planes, constructive interference only occurs when Bragg's law is satisfied.
  - In our diffractometers, the X-ray wavelength  $\lambda$  is fixed.
  - Consequently, a family of planes produces a diffraction peak only at a specific angle  $\theta$ .
  - Additionally, the plane normal must be parallel to the diffraction vector
    - Plane normal: the direction perpendicular to a plane of atoms
    - Diffraction vector: the vector that bisects the angle between the incident and diffracted beam
- The space between diffracting planes of atoms determines peak positions.
- The peak intensity is determined by what atoms are in the diffracting plane.

Our powder diffractometers typically use the Bragg-Brentano geometry.



- The incident angle,  $\omega$ , is defined between the X-ray source and the sample.
- The diffracted angle,  $2\theta$ , is defined between the incident beam and the detector angle.
- The incident angle  $\omega$  is always  $1\!\!/_2$  of the detector angle 20 .
- In a  $\theta$ :2 $\theta$  instrument (e.g. Rigaku RU300), the tube is fixed, the sample rotates at  $\theta$  °/min and the detector rotates at 2 $\theta$  °/min.
- In a  $\theta$ : $\theta$  instrument (e.g. PANalytical X'Pert Pro), the sample is fixed and the tube rotates at a rate  $-\theta$  °/min and the detector rotates at a rate of  $\theta$  °/min.

A single crystal specimen in a Bragg-Brentano diffractometer would produce only one family of peaks in the diffraction pattern.



At 20.6 °2 $\theta$ , Bragg's law fulfilled for the (100) planes, producing a diffraction peak. The (110) planes would diffract at 29.3 °2θ; however, they are not properly aligned to produce a diffraction peak (the perpendicular to those planes does not bisect the incident and diffracted beams). Only background is observed.

The (200) planes are parallel to the (100) planes. Therefore, they also diffract for this crystal. Since  $d_{200}$  is  $\frac{1}{2} d_{100}$ , they appear at 42 °20.

A polycrystalline sample should contain thousands of crystallites. Therefore, all possible diffraction peaks should be observed.



- For every set of planes, there will be a small percentage of crystallites that are properly oriented to diffract (the plane perpendicular bisects the incident and diffracted beams).
- Basic assumptions of powder diffraction are that for every set of planes there is an equal number of crystallites that will diffract and that there is a statistically relevant number of crystallites, not just one or two.

- Powder Diffraction is more aptly named polycrystalline diffraction
  - Samples can be powder, sintered pellets, coatings on substrates, engine blocks, …
- If the crystallites are randomly oriented, and there are enough of them, then they will produce a continuous Debye cone.
- In a linear diffraction pattern, the detector scans through an arc that intersects each Debye cone at a single point; thus giving the appearance of a discrete diffraction peak.



# Area (2D) Diffraction allows us to image complete or incomplete (spotty) Debye diffraction rings





Polycrystalline thin film on a single crystal substrate

Mixture of fine and coarse grains in a metallic alloy

Conventional linear diffraction patterns would miss information about single crystal or coarse grained materials

# Linear (1D) Diffraction Scans have better resolution and less noise



Diffraction patterns are best reported using  $d_{hkl}$  and relative intensity rather than 2 $\theta$  and absolute intensity.

- The peak position as  $2\theta$  depends on instrumental characteristics such as wavelength.
  - The peak position as  $d_{hkl}$  is an intrinsic, instrument-independent, material property.
    - Bragg's Law is used to convert observed 20 positions to  $d_{\text{hkl}}.$
- The absolute intensity, i.e. the number of X rays observed in a given peak, can vary due to instrumental and experimental parameters.
  - The relative intensities of the diffraction peaks should be instrument independent.
    - To calculate relative intensity, divide the absolute intensity of every peak by the absolute intensity of the most intense peak, and then convert to a percentage. The most intense peak of a phase is therefore always called the "100% peak".
  - Peak areas are much more reliable than peak heights as a measure of intensity.

# Powder diffraction data consists of a record of photon intensity versus detector angle 2θ.

- Diffraction data can be reduced to a list of peak positions and intensities
  - Each d<sub>hkl</sub> corresponds to a **family** of atomic planes {hkl}
  - individual planes cannot be resolved- this is a limitation of powder diffraction versus single crystal diffraction














### MILLER INDEX



### **X-Ray Generation**



## **X-Ray Generation**



## X-Ray Generation



## X-Ray Diffractometer



### Wave Interference



## Atom



### **Row Diffraction**



## Wavefronts



## **Diffraction by Row**



## **One Diffracted Ray**



## Single Diffracted Ray







### **Bragg & Lauae Diffraction**





## X-Ray Diffractometer



## Intensity Repro.



## **XRD** Intensity



## **Diffraction Loss**



### IUDD database

#### 5-0490 MINOR CORRECTION

d	3.34	4.26	1.82	4.26	SiO <sub>2</sub>					*	
I/I,	100	35	17	35	SILICON OXIDE QUARTZ, LO						
Rad. Cu.	Kai )	1.5404	F	iiter Ni	Åb	И,	hki	dÅ	1/1,	hki	
Dia. Cut off Coll 11, G.C. DIFFRACTOMETER d corr abs? Ref. SWANSON AND FUNAT, NBS CIRCULAR 539, VOL. III (1953)				4.26 3.343 2.458 2.531	35 100 12 12 12 6 9 6 17 √1	100 101 110 102 111 200 201 112 003	1.226 1.1997 1.1973	2 5 2 4	220 213 221		
Sys. HEXAGONAL         S.G. $D_r^2 = P3/21$ a. 4.913         b.         c. 5.405         A         C 1.10 $\alpha$ $\beta$ $\gamma$ 7.3         Ref. 1802.							10 2.382 2.337 2.428 1.950 1.817 1.891	1.1828 1.1802 1.1530 1.1408 1.1144 1.0816	4 2 4	310 311 204 903 312	
πα						7 3 <1 15	202 103 210 211	1.0636 1.0477 1.0437 1.0346	1 2 2 2	400 105 401 214	
Sample from Lake Toxaway, N.C. Spect Anal.: <0.01% Al: <0.001% Ca,Cu,Fe,Mg, X ray parteen at 25°C 3-0427, 3-0444					1,453 1,418 1,382 1,375 1,372 1,288	317193	113 300 212 203 301 104	1.0149 0.9896 .9872 .9781 .9752 .9607	2 2 2 1 2	223 402,115 313 304 320 321	
REPLACES 1-0649, 2-0458, 2-0459, 2-0471, 3-0419					1.256	4	302	.9285	<1	410	

### Quantitative

BASICS OF QUANTITATIVE X-RAY DIFFRACTION

The fundamental relationships in quantitative XRD analysis are illustrated in equation (1). The intensity of the j-th diffraction peak ("reflection") from mineral a is

 $I_{ja} = K_{ja} X_a / \rho_a \Sigma(\mu / \rho)_m \tag{1}$ 

 $K_{ja}$  = Laue diffraction function for the j-th reflection from mineral a

X<sub>a</sub> = weight fraction of mineral a

 $\rho_a$  = density of mineral a

 $\Sigma(\mu/\rho)_m$  = total mass absorption of the sample summed over the constituent atoms in a mixture m.

### Quantitative

The mineral-specific aspects of equation (1) can be illustrated by expanding  $K_{j\alpha}$ :

 $K_{j\alpha} = k_{exp}(M/V^2)Lp|F_j|^2$ , where

 $(F_i = |F_i|e^{i\phi} = \Sigma t f e^{2\pi i (hx+ky+lz)})$ 

 $k_{exp} = constant$  M = multiplicity factor V = volume of the unit cell Lp = angular dependent Lorentz andpolarization corrections.  $|F_j| = absolute value (amplitude) of F_j, the$ structure factor for the j-th reflection  $\phi = scattering phase of the structure factor for$ the j-th reflection. The sum is over all atoms in the unit cell, each atom with a scattering factor f, temperature factor t, and relative position

x,y,z.

### Quantitative



### XRD CAN BE USED to determine

- Phase Composition of a Sample
  - Quantitative Phase Analysis: determine the relative amounts of phases in a mixture by referencing the relative peak intensities
- Unit cell lattice parameters and Bravais lattice symmetry
  - Index peak positions
  - Lattice parameters can vary as a function of, and therefore give you information about, alloying, doping, solid solutions, strains, etc.
- Residual Strain (macrostrain)
- Crystal Structure
  - By Rietveld refinement of the entire diffraction pattern
- Epitaxy/Texture/Orientation
- Crystallite Size and Microstrain
  - Indicated by peak broadening
  - Other defects (stacking faults, etc.) can be measured by analysis of peak shapes and peak width
- We have in-situ capabilities, too (evaluate all properties above as a function of time, temperature, and gas environment)

### Phase Identification

- The diffraction pattern for every phase is as unique as your fingerprint
  - Phases with the same chemical composition can have drastically different diffraction patterns.
  - Use the position and relative intensity of a series of peaks to match experimental data to the reference patterns in the database



Databases such as the Powder Diffraction File (PDF) contain dI lists for thousands of crystalline phases.

- The PDF contains over 200,000 diffraction patterns.
- Modern computer programs can help you determine what phases are present in your sample by quickly comparing your diffraction data to all of the patterns in the database.
- The PDF card for an entry contains a lot of useful information, including literature references.

-🖼 PDF#00-021-1276(RDB): QM=Star(S); d=(Unknown); I= 🔳 🔲 🔀	-(22) ;	DF#00-0	021-12	76 (RDE	8): QM=	Star(S)	; d=(Un	known); l= (
Reference Lines(38) 🛏 🖬 Cu 🔽 8 🖨 🛱 😰 🗘 🖈 🗙	<u>R</u> efe	erence ∐	nes(38)	н			Cu 🔻 8	🔹 🖨 🖪 🖻
Rutile, syn TiO <sub>2</sub> (White)	#	2-Theta	d(Å)	I(f)	(hkl)	Theta	1/(2d)	2pi/d n^2
Radiation=CuK∞1Lambda=1.54056Filter=Calibration=Internal(W)2T=27.447-155.866I/Ic(RIR)=3.40Ref:Natl. Bur. Stand. (U.S.) Monogr. 25, v7 p83 (1969)	2 3 4 5 6	36.086 39.187 41.226 44.051 54.323	2.4870 2.2970 2.1880 2.0540 1.6874	50.0 8.0 25.0 10.0 60.0	(101) (200) (111) (210) (211)	18.043 19.594 20.613 22.026 27.161	0.2010 0.2177 0.2285 0.2434 0.2963	2.5264 2.7354 2.8717 3.0590 3.7236
Tetragonal - Powder Diffraction, P42/mnm (136)         Z=2         mp=           CELL: 4.5933 x 4.5933 x 2.9592 <90.0 x 90.0 x 90.0 >         P.S=tP6.00           Density(c)=4.25         Density(m)=4.23         Mwt=79.9         Vol=62.43           Ref:         F(30)=107.8(0.008,32/0)	7 8 9 10 11 12	56.642 62.742 64.040 65.479 69.010 69.790	1.6237 1.4797 1.4528 1.4243 1.3598 1.3465	20.0 10.0 10.0 2.0 20.0 12.0	(220) (002) (310) (221) (301) (112)	28.321 31.371 32.020 32.740 34.505 34.895	0.3079 0.3379 0.3442 0.3510 0.3677 0.3713	3.8697 4.2463 4.3249 4.4114 4.6207 4.6663
Strong Lines: 3.25/X 1.69/6 2.49/5 2.19/3 1.62/2 1.36/2 0.82/1 1.35/1 (I%-Typ General Comments: Pattern reviewed by Syvinski, W., McCarthy, G., North Dakota State Univ, Fargo, North Dakota, USA, ICDD Grant-in-Aid (1990). Agrees well with experimental and calculated patterns. Additional weak reflections (indicated by brackets) were observed. Naturally	13 14 15 16 17 18 19	72.409 74.411 76.509 79.821 82.334 84.260 87.463	1.3041 1.2739 1.2441 1.2006 1.1702 1.1483 1.1143	2.0 1.0 2.0 6.0 4.0 2.0	(311) (320) (202) (212) (321) (400) (410)	36.205 37.205 38.255 39.911 41.167 42.130 43.732	0.3834 0.3925 0.4019 0.4165 0.4273 0.4354 0.4354	4.8180 4.9322 5.0504 5.2334 5.3693 5.4717 5.6387

### **Quantitative Phase Analysis**

- With high quality data, you can determine how much of each phase is present
  - must meet the constant volume assumption
- The ratio of peak intensities varies linearly as a function of weight fractions for any two phases in a mixture
  - need to know the constant of proportionality
- Whole pattern fitting/Rietveld refinement is a more accurate but more complicated analysis



### Unit Cell Lattice Parameter Refinement

- By accurately measuring peak positions over a long range of 2theta, you can determine the unit cell lattice parameters of the phases in your sample
  - alloying, substitutional doping, temperature and pressure, etc can create changes in lattice parameters that you may want to quantify
  - use many peaks over a long range of 2theta so that you can identify and correct for systematic errors such as specimen displacement and zero shift
  - measure peak positions with a peak search algorithm or profile fitting
    - profile fitting is more accurate but more time consuming
  - then numerically refine the lattice parameters

### **Crystallite Size and Microstrain**

- Crystallites smaller than ~120nm create broadening of diffraction peaks
  - this peak broadening can be used to quantify the average crystallite size of nanoparticles using the Scherrer equation
  - must know the contribution of peak width from the instrument by using a calibration curve
- microstrain may also create peak broadening
  - analyzing the peak widths over a long range of 2theta using a Williamson-Hull plot can let you separate microstrain and crystallite size



### Preferred Orientation (texture)

- Preferred orientation of crystallites can create a systematic variation in diffraction peak intensities
  - can qualitatively analyze using a 1D diffraction pattern
  - a pole figure maps the intensity of a single peak as a function of tilt and rotation of the sample







### **Overview of the Diffractometer**

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### Essential Parts of the Diffractometer

- X-ray Tube: the source of X Rays
- Incident-beam optics: condition the X-ray beam before it hits the sample
- The goniometer: the platform that holds and moves the sample, optics, detector, and/or tube
- The sample & sample holder
- Receiving-side optics: condition the X-ray beam after it has encountered the sample
- Detector: count the number of X Rays scattered by the sample

### Most of our powder diffractometers use the Bragg-Brentano parafocusing geometry.

- A point detector and sample are moved so that the detector is always at 2θ and the sample surface is always at θ to the incident X-ray beam.
- In the parafocusing arrangement, the incident- and diffracted-beam slits move on a circle that is centered on the sample. Divergent X rays from the source hit the sample at different points on its surface. During the diffraction process the X rays are refocused at the detector slit.
- This arrangement provides the best combination of intensity, peak shape, and angular resolution for the widest number of samples.



Goniometer Circle Radius

 $\mathbf{R} = \mathbf{F} \rightarrow \mathbf{S} = \mathbf{S} \rightarrow \mathbf{RS}$ 

Figure 7.7. Geometric arrangement of the Bragg-Brentano diffractometer.

F: the X-ray source

DS: the incident-beam divergence-limiting slit

SS: the Soller slit assembly

S: the sample

RS: the diffracted-beam receiving slit

C: the monochromator crystal

AS: the anti-scatter slit

# X-radiation for diffraction measurements is produced by a sealed tube or rotating anode.

- Sealed X-ray tubes tend to operate at 1.8 to 3 kW.
- Rotating anode X-ray tubes produce much more flux because they operate at 9 to 18 kW.
  - A rotating anode spins the anode at 6000 rpm, helping to distribute heat over a larger area and therefore allowing the tube to be run at higher power without melting the target.
- Both sources generate X rays by striking the anode target with an electron beam from a tungsten filament.
  - The target must be water cooled.
  - The target and filament must be contained in a vacuum.


### **X-Ray Generation**



# The wavelength of X rays is determined by the anode of the X-ray source.

- Electrons from the filament strike the target anode, producing characteristic radiation via the photoelectric effect.
- The anode material determines the wavelengths of characteristic radiation.
- While we would prefer a monochromatic source, the X-ray beam actually consists of several characteristic wavelengths of X rays.



#### **Spectral Contamination in Diffraction Patterns** Κα1 Κα1 Kα2 Κα1 $K\alpha 2$ Kα2 88 89 90 91 92 93 95 96 W L $\alpha$ 1 87 94 Two-Theta (deg)

Κβ

42 43

45

44

46

Two-Theta (deg)

47

48

49

- The K $\alpha$ 1 & K $\alpha$ 2 doublet will almost always be present
  - Very expensive optics can remove the K $\alpha 2$  line
  - Ka1 & Ka2 overlap heavily at low angles and are more separated at high angles
- W lines form as the tube ages: the W filament contaminates the target anode and becomes a new X-ray source
- W and K $\beta$  lines can be removed with optics

#### Wavelengths for X-Radiation are Sometimes Updated

Copper	Bearden	Holzer et al.	Cobalt	Bearden	Holzer et al.
Anodes	(1967)	(1997)	Anodes	(1967)	(1997)
Cu Kα1	1.54056Å	1.540598 Å	Co Κα1	1.788965Å	1.789010 Å
Cu Kα2	1.54439Å	1.544426 Å	Co Κα2	1.792850Å	1.792900 Å
Cu Kβ	1.39220Å	1.392250 Å	Co Kβ	1.62079Å	1.620830 Å
Molybdenum			Chromium		
Anodes			Anodes		
Μο Κα1	0.709300Å	0.709319 Å	Cr Kα1	2.28970Å	2.289760 Å
Μο Κα2	0.713590Å	0.713609 Å	Cr Kα2	2.293606Å	2.293663 Å
Μο Κβ	0.632288Å	0.632305 Å	Cr Kβ	2.08487Å	2.084920 Å

- Often quoted values from Cullity (1956) and Bearden, *Rev. Mod. Phys.* **39** (1967) are incorrect.
  - Values from Bearden (1967) are reprinted in *international Tables for X-Ray Crystallography* and most XRD textbooks.
- Most recent values are from Hölzer et al. *Phys. Rev. A* 56 (1997)
- Has your XRD analysis software been updated?

# The X-ray Shutter is the most important safety device on a diffractometer

- X-rays exit the tube through X-ray transparent Be windows.
- X-Ray safety shutters contain the beam so that you may work in the diffractometer without being exposed to the X-rays.
- Being aware of the status of the shutters is the most important factor in working safely with X rays.



The X-ray beam produced by the X-ray tube is divergent. Incident-beam optics are used to limit this divergence

$$\lambda = 2d_{hkl}\sin\theta$$

- X Rays from an X-ray tube are:
  - divergent
  - contain multiple characteristic wavelengths as well as Bremmsstrahlung radiation
- neither of these conditions suit our ability to use X rays for analysis
  - the divergence means that instead of a single incident angle q, the sample is actually illuminated by photons with a range of incident angles.
  - the spectral contamination means that the sample does not diffract a single wavelength of radiation, but rather several wavelengths of radiation.
    - Consequently, a single set of crystallographic planes will produce several diffraction peaks instead of one diffraction peak.
- Optics are used to:
  - limit divergence of the X-ray beam
  - refocus X rays into parallel paths
  - remove unwanted wavelengths

# Divergence slits are used to limit the divergence of the incident X-ray beam.

- The slits block X-rays that have too great a divergence.
- The size of the divergence slit influences peak intensity and peak shapes.
- Narrow divergence slits:
  - reduce the intensity of the X-ray beam
  - reduce the length of the X-ray beam hitting the sample
  - produce sharper peaks
    - the instrumental resolution is improved so that closely spaced peaks can be resolved.



One by-product of the beam divergence is that the length of the beam illuminating the sample becomes smaller as the incident angle becomes larger.

- The length of the incident beam is determined by the divergence slit, goniometer radius, and incident angle.
- This should be considered when choosing a divergence slits size:
  - if the divergence slit is too large, the beam may be significantly longer than your sample at low angles
  - if the slit is too small, you may not get enough intensity from your sample at higher angles
- The width of the beam is constant: 12mm for the Rigaku RU300.





#### Other optics:

- limit divergence of the X-ray beam
  - Divergence limiting slits
  - Parallel plate collimators
  - Soller slits
- refocus X rays into parallel paths
  - "parallel-beam optics"
  - parabolic mirrors and capillary lenses
  - focusing mirrors and lenses
- remove unwanted wavelengths
  - monochromators
  - K $\beta$  filters



Parallel Plate Collimator & Soller Slits block divergent X-rays, but do not restrict beam size like a divergent slit



Göbel Mirrors and capillary lenses collect a large portion of the divergent beam and refocus it into a nearly parallel beam Monochromators remove unwanted wavelengths of radiation from the incident or diffracted X-ray beam.

- Diffraction from a crystal monochromator can be used to select one wavelength of radiation and provide energy discrimination.
- An incident-beam monochromator might be used to select only Ka1 radiation for the tube source.
- A diffracted-beam monochromator, such as on the Rigaku RU300, may be used to remove fluoresced photons, Kb, or W-contimination photons from reaching the detector.
  - Without the RSM slit, the monochromator removes ~75% of unwanted wavelengths of radiation.
  - When the RSM slit is used, over 99% of the unwanted wavelengths of radiation can be removed from the beam.

#### Detectors

- point detectors
  - observe one point of space at a time
    - slow, but compatible with most/all optics
  - scintillation and gas proportional detectors count all photons, within an energy window, that hit them
  - Si(Li) detectors can electronically analyze or filter wavelengths
- position sensitive detectors
  - linear PSDs observe all photons scattered along a line from 2 to 10° long
  - 2D area detectors observe all photons scattered along a conic section
  - gas proportional (gas on wire; microgap anodes)
    - limited resolution, issues with deadtime and saturation
  - CCD
    - limited in size, expensive
  - solid state real-time multiple semiconductor strips
    - high speed with high resolution, robust

#### Introduction to the Rigaku Powder Diffractometer

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#### Choosing which side of the Rigaku RU300 to use

- The Rigaku instrument has two powder diffractometers:
  - the left-hand side goniometer has a 250mm radius, which provides high angular resolution and more accurate peak positions, but which requires 2 to 3 times longer to collect data because the beam is weaker.
  - the right-hand side goniometer has a 185mm radius, which provides more intensity and faster data collection, but at the sacrifice of some resolution and accuracy.

#### Left-Hand Side (250mm radius) of the Rigaku Diffractometer



### Configuring the Rigaku RU300

- To use either Rigaku diffractometer, you will need to choose which divergence slit (DS), anti-scatter slit (SS), receiving slit (RS), and monochromator receiving slit (RSM) to use.
- typical DS is <sup>1</sup>/<sub>2</sub>° or 1°
  - The slit can be as small as  $0.15^\circ$  or as large as  $4^\circ$
  - when low angle data is important or better angular resolution is required (so that peaks near each other can be resolved), use a smaller slit
  - when high angle data or intensity is more important, use a larger slit
- The anti-scatter slit should be the same size as the DS.
- the receiving slit is typically 0.3 mm.
  - larger 0.6mm or smaller 0.15mm slits are also available
  - a smaller slit provides better peak shapes and resolution, but at the sacrifice of some intensity
- The RSM slit is only needed when spectral contamination from K-beta of W-lines is problematic.
  - should always be used when using the left-hand side, 250mm goniometer.
  - should always be used when looking at a coating on a single crystal substrate
  - otherwise, only needed if the sample produces some very strong peaks

**Sample Preparation** 

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#### Preparing a powder specimen

- An ideal powder sample should have many crystallites in random orientations
  - the distribution of orientations should be smooth and equally distributed amongst all orientations
- If the crystallites in a sample are very large, there will not be a smooth distribution of crystal orientations. You will not get a powder average diffraction pattern.
  - crystallites should be  $<10\mu$ m in size to get good powder statistics
- Large crystallite sizes and non-random crystallite orientations both lead to peak intensity variation
  - the measured diffraction pattern will not agree with that expected from an ideal powder
  - the measured diffraction pattern will not agree with reference patterns in the Powder Diffraction File (PDF) database

Preferred orientation

- If the crystallites in a powder sample have plate or needle like shapes it can be very difficult to get them to adopt random orientations
  - top-loading, where you press the powder into a holder, can cause problems with preferred orientation
- in samples such as metal sheets or wires there is almost always preferred orientation due to the manufacturing process
- for samples with systematic orientation, XRD can be used to quantify the texture in the specimen

#### Important characteristics of samples for XRPD

- a flat plate sample for XRPD should have a smooth flat surface
  - if the surface is not smooth and flat, X-ray absorption may reduce the intensity of low angle peaks
  - parallel-beam optics can be used to analyze samples with odd shapes or rought surfaces
- Densely packed
- Randomly oriented grains/crystallites
- Grain size less than 10 microns
- 'Infinitely' thick

Varying Irradiated area of the sample

- the area of your sample that is illuminated by the X-ray beam varies as a function of:
  - incident angle of X rays
  - divergence angle of the X rays
- at low angles, the beam might be wider than your sample
  - "beam spill-off"



The constant volume assumption

- In a polycrystalline sample of 'infinite' thickness, the change in the irradiated area as the incident angle varies is compensated for by the change in the penetration depth
- These two factors result in a constant irradiated volume
  - (as area decreases, depth increase; and vice versa)
- This assumption is important for many aspects of XRPD
  - Matching intensities to those in the PDF reference database
  - Crystal structure refinements
  - Quantitative phase analysis
- This assumption is not necessarily valid for thin films or small quantities of sample on a ZBH

Ways to prepare a powder sample

- Top-loading a bulk powder into a well
  - deposit powder in a shallow well of a sample holder. Use a slightly rough flat surface to press down on the powder, packing it into the well.
    - using a slightly rough surface to pack the powder can help minimize preferred orientation
    - mixing the sample with a filler such as flour or glass powder may also help minimize preferred orientation
    - powder may need to be mixed with a binder to prevent it from falling out of the sample holder
      - alternatively, the well of the sample holder can be coated with a thin layer of vaseline

- Dispersing a thin powder layer on a smooth surface
  - a smooth surface such as a glass slide or a zero background holder (ZBH) may be used to hold a thin layer of powder
    - glass will contribute an amorphous hump to the diffraction pattern
    - the ZBH avoids this problem by using an off-axis cut single crystal
  - dispersing the powder with alcohol onto the sample holder and then allowing the alcohol to evaporate, often provides a nice, even coating of powder that will adhere to the sample holder
  - powder may be gently sprinkled onto a piece of double-sided tape or a thin layer of vaseline to adhere it to the sample holder
    - the double-sided tape will contribute to the diffraction pattern
  - these methods are necessary for mounting small amounts of powder
  - these methods help alleviate problems with preferred orientation
  - the constant volume assumption is not valid for this type of sample, and so quantitative and Rietveld analysis will require extra work and may not be possible

#### Sources of Error in XRD Data

- Sample Displacement
  - occurs when the sample is not on the focusing circle (or in the center of the goniometer circle)
  - The greatest source of error in most data
  - A systematic error:

$$\Delta 2\theta = -\frac{2s\cos\theta}{R}$$
(in radians)

- S is the amount of displacement, R is the goniometer radius.
- at 28.4° 2theta, s=0.006" will result in a peak shift of 0.08°
- Can be minimized by using a zero background sample holder
- Can be corrected by using an internal calibration standard
- Can be analyzed and compensated for with many data analysis algorithms
  - For sample ID, simply remember that your peak positions may be shifted a little bit
- Can be eliminated by using parallel-beam optics

#### Other sources of error

- Axial divergence
  - Due to divergence of the X-ray beam in plane with the sample
  - creates asymmetric broadening of the peak toward low 2theta angles
  - Creates peak shift: negative below 90° 2theta and positive above 90°
  - Reduced by Soller slits and/or capillary lenses
- Flat specimen error
  - The entire surface of a flat specimen cannot lie on the focusing circle
  - Creates asymmetric broadening toward low 2theta angles
  - Reduced by small divergence slits; eliminated by parallel-beam optics
- Poor counting statistics
  - The sample is not made up of thousands of randomly oriented crystallites, as assumed by most analysis techniques
  - The sample might be textured or have preferred orientation
    - Creates a systematic error in peak intensities
    - Some peaks might be entirely absent
  - The sample might have large grain sizes
    - Produces 'random' peak intensities and/or spotty diffraction peaks
- http://www.gly.uga.edu/schroeder/geol6550/XRD.html

#### sample transparency error

- X Rays penetrate into your sample
  - the depth of penetration depends on:
    - the mass absorption coefficient of your sample
    - the incident angle of the X-ray beam
- This produces errors because not all X rays are diffracting from the same location
  - Angular errors and peak asymmetry
  - Greatest for organic and low absorbing (low atomic number) samples
- Can be eliminated by using parallel-beam optics or reduced by using a thin sample

$$\Delta 2\theta = \frac{\sin 2\theta}{2\mu R}$$

 $\boldsymbol{\mu}$  is the linear mass absorption coefficient for a specific sample

#### Techniques in the XRD SEF

- X-ray Powder Diffraction (XRPD)
- Single Crystal Diffraction (SCD)
- Back-reflection Laue Diffraction (no acronym)
- Grazing Incidence Angle Diffraction (GIXD)
- X-ray Reflectivity (XRR)
- Small Angle X-ray Scattering (SAXS)

### X-Ray Powder Diffraction (XRPD)

- More appropriately called polycrystalline X-ray diffraction, because it can also be used for sintered samples, metal foils, coatings and films, finished parts, etc.
- Used to determine:
  - phase composition (commonly called phase ID)- what phases are present?
  - quantitative phase analysis- how much of each phase is present?
  - unit cell lattice parameters
  - crystal structure
  - average crystallite size of nanocrystalline samples
  - crystallite microstrain
  - texture
  - residual stress (really residual strain)
  - in-situ diffraction (from 11 K to 1200C in air, vacuum, or inert gas)

#### Grazing Incident Angle Diffraction (GIXD)

- also called Glancing Angle X-Ray Diffaction
- The incident angle is fixed at a very small angle (<5°) so that X-rays are focused in only the top-most surface of the sample.
- GIXD can perform many of analyses possible with XRPD with the added ability to resolve information as a function of depth (depthprofiling) by collecting successive diffraction patterns with varying incident angles
  - orientation of thin film with respect to substrate
  - lattice mismatch between film and substrate
  - epitaxy/texture
  - macro- and microstrains
  - reciprocal space map

#### X-Ray Reflectivity (XRR)

- A glancing, but varying, incident angle, combined with a matching detector angle collects the X rays reflected from the samples surface
- Interference fringes in the reflected signal can be used to determine:
  - thickness of thin film layers
  - density and composition of thin film layers
  - roughness of films and interfaces



#### Back Reflection Laue

- Used to determine crystal orientation
- The beam is illuminated with 'white' radiation
  - Use filters to remove the characteristic radiation wavelengths from the X-ray source
  - The Bremmsstrahlung radiation is left
    - Weak radiation spread over a range of wavelengths
- The single crystal sample diffracts according to Bragg's Law
  - Instead of scanning the angle theta to make multiple crystallographic planes diffract, we are effectively 'scanning' the wavelength
  - Different planes diffract different wavelengths in the X-ray beam, producing a series of diffraction spots

#### Small Angle X-ray Scattering (SAXS)

- Highly collimated beam, combined with a long distance between the sample and the detector, allow sensitive measurements of the Xrays that are just barely scattered by the sample (scattering angle <6°)</li>
- The length scale of d (Å) is inversely proportional to the scattering angle: therefore, small angles represented larger features in the samples
- Can resolve features of a size as large as 200 nm
  - Resolve microstructural features, as well as crystallographic
- Used to determine:
  - crystallinity of polymers, organic molecules (proteins, etc.) in solution,
  - structural information on the nanometer to submicrometer length scale
  - ordering on the meso- and nano- length scales of self-assembled molecules and/or pores
  - dispersion of crystallites in a matrix

### Single Crystal Diffraction (SCD)

- Used to determine:
  - crystal structure
  - orientation
  - degree of crystalline perfection/imperfections (twinning, mosaicity, etc.)
- Sample is illuminated with monochromatic radiation
  - The sample axis, phi, and the goniometer axes omega and 2theta are rotated to capture diffraction spots from at least one hemisphere
  - Easier to index and solve the crystal structure because it diffraction peak is uniquely resolved

### Instruments in the XRD SEF

- Rigaku RU300 Powder Diffractometers
- Bruker D8 with GADDS
- Bede D3
- PANalytical X'Pert Pro
- Back-reflection Laue (polaroid)
- SAXS
- Bruker Smart APEX\*

### Rigaku RU300 Powder Diffractometer

- Fast, precision XRPD using theta/2theta motion
- High-power (18kW) rotating anode source supplies high X ray flux
- Two horizontal-circle powder diffractometers
  - Horizontal circle facilitates precision movement of goniometer
  - Disadvantage: sample sits vertical, can easily fall out of sample holder
  - The 185mm Bragg-Brentano diffractometer is optimized for high intensity for fast data collection.
  - The 250mm Bragg-Brentano diffractometer is optimized for high resolution at slightly slower data collection speeds.
- Sample size is generally 20mm x 10mm x 0.3mm, though we have a variety of sample holders and mounting procedures to accommodate varied sample geometries.
- Special accessories include:
  - Attachment for GIXD of thin films
  - Inert atmosphere sample chamber for air/moisture sensitive samples
  - Zero background sample holders for high accuracy measurements from small quantities of powder
- Requires special considerations if your sample is a single crystal or a thin film on a single crystal substrate

#### Bruker D8 Diffractometer with GADDS

- Ideal for texture (pole figure) and stress measurements, as well as traditional XRPD and limited SCD and GIXD.
- Two-dimensional area detector (GADDS) permits simultaneous collection of diffraction data over a 2theta and chi (tilt) range as large as 30°
- Eularian cradle facilitates large range of tilts and rotations of the sample
- A selectable collimator, which conditions the X-ray beam to a spot 0.5mm to 0.05mm diameter, combined with a motorized xy stage stage, permits microdiffraction for multiple select areas of a sample or mapping across a sample's surface.
- Samples can include thin films on wafers or dense pieces up to 6" in diameter (maximum thickness of 3 mm), powders in top-loaded sample holders or in capillaries, dense pieces up to 60mm x 50mm x 15mm (and maybe even larger).
- Accessories include a furnace for heating a sample up to 900 °C in air, vacuum, or inert gas (maximum sample size of 20mm x 20mm x 1mm)
# PANalytical X'Pert Pro Multipurpose Diffractometer

- Prefix optics allow the configuration to be quickly changed to accommodate a wide variety of data collection strategies.
- This diffractometer can be used to collect XRPD, GIXD, XRR, residual stress, and texture data.
- A vertical-circle theta-theta goniometer is used so that the sample always lies flat and does not move.
  - Sample sizes may be as large as 60mm diameter by 3-12mm thick, though a more typical sample size is 10-20mm diameter.
- Data collection modes can be changed between:
  - high-speed high-resolution divergent beam diffraction
    - Programmable divergence slits can maintain a constant irradiated area on sample surface
  - parallel beam diffraction using incident Gobel mirror and receiving-side parallel plate collimator
    - eliminates errors due to irregular sample surfaces, sample displacement, and defocusing during glancing angle measurements
- A variety of sample stages include:
  - 15 specimen automatic sample changer
  - open Eulerian cradle with automated z-translation as well as phi and psi rotation for texture, reflectivity, and residual stress measurements
  - furnace for heating a sample to 1200 °C in air, vacuum, or controlled atmosphere
  - a cryostat for cooling a sample to 11 K in vacuum

# In-situ XRD can yield quantitative analysis to study reaction pathways, rate constants, activation energy, and phase equilibria



#### Bruker D8 Triple Axis Diffractometer

- For GIXD and for analysis of rocking curves, lattice mismatch, and reciprocal space maps of thin films and semiconductors
  - This instrument is typically used to measure the perfection or imperfection of the crystal lattice in thin films (i.e. rocking curves), the misalignment between film and substrate in epitaxial films, and reciprocal space mapping.
- High precision Bruker D8 triple axis goniometer
- Beam-conditioning analyzer crystals remove K $\alpha$ 2 radiation and provide extremely high resolution.

#### Bruker Small Angle Diffractometer

- Used for SAXS
- high-power rotating anode X-ray source
- two-dimensional detector for real-time data collection
- A long X-ray beam path allows this instrument to measure X-rays that are only slightly scattered away from the incident beam. The two-dimensional detector allows entire Debye rings to be collected and observed in real time. The current beam path length of 60.4 cm allows the resolution of crystallographic and structural features on a length scale from 1.8nm to 40nm (1.8nm is near the maximum resolvable length scale for XRPD in our other systems).
- A heater is available to heat the sample up to 200 ℃.

Bruker Single Crystal Diffractometer

- Designed primarily to determine the crystal structure of single crystals
  - can also be used for determining crystal orientation
- This diffractometer uses a two-dimensional CCD detector for fast, high precision transmission diffraction through small single crystals.
- A variety of goniometer heads fit on the fix chi stage
- A cryostat is available to cool samples down to 100 K in air, which permits more precise determination of atom positions in large organic crystals.

#### Back Reflection Laue Diffractometer

- The sample is irradiated with white radiation for Laue diffraction
- Use either Polaroid film or a two-dimensional multiwire detector to collect back-reflection Laue patterns
  - The 2D multiwire detector is not currently working
- Determine the orientation of large single crystals and thin film single crystal substrates

# Software

- MDI Jade
  - phase ID
  - indexing and unit cell refinement
  - RIR quantitative phase analysis
  - residual stress
  - nanocrystallite size and strain
  - calculated diffraction patterns

#### Available Software

- PANalytical HighScore Plus
  - whole pattern fitting for
    - unit cell refinement
    - nanocrystallite size and strain
    - quantitative phase analysis
  - indexing
  - Rietveld refinement of crystal structures
  - cluster analysis

#### Available Software

- PANalytical Stress- residual stress analysis
- PANalytical Texture- pole figure mapping of texture
- PANalytical Reflectivity- reflectivity from multilayer thin films
- Bruker Multex Area- pole figure mapping of texture

#### Available Free Software

- GSAS- Rietveld refinement of crystal structures
- FullProf- Rietveld refinement of crystal structures
- Rietan- Rietveld refinement of crystal structures
- PowderCell- crystal visualization and simulated
  diffraction patterns
- JCryst- stereograms

### Website

- http://prism.mit.edu/xray
  - reserving instrument time
  - instrument status
  - training schedules
  - links to resources
  - SOP's
  - tutorials

#### Single Crystal Diffractometers

- The design challenge for single crystal diffractometers: how to determine the position and intensity of these diffraction spots
  - Reflection vs transmission
    - Transmission: small samples & organic crystals
    - Reflection: large samples, epitaxial thin films
  - Laue vs. SCD
    - Laue: stationary sample bathed with white radiation (i.e. many wavelengths)
    - SCD: monochromatic radiation hits a sample as it is rotated and manipulated to bring different planes into diffracting condition

# Diffraction from a Single Crystal

- X Rays striking a single crystal will produce diffraction spots in a sphere around the crystal.
  - Each diffraction spot corresponds to a single (hkl)
  - The distribution of diffraction spots is dependent on the crystal structure and the orientation of the crystal in the diffractometer
  - The diffracting condition is best illustrated with the Ewald sphere in reciprocal space





Figure 3.7. The Ewald sphere of reflection with the crystal rotated so that the (230) recipr lattice point touches it, permitting it to diffract.

\*Diffraction spots are sometimes called reflections. Three cheers for sloppy terminology!

#### The conventional theta/2theta powder diffractometer

