Chapter 9. Photo-electrochemical and Photo-catalytic Conversion of Carbon Dioxide

York R. Smith^{1,2} Vaidyanathan (Ravi) Subramanian² B. Viswanathan¹

¹ National Centre for Catalysis Research, Indian Institute of Technology-Madras, Chennai 600 036, India

² Chemical & Materials Engineering Department, University of Nevada, Reno 89557 USA

^{*} Corresponding author, e-mail address bvnathan@iitm.ac.in.

Abstract: The conversion of carbon dioxide to useful chemicals has been the subject of numerous investigations. Though there are a variety of activating methods, only electrochemical and photo-electrochemical activation of carbon dioxide have shown promise till date. However in this area, the extent of conversion and also the evolution of method for a specific chemical from carbon dioxide appear to be a far dream. The present article deals with a critical evaluation of knowledge available in literature in this important area.

INTRODUCTION

Increasing emissions levels from combustion of fossil fuels in stationary and mobile energy conversion systems, as well as emissions from various industrial processes, have raised many environmental and health concerns in recent years. These emissions into the atmosphere include pollutants such as NO_X , SO_X , particulate matter, and greenhouse gases (GHG) such as methane (CH₄), chlorofluorohydrocarbons (CFCs), and carbon dioxide (CO₂). Succinctly, anthropogenic GHG emissions into the atmosphere have been speculated to play a role in global climate change [1] and have sparked multiple initiatives to reduce such emissions, with particular emphasis on CO_2 [1-3].

The sources of CO_2 emissions include stationary, mobile, and natural as listed in Table 1. Anthropogenic emissions include those from energy utilization from mobile and stationary sources, but exclude natural sources. Fig. 1 displays the change in the global atmospheric CO_2 concentration over the millennium from 1000-2004 based on recent studies of Antarctic ice core samples [4]. The

estimated CO2 concentration in the atmosphere remained steady at 280 ppmv (parts per million by volume) for the majority of the millennium, but increased to 315 ppmv in 1958 (US Industrial Revolution) and further to 377 ppmv in 2004 based on actual analysis conducted in Hawaii, USA [5]. Current estimations state that the atmospheric CO₂ concentration is around 384 ppmv and is forecasted to increase at a rate of approximately 1% per annum. Such increases in atmospheric CO_2 are primarily caused by the combustion of fossil fuels for energy consumption (25%) and deforestation (10-30%) [3]. However, it should be mentioned that although CO₂ and other GHG's are suspected to play a role in global climate change, atmospheric CO₂ has an important and positive role in the ecological system, since photosynthesis and food production depend on it as a carbon source. Furthermore, fossil fuels used today originated from atmospheric CO₂ millions of years ago. Nevertheless, the research conducted in the last five decades, and more notably the last 15 years, shows drastic increase in CO₂ emissions in the last century with a continuing increase at faster rates than previous years (Fig. 2). As a result of the potential adverse effects of high CO_2 atmospheric concentrations to humans and the environment, a large effort has been put forth to reduce CO₂ emissions from major contributing sources. Methods for CO₂ mitigation can be achieved by the following:

- CO_2 sequestration;
- · Post-treatment capture and utilization (recycle);
- · Increase in efficiencies of carbon-based energy systems;
- Use of carbon-free alternative energy sources;

Table 1.Sources of carbon dioxide emissions (CO2). Reprinted from C. Song2006 [90] wither permission from Elsevier.

Stationary sources	Mobile sources	Natural sources
Fossil fuel-based electrical power plants	Cars, and sport utility vehicles	Humans
Independent power producers	Trucks and buses	Animals
Manufacturing plants in industry ^a	Aircrafts	Plants and animal decay
Commercial residential buildings	Trains and ships	Land emission leakage
Flares of gas at field	Construction vehicles	Volcanoes
Military and government facilities	Military and government devices	Earthquakes

^a Major concentrated CO_2 sources include plants for manufacturing hydrogen, ammonia, cement, limestone, and soda ash as well as fermentation processes and chemical oxidation processed.

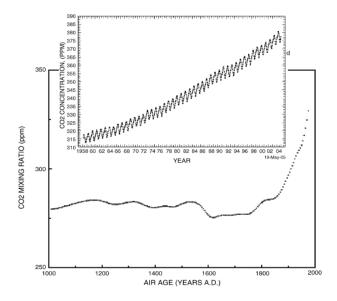


Fig. 1 Atmospheric carbon dioxide (CO₂) concentrations during 1000-2004 based on the analysis of Antarctic ice cores [4] and the actual atmospheric CO₂ analysis during 1958-2004 [5]. Source: Carbon Dioxide Information Center, USA (2008) and U.S. Department of Energy (2005).

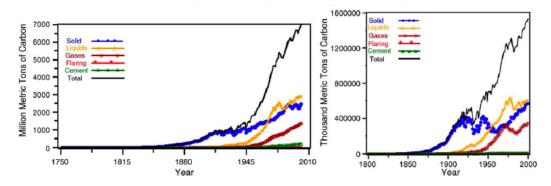


Fig. 2 World carbon dioxide (CO₂) emissions in million metric tons of carbon (left) and US CO₂ emissions in thousand metric tons of carbon by fuel type (right). Taken from Marland *et al.* [89]. Source: U.S. Department of Energy (2005).

When examining methods for CO_2 mitigation, the process should be efficient and should not require substantial energy input from conventional energy systems (e.g. fossil fuel power generation). Sequestration of CO_2 in geological formations such as deep-sea beds or use in enhancing fossil fuel recovery is a highly debated method. The ability for such systems to handle billions of tons per year is still unanswered. Moreover, the processes for sequestration requires large energy input, which in turn leads to more GHG emissions if supplied by conventional power generation methods. The increase in efficiencies of carbon-based energy systems will help reduce future emissions; however, it does not supply an avenue to reduce existing CO_2 atmospheric concentrations. On the other hand, implementing carbon-free alternative energy sources requires significant socio-economic changes. These methods raise many political, environmental, and economic concerns. Conversely, converting or recycling CO_2 into value-added products, such as high-energy content fuels suitable for utilization in existing infrastructure appears to be an attractive option.

Conversion of CO_2 into value added products could be achieved in a number of ways. A review by Xiaoding and Moulijn [3] covers many catalytic conversion processes of CO_2 . Reactions involving CO_2 can be, to some extent, energy input intensive. Thus, the energy required for the conversion process should come from processes that do not emit more GHGs directly into the atmosphere. When evaluating the current technologies available for the storage and conversion of CO_2 , they do not fall within these criteria.

One avenue that has been extensively studied to convert CO_2 is through electrochemical reduction [6,7]. Several studies have reported converting CO_2 into various forms such as carbon monoxide [8], formaldehyde, formic acid [9], methanol [10], ethanol [11], n-propanol [12], methane [8], ethane, and ethylene [13]. Although several reports indicate high Faradaic efficiencies (~95%) with electrochemical reduction of CO_2 to such fuels, there are still limitations within such systems, mainly owning to:

- kinetic limitations due to low solubility of CO₂ in water;
- competition with hydrogen evolution reaction in aqueous solutions;
- high-energy demand for conversion;
- uncontrolled intermediates.

In order to develop a process that is not only *green* but also is able to address some of the aforementioned limitations, the energy required for the reduction process preferably should be supplied by renewable and/or alternative sources. One such avenue, which still requires a more in-depth study, is the photo-electrochemical and photo-catalytic reduction of CO_2 to simple alcohols and low chain hydrocarbon fuels. Photo-electrochemical and photo-catalytic reduction of CO_2 are very similar in that a large portion (photo-electrochemical) to all (photo-catalytic) the energy required for the reduction is supplied by solar irradiation rather then external source (e.g., fossil fuel power plant).

The photo-catalytic activation and reduction of CO_2 has been attempted in a number of ways using suitable catalysts, sensitizers, transfer and sacrificial agents in both homogeneous and heterogeneous systems [14]. These recent studies have introduced the photo-reduction of CO_2 as a potential application to convert to fuels such as methane, methanol, ethanol, and formic acid. An important feature of the photo-electrochemical reduction of CO_2 is not only the utilization of process-waste CO_2 streams as a fuel source, but such systems utilize the most abundant sources of energy and hydrogen, being sunlight and water, respectively. Solar photo-electrochemical and photo-catalytic reduction to produce fuels by use of water as the hydrogen source thus has the potential to be a means to store intermittent solar energy and recycle CO_2 while decreasing the use of fossil fuels, and in turn harmful GHG emissions. Despite recent advancements in the field of photo electrochemistry and photo-catalysis with regard to CO_2 conversion, yields are quite low and still warrant a comprehensive investigation.

Photo-electrochemistry (PEC) and Photo-catalysis

Ever since the discovery of the photoelectric effects of two metal electrodes immersed in a conducting medium by the French scientist Edmond Becquerel [15], researchers and engineers have been probing the idea of converting light energy into electrical power and chemical fuels. Several advances between the years of 1955 and 1971 improved the understanding of electrochemical interactions between semiconductor-liquid interfaces, namely by Gerischer, Memming, and Williams, among others (and references there within) [16-18]. Although such applications of solar light energy conversion were considered early on, Fujishima and Honda [19] first demonstrated the realization of such systems with the photo electrolysis of water to hydrogen and oxygen at a semiconductor electrode (TiO₂). Subsequently, several review articles extensively cover the advancements of PEC and photo-catalysts over the years for several applications from wastewater remediation to hydrogen production and CO_2 photoreduction [14, 20-26].

Fig. 3a shows a schematic of a liquid-junction photo-electrochemical cell. It consists of a photoanode, a counter electrode cathode, and a reference electrode. In a typical PEC setup, semiconductors utilize light irradiation to promote reactions on the surface of the electrodes within the system. For this example we will consider n-type semiconductors, since they have generally shown better stability. The principles and applications of p-type materials are generally similar to n-type materials. Oxidation of the electrolyte (e.g., H_2O) occurs at the anode and reduction occurs at the cathode.

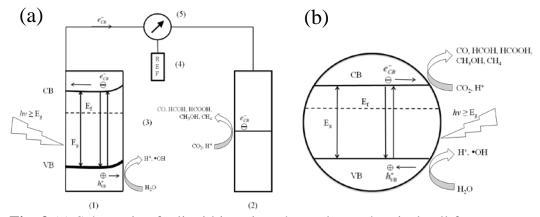


Fig. 3 (a) Schematic of a liquid junction photo-electrochemical cell for a p-type semiconductor for the reduction of carbon dioxide to various products. A similar setup is used for n-type cells as well. The anode (1) is the photoactive material, (2) is the cathode, (3) a conducting electrolyte, (4) reference electrode, (5) potentiostat. In photo-catalysis a semiconductor itself can act as a photo-electrochemical cell as shown by Fig. 3b. The valance band and conduction band of the semiconductor are analogous to the anode and cathode in a photo-electrochemical cell, respectively. The Fermi level is denoted by E_F , which is defined as the energy level in which 50% of the distribution of charge carries. Carrier can be found defined by the Fermi-Dirac function.

An innate property that makes semiconductors useful for PEC is their discrete quantum states of electrons. Unlike their metal counterparts that have a continuum of electron states (bands), semiconductors exhibit an electrical resistivity, or rather an energy band gap (E_g) that extends from the top of the filled valance energy band (VB) to the bottom of the vacant conduction energy band (CB). In general, when a semiconductor surface is exposed to light radiation ($hv \ge E_g$) electron hole pairs ($e^-_{CB}-h^+_{VB}$) are generated, represented by Eqn. 1 with heat generation for the reverse reaction as shown by Eqn. 2.

$$\begin{array}{ccc} photocatalyst & \xrightarrow{hv \ge E_g} & (h^+_{VB} + e^-_{CB}) \\ photocatalyst + (h^+_{VB} + e^-_{CB}) & \xrightarrow{(h^+_{VB} + e^-_{CB})} & \text{heat} \end{array}$$
(1)
$$(1)$$

Upon photo-excitation of an electron from the VB to the CB, the e_{CB} and h_{VB}^+ pairs can follow several pathways. Ideally, in a PEC cell the photo-generated

 e_{CB} 's are driven to the external circuit and subsequently to the cathode with a small bias potential. The h^+_{VB} 's can then migrate to the surface of the semiconductor to oxidize any absorbed molecules or solvent on the surface. The ability for a photo-catalyst to carry out redox reactions and facilitate effective and efficient charge transfer is predisposed to efficient charge separation and its ability to absorb reacting species on the photo-catalyst surface. Although the lifetime of the $(e_{CB}-h_{VB}^+)$ pairs are on the order of $10^{-15} \sim 10^{-12}$ seconds [27-29], it is still sufficient to promote redox reactions on the surface of the respective electrodes. At the surface of the semiconductor, electrons can also be donated to an acceptor species and likewise the holes can migrate to the surface to combine with electrons from a donor species as well. However, the recombination of electrons and holes prevents them from transferring to the surface to react with absorbed species and likewise can occur within the volume of the semiconductor or on the surface of the semiconductor. The rate at which charge transfer occurs depends up the position of the bands and the redox potential of the absorbed species of interest. For the activation and photo-reduction of CO_2 , this concept plays a significant role (vide infra).

For photo-catalytic systems, Bard's concept [20] can be applied. This suggests that semiconductor particles, or powders themselves can act as a short-circuited PEC by providing both oxidizing and reducing sites for the reaction (Fig. 3b). When comparing the two systems, the photo-catalytic system is simpler and easy to construct. As a result, some important factors that should be met for optimal performance of a photo-catalytic process include the following:

- The redox potential of the photo-generated VB hole should be sufficiently positive for the hole to act as an acceptor;
- The redox potential of the photogenerated CB electron should be sufficiently negative for the electron to act as a donor;
- · Photocatayst should be economically available and be environmentally inert;
- Photo-catalyst should be stable (Photo-stable) in a wide pH range and in a variety of electrolytes.

For the photo-reduction of CO_2 , large band-gap semiconductors are more suitable as they provide sufficient negative and positive potential in conductance and valance bands, respectively. However, the disadvantage of using wide band gap semiconductors is the high-energy input requirement and thus a limitation of a small portion of the solar spectrum. For example, titania (TiO₂) is a widely studied and stable photo-catalyst with a large band gap (~3.2 eV for anatase), is active in the ultraviolet (UV) region of the solar spectrum which only constitutes ~3-6% of the solar spectrum. Although a semiconductor such as cadmium sulfide (CdS) has a smaller band gap (~2.25 eV) and is visible-light active (~46-50% of the solar spectrum), its VB is not sufficiently positive enough to act as an acceptor and thus causes the photocatlayst to corrode [30]. Despite decades of work with TiO₂ to improve its properties via doping and addition of other photoactive materials, results have only demonstrated incremental improvements in photo-catalytic performance [31,32]. Thus, a material with a valance band that is sufficiently positive, a conduction band that is sufficiently negative to promote smooth electron transfer of absorbed CO₂ molecules, and is visible-light active would be the ideal material for the photo-reduction of CO₂.

PHOTO-REDUCTION OF CO2

Survey on the photo-electrochemical & photo-catalytic reduction of CO₂

The photo-electrochemical and photo-catalytic reduction of CO_2 on semiconductor electrodes has gained much attention in recent years. Studies have been extended to a variety of different avenues such as analysis of various semiconductor photocatalysts [33-36], modification of photo-catalyst by depositing small amounts of metal [37,38] and metal complexes [39,40], and the effects of operating conditions [41-43]. In general, the photo-electrochemical and photo-catalytic reduction of CO_2 has not been examined extensively.

The first report of the photo-electrochemical reduction of CO_2 was shown by Halmann in 1978 [33]. The photo-reduction was carried out using p-type gallium phosphate (p-GaP) as the photocathode with part or all of the energy was supplied by light. The products were found to be formaldehyde (HCHO), formic acid (HCOOH), and methanol (CH₃OH). A presumed mechanism for the cathodic reduction of CO_2 involves a two-step electron transfer for the formation of formic acid and is as follows:

$$CO_2 + e^- \rightarrow CO_2^- \Leftrightarrow HCO_2 \tag{3}$$

$$HCO_2 + e^- \rightarrow HCO_2^- \Leftrightarrow HCOOH \tag{4}$$

Comparatively, due to high over potentials for formic acid reduction in electrochemical systems utilizing metal cathodes, the reduction essentially stops at this point. However, in the photo-electrochemical route, further reduction of formic acid occurs more readily enabling the production of other products such as formaldehyde and methanol.

$\label{eq:Table 2. Summary of the photo-electrochemical reduction of CO_2.$

Catalyst(s)	Electrolyte/Reductant	Light Source	(-)E/V (SCE)	i/mA cm ⁻²	Primary Products (Faradic efficiency, %)	Notes	Reference
			,,		, , , , , , , , , , , , , , , , , , , ,	Electrode etched in	
						conc. HNO3:HF:H2O	
p-GaP	Aqueous buffer	315-510 nm	1.0	6.0	HCHO (5.6), CH ₃ OH (3.6)	(3:1:4 v/v)	Halmann, 1978 ³³
p-GaAs				0.09,		Electrodes etched in	
p-InP				0.06,		lvol% Br ₂ /CH ₃ OH (v:v)	Canfield & Frese,
n-GaAs (dark)	0.2 M Na ₂ SO ₄	W-halogen lamp	1.3	0.180	CH ₃ OH(55), (70), (100)	in 0.1M EDTA	198335
						Elelctrode eteched in	
	3.1 M CaCl, + 0.87 M HCl +	150 W W or XO			HCOOH (1.5), HCHO (0.3),	5% Br ₂ in CH ₃ OH + H ₂ SO ₄ , 30% H ₂ O ₂ (1:1	Zafrir et al.
p-GaAs	0.07 M V(II)Cl,	lamp	0.7	0.85	CH ₁ OH (0.14)	v/v)	1983 ⁹¹
p our c	0.07 11 (1)01	map		0.00	0113011 (0.14)	CdTe electrode was best	1705
					CO, H2: (62.5, 0.25), (5-	performing over several	
	TBAP in DMF	Xe lamp,			80%,-), increases as [H ₂ O]	others tested, etched in	Taniguchi et al.
p-CdTe	NH ₄ ClO ₄ in DMF + H ₂ O	$\lambda = 600 \text{ nm}$	1.6	1.25	increases	aqua regia	1984 ³⁶
	p-CdTe				p-CdTe H2, CO, HCOOH		
	0.1 M Na ₂ CO ₃				(40.3, 15.6, 41.8)		
	0.1 M Li ₂ CO ₃ 0.1 M K ₂ CO ₃				(47.2, 10.7, 37.8)		
					(39.7, 19.1, 35.5)		
	0.1 M Na ₂ SO ₄ 0.1 M K ₂ SO ₄				(45.1, 43.3, 5.4) (51.5, 32.7, 3.4)		
	0.1 M Na ₃ PO4				(56.1, 25.4, 2.6)		
	0.1 M Na ₂ HPO ₄				(57.2, 27.2, 1.7)		
	0.1 M LiClO ₄ 0.1 M TEAP				(41.5, 40.5, 18.9) (10.9, 60.7, 23.8)		
	p-InP				(10.9, 60.7, 25.8) p-InP	p-CdTe electrode	
	0.1 M Na ₂ CO ₃				p-mp (71.0, 8.6, 17.5)	etched in HCl+HNO ₃	
	0.1 M Na ₂ CO ₃ 0.1 M Na ₂ SO ₄				(70.7, 16.1, 4.6)	(3:1 v/v) and p-InP	
	0.1 M Na ₃ PO ₄				(80.3, 10.7, 2.3)	electrode etched in 1%	
p-CdTe	0.1 M LiClO ₄	500 W Xe lamp			(61.8, 13.7, 24.2)	bromide dissolved in	Yoneyama, et al.
p-InP	0.1 M TEAP	$(\lambda \ge 450 \text{ nm})$	1.24		(30.0, 26.0, 36.2)	methanol	1988 ⁴³
•							
-CdTe bare					CO, CH ₃ OH, H ₂ (92, -, 10)		
-CdTe & 24-crown-8					(87, 6, 6)		
-CdTe & 18-crown-6					(85, 13, 1)		
-CdTe & 15-crown-6	0.1 M TEAP and DMF + 5%	560 nm			(-,-,94)	Electrodes etched in	Bockris & Waas,
-CdTe & 12-crown-4	$H_{1}O(pH=9)$	(0.5 mW cm ⁻²)	1.7		(88, 5, 4)	aqua regia	1989 ⁹²
cure to in theme i	injo (pin 1)	(0.5			(COOH)2, HCOOH, CO, H2	admireBra	
Au/p-GaP					(8, 6, 94, trace)		
n/p-GaP					(0.4, 7, 94, trace)		
Pb/p-GaP	0.1 M TEAP in				(38, 11, 41, trace)	Electrodes etched in	
Zn/p-GaP	phthalocyanine	300 W Xe lamp	1.24		(1, 8, 100, trace)	aqua regia	Ikeda <i>et al.</i> 1989 ⁴
arp-oar	phulaiocyaline	500 w see tamp	1.24	-		aqua regra	Incua er un. 1909
					H2, CO, HCOOH, CH4		
o-Si			1.27	2.40	(73.5, 12, 4.3, 0.44)	electrodes etched in CP-	
Ag			1.49	1.69	(14.1, 75.9, 2.1, 0)	4A and 12%HF, pure	
Ag/p-Si			1.05	2.29	(38.4, 50.9, 0.8, 0)	metal electrodes	Uine servi et al
Au Anim Si	0.1 M KHCO3	W hale can lam-	1.21 0.74	2.13	(3.7, 82.2, 0, 24, 0)	electrochemically poliched in acid	Hinogami <i>et al.</i> 1998 ³⁸
Au/p-Si	V.I M KRCO3	W-halogen lamp	0.74	1.8/	() · · · · · / · / · /	polished in acid	1990
					CO, HCOOH, H ₂		
Pb/p-InP				Pb (5.5)	Pb (10, 30, 5)		
Ag/p-InP				Ag (10)	Ag (80, 2.5, 5)		
Au/p-InP				Au (11)	Au (70, 5, 10)		
Pd/p-InP				Pd (5.9)	Pd (45, 0, 20)	electrodes etched in CP-	
Cu/p-InP	A THE REPORT OF	600 W V- 1	2.54	Cu (3.8)	Cu (35, 18, 22)	4A and a mixture of	Kaneco <i>et al.</i> 2006 ⁹³
Ni/p-InP	3 mM LiOH/CH ₃ OH	500 W Xe lamp	2.54	N1 (5.9)	Ni (12, 18, 22)	conc. Acids	2006**
			465 nm		CH ₃ OH 465 nm		
			0.7	1.1	56		
			0.6	1.0	51		
			0.5	0.46	78		
			0.4	0.33	83		
			0.3	0.27	90		
			365 nm	365 nm	365 nm		
			0.5	0.92	62	electrode eteched in	
			0.4	0.48	89	HNO3:HCl (2:1 v/v),	
		200 W W-halogen	0.3	0.28	92 96	selectivity for methaanol favored only	Barton et al.
o-GaP (111)	0.5 M KCl + 10 mM pyridine	200 w w-nalogen lamp	0.25	0.21	96	with pyridine	2007 ⁴⁵

Catalyst(s)	Electrolyte/ Reductant	Light Source	Experimental Conditions	System	Primary Products (yield/rate)	Notes	Reference
TiO ₂ SrTiO ₃	H-0 +		Temperature and solar	1 X 0.5 m ² solar collector, immoblized	HCOOH (10.2-518.8 µmol kJ ⁻¹) HCHO (0.2-15.4) CH ₃ OH (2.7-48.1) CH ₄ CHO (0-15.4)	South facing solar collector, natural light, conditions varied such as catalyst and electrolyte, most efficient system was SrTiO ₃ with	Halmann <i>et al</i>
CaTiO ₃	LiHCO ₃	Sunlight	ambient conditions	particulate films	C ₂ H ₅ OH (0-2)	LiHCO ₃ Only gaseous products	1983 ⁹⁴
Cu/SiC	0.5 M КНСО ₃	Hg lamp (7 mW/cm ² , λ = 365 nm)	Temperature: 273-353 K pH: 3-9	35 mL batch slurry reactor	CH ₄ (14.9 µL h ⁻¹ g ⁻¹) C ₂ H ₄ (3.9) C ₂ H ₆ (2.3)	analyzed, selectivity toward C ₂ hydrocarbon favored with Cu addition	Cook et al. 198895
ZnO	H ₂ O	75 W Xe lamp (λ > 398 nm)	Temperature: 278 K Pressure: 0.25-0.35 MPa	slurry reactor particulate films, fine particle	CH ₃ OH and CH ₄	Product yields highly depend upon catalyst crystalline,	Watanabe 1992 ⁴⁸
TiO ₂	H ₂ O	$\begin{array}{l} 75 \ W \ Hg \ lamp \\ (\lambda \geq 280 \ nm) \end{array}$	Temperature: 273-323 K	slurry, and single crystal 42.5 mL stainless	CH ₄ , CH ₃ OH, CO, and C ₂ hydrocarbons found in trace	CO ₂ /H ₂ O ratio and temperature Product yields found to be a	Апро <i>et al.</i> 1992 ⁹⁶
Cu/TiO ₂	H-O	400 W Xe lamp	ambient temperature P = 2.7 MPa pH = 5.45	steel slurry reactor with ouartz window	CH ₄ (21.8 µL g ⁻¹) C ₂ H ₄ (26.2) C ₂ H ₆ (2.7)	function of Cu loading and illumination time, 5wt.% Cu optimal	Adachi <i>et al.</i> 1994
-					-1	-	
surface modified CdS with thiol compounds	2-propanol with various solvents	500 W Hg lamp (λ > 300 nm)	Ambient temperature & pressure	7 mL quartz slurry reactor	formate and CO	Dielectric constant of solvent plays a role on naked CdS toward CO selectivity	Liu et al. 1998 ⁹⁸
TiO ₂ /Pd/Al ₂ O ₃ TiO ₂ /Pd/SiO ₂					CH ₃ COCH ₃ CH ₃ CH ₂ OH CH ₃ OH HCOOH	Selectivity for C ₃ favored by	
Cu/ZnO Li ₂ O/TiO ₂ over MgO, Al ₂ O ₃	H ₂ O	250 mW Hg arc lamp	Ambient temperature & pressure	slurry reactor	HCHO CH ₄ C ₂ H ₆	TiO ₂ /Pd/Al ₂ O ₃ , basic catalyst support favored C ₁ -C ₃ while acidic support favored C ₁	Subrahmanyam et al. 1999 ⁴⁶
Sol-gel Cu(I)/TiO ₂	0.2 M NaOH	8 W Hg lamp, $\lambda = 254$ nm	Temperature: 323 K Pressure: 0.1 MPa	300 mL slurry reactor	CH ₃ OH (19.7 µmol h ⁻¹ g ⁻¹)	Selectivity increased towards CH ₃ OH over CH ₄ with Cu(I) loading	Tseng et al. 2002 ⁹⁹
Pt/K2Ti6O13 with Fe-					H ₂ (6.17 µmol h ⁻¹) CH ₄ (0.014) CH ₃ OH (1.45)	Product rates and yields found to be a function of	
Cu-K/DAY Zeolite catalyst	H ₂ O	Concentrated sunlight	Temperature: 590 K Pressure: 0.2 MPa	173 mL fixed bed	HCOOH (3.88) C ₂ H ₃ OH (0.35)	reaction temperature and Fe:K ₂ Ti ₆ O ₁₃ ratio	Guan et al. 2003 ¹⁰
				Gas-solid, 120 mL annular			
		(4) 15 W UV lamps, λ = 265 and 354	Temperature: 316 ± 2 K	reactor, TiO ₂ & ZrO ₂ immobilized on pyrex glass	CH ₄ (8.21 µmol g ⁻¹) CO (0.28)	Most effective system was TiO2 with H2+H2O, use of ZrO ₂ leads to only CO	
TiO ₂ -ZrO ₂	$H_2 + H_2O$	nm	Pressure: 0.11 MPa	pellets	C ₂ H ₆ (0.20)	productss Methanol rate increased with NiO loading and with R50- O200 treatment,	Lo et al. 200773
NiO/InTaO ₄ -R50- 0200	0.2 M KHO3	500 W halogen lamp	Ambient temperature & pressure	75 mL slurry reactor	CH ₁ OH (1.39 µmol h ⁻¹ g ⁻¹)	quantum/energy efficiency of 2.54% reported for optimal system	Pan et el. 2007 ¹⁰¹
Dye [Ru(Bpy)3] ²⁺ ,						Higher yields for Pt impregnated TiO2 over sol- gel Pt-TiO2 in UV,	
BrGly, BrAsp, ensitized, Pt- promoted, TiO ₂		150 W UVA and 75 W daylight	Temperature: 298 K	Gas-solid, glass		sensitizers reduced activity under UV irradiation and CH4 was observed under	
films Mixed phase TiO ₂	H ₂ O	lamps 450 W medium- pressure Hg	$pCO_2 = 0.09 \text{ MPa}$	chamber	CH ₄ only monitored	visible light Anatase-rutile nanocomposite exhibited	Ozcan et al. 2007 ¹
oowders from TiCl ₄ aydrolysis	NaHCO ₃ + isoporpanol	lamp, UV+vis components	Temperature: 293-298 K	1280 mL annular glass reactor	CH_4 (33.3 µmol $h^{-1} g^{-1}$)	higher evolution of CH4 of commercial TiO2 Higher methane rate noted	Li et al. 2008 ¹⁰³
N3-dye sensitized/ Cu(0.5wt%)		Concentrated sunlight at ~25 mW cm ⁻² and artifical light at 225 mW cm ⁻²		216 mL, continuous circulating optical	Artifical light: C_2H_4 (0.562 µmol h ⁻¹ g ⁻¹) CH_4 (0.847) Natural sunlight:	for system without N3 dye under artifical light conditions where as ethlyene remains faily constant, no ethylene detected under	Nguyen et al.
Fe(0.5wt%)/TiO ₂	H ₂ O	(320-500 nm)	Temperature: 348 K	fiber reactor	CH ₄ (0.617)	natural concentrated light Nanotube length, crystalline,	2008 ¹⁰⁴
Nitrogen doped nanotublar films of Pt/TiO2		Sunlight, measured between 75-102	Temperature: 317 ± 4 K	Gas-solid, 7.5 and 8.6 mL stainless steel reactor with	H ₂ CO, CH ₄ other alkanes, olfin, and branched paraffin,	and cocatalyst play a role in the production rates hydrocarbons, Pt cocatalyst plays a role in H ₂ /CO	Varghese et al.
Cu/TiO ₂	H ₂ O	mW cm ⁻²	Pressure: 0.11 MPa	quartz window	hydrocarbon rate ~160 µL h ⁻¹ g ⁻¹	selectivity	2009105

Table 3. Summary of the photo-catalytic reduction of CO_2 .

Subsequently, a report by Inoue *et al.* [34] deals with the photo-catalytic reduction of CO₂ with an array of semiconductors: tungsten trioxide (WO₃), titanium dioxide (TiO₂), zinc oxide (ZnO), cadmium sulfide (CdS), GaP, and silicon carbide (SiC). Their report utilized irradiation by a xenon- and mercury-lamp to produce products of HCHO, HCOOH, CH₃OH, and trace amounts of methane (CH₄) and carbon monoxide (CO). Later reports have focused on better understanding the mechanism and improving the efficiencies of such systems by examining other semiconductors and operating parameters. The literature indicates that photo-electrochemical products such as (COOH)₂ [44], CH₃OH [45], and HCOOH [43] can be produced selectively, with Faradaic efficiencies of 38, 96, and 37.8%, respectively. While photo-catalytically, C_1 - C_3 products have been reported [46-49]; however, the yields for photo-catalytic reduction are low and are on the order of magnitude of µmol gram-catalyst⁻¹ h⁻¹. A summary of the literature in chronological order for the photo-electrochemical and photo-catalytic reduction is given in Tables 3 and 4, respectively. The data presented in these tables illustrate typical products with either Faradaic efficiencies or yields/rates and are dependent upon many variables such as catalyst, catalyst modification, electrolyte/reductant, lamp (photon) source, temperature/pressure, which have been discussed in more detail within the literature. Although a more insightful analysis would lend itself to examining conversion efficiencies and quantum yields, often the data are not readily available.

Concepts on the activation of CO_2

Carbon dioxide is a colorless, odorless, and a relatively inert gas. As a linear molecule with a double bond between the carbon and oxygen atoms (O = C = O) there is no dipole moment. In nature, CO₂ serves as a carbon source for the photosynthesis of organic compounds. Natural photosynthesis in plants occurs through several steps involving dark and light reactions to generate carbohydrates and oxygen from abundant raw reactants, CO_2 and H_2O . For the case of the activation of CO_2 in dark reactions, artificial systems simulating such reactions are discussed elsewhere [50]. Over the past twenty years, a great deal of effort has been devoted to understand and mimic the mechanism of the light reactions in photosynthesis in which light energy is transformed into chemical energy. During this process, activation of CO₂ is achieved through a chain of electron carriers and chromophores. The work of Collin et al. [51] and Balzani et al. [52] cover artificial photosynthesis from the point of view of energy transfer and charge separation in multiple-component systems with transitional metal complexes. Although such homogeneous and microheterogeneous systems have shown to successfully reduce CO_2 to fuels such as formic acid [53], methanol [54], and methane [55], such systems have draw backs such as low turnover numbers (mol reduced product of CO_2 /mol catalyst), low yields of only single carbon products, and the lifetime along with the selectivity of single components is not well understood. Moreover, such studies focus on homogeneous metal complexes, which can be expensive and are not practical for large-scale purposes. Thus the question arises, why is it so difficult to mimic nature and is it really possible? To answer such questions, a closer examination of thermodynamics and the bonding orbitals of CO_2 are required.

Being the most abundant and oxidized form of carbon occurring in nature, it is also one of the most stable of carbon compounds. A strong indication of a CO₂ molecule's stability can thermodynamically be inferred by its large, negative standard Gibbs free energy of formation value ($\Delta_f G^0 = -349.67$ kJ mol⁻¹) [56]. Given that CO₂ is such a stable compound, consequently a substantial input of energy or a high-energy substrate is required for chemical conversion. This problem can be resolved with catalyst development; however, an important consideration for feasibility is a close examination of the thermodynamics of such reactions as shown in Table 4.

Reaction	ΔH^0 (kJ mol ⁻¹)	$\Delta G^0 (\text{kJ mol}^{-1})$	Eqn. #
$CO_{2(g)} + H_{2(g)} \rightarrow CO_{(g)} + H_2O_{(g)}$	41.2	18.6	(3)
$\mathrm{CO}_{2(g)} + \mathrm{H}_{2(g)} \not \rightarrow \mathrm{CO}_{(g)} + \mathrm{H}_2\mathrm{O}_{(l)}$	-2.8	20.0	(4)
$CO_{2(g)} + H_{2(g)} \rightarrow HCOOH_{(l)}$	-31.2	33.0	(5)
$\mathrm{CO}_{2(g)} + 2\mathrm{H}_{2(g)} \twoheadrightarrow \mathrm{CHOH}_{(l)} + \mathrm{H}_2\mathrm{O}_{(l)}$	-9.0	44.0	(6)
$\mathrm{CO}_{2(g)} + 3\mathrm{H}_{2(g)} \twoheadrightarrow \mathrm{CH}_{3}\mathrm{OH}_{(l)} + \mathrm{H}_{2}\mathrm{O}_{(l)}$	-131.3	-9.2	(7)
$\mathrm{CO}_{2(g)} + 4\mathrm{H}_{2(g)} \twoheadrightarrow \mathrm{CH}_{4(g)} + 2\mathrm{H}_2\mathrm{O}_{(l)}$	-252.9	-130.8	(8)
$2\text{CO}_{2(g)} + 6\text{H}_{2(g)} \xrightarrow{} \text{CH}_{3}\text{OCH}_{3(l)} + 3\text{H}_{2}\text{O}_{(l)}$	-264.9	-38.0	(9)

Table 4. Thermodynamics for various reactions involving CO_2 [62]. Table reprinted with permission from Elsevier.

When first examining Table 4, it is noted that several of the reactions involving CO_2 are exothermic in light of the stability of the CO_2 molecule. It is worth mentioning that endothermic reactions, such as Eqn. 4 among several others, can be feasible and indeed useful. For example, some industrial endothermic reactions used today on large-scale are thermal cracking of hydrocarbons, dehydrogenation reaction of ethylbenzene to make styrene, and steam reforming for producing synthesis gas. Nevertheless, chemical reactions are driven by the difference in Gibbs free energy of products and reactants as shown by Eqn. 10. It is clear that most of the reactions in Table 4 have positive ΔG^0 values and thus are not

thermodynamically favored.

$$\Delta G = \Delta H - T \Delta S \tag{10}$$

For values in Table 4 where $\Delta G^0 < 0$, they correspond to hydrogenation reaction, leading to products containing C-O, for the most part. A reason for the relatively favorable values of ΔG^0 for hydrogenation is that water is produced. However, it is important to note that these values involve the use of hydrogen. The hydrogen has to either be supplied by an outside source or via water splitting reaction in which both cases present their respective problems. For the latter case, the competition between electrons for water splitting and for CO₂ reduction arises in aqueous medium resulting in lower conversions and efficiencies. For the former case, if hydrogen is not produced through alternative energy methods it does not favor the overall goal of CO₂ mitigation and utilization.

Radiochemical

$$CO_{2} \xrightarrow{\gamma - radiation} HCOOH, HCHO$$
Chemical reduction

$$2Mg^{2^{+}} + CO_{2} \xrightarrow{2} 2MgO + C$$

$$Sn^{4^{+}} + 2CO_{2} \xrightarrow{3} SnO_{2} + 2CO$$

$$2Na^{+} + 2CO_{2} \xrightarrow{3} SnO_{2} + 2CO$$

$$2Na^{+} + 2CO_{2} \xrightarrow{3} Na_{2}C_{2}O_{4}$$
Thermo chemical

$$CO_{2} \xrightarrow{Ce^{4^{+}} + hv} CO + \frac{1}{2}O_{2} (T > 900^{\circ}C)$$
Photochemical

$$CO_{2} \xrightarrow{hv} CO, HCHO, HCOOH$$
Electrochemical

$$CO_{2} + xe^{-} + xH^{+} \xrightarrow{2} CO, HCOOH, (COOH)_{2}$$
Biochemical

$$CO_{2} + 4H_{2} \xrightarrow{bacteria} CH_{4} + 2H_{2}O$$
Photo-electrochemical

$$CO_{2} + 2e^{-} + 2H^{+} \xrightarrow{hv} CO + H_{2}O$$
Bioelectrochemical

$$CO_{2} + oxoglutaric acid \xrightarrow{enzyme + methylvio \log en} isocitric actors actor$$

Scheme 1. Various modes of activating CO₂.

acid

In the light of the results presented by this examination, thermodynamic arguments are not sufficient to explain the limited conversion of CO₂ into value added products via technical processes. However, the reasons are kinetic in orgin. A major factor is attributed to the large energy gap between the lowest unoccuped molecular orbital (LUMO) and the highest occupied molecular orbital (HOMO) (13.78 eV [57]) as well as a large electron affinity (-0.6±0.2 eV [58]). While the HOMO level is a non-bonding π orbital, this attribute makes the activation difficult since the occupation by electrons within the non-bonding HOMO levels does not change the bond order between the C-O bond and these act as a lone pair of electrons. Additional challenges of activating CO₂ molecules arise from the molecule's symmetry. Due to the charge density symmetry of the HOMO energy level, any activation will be reflected on both sides of the molecule and hence preferential conversion is not realized. These innate properties of the CO₂ molecules has been a formidable challenge within the catalyst community.

Even though several methods of activating CO₂ molecules have been proposed, as outlined in Scheme 1, a facile method to selectively activate the CO_2 molecule and convert it into useful chemicals has yet to be fully developed. Furthermore, a close examination of the bonding orbitals shows that activation of CO₂ has to be aimed at activating the carbon center in order to achieve such goals. The energies of the 2s and 2p orbitals of carbon are -19.4 and -10.7 eV while the energies of the 2s and 2p orbitals of oxygen are -32.4 and -15.9 eV, respectively [57]. This means that the 2s level of oxygen may not effectively participate in the bonding, whence the bonding levels come from the overlap of 2s and 2p orbitals of carbon with the 2p orbitals of oxygen. Optical activation of CO₂ would require nearly 13.8 eV [59] and cannot be specific at activating the carbon center of the molecule. As direct optical activation is difficult, the activation must be realized through the removal/addition of electrons. One such avenue is the charge transfer phenomenon known in semiconductor catalysis (e.g., semiconductor photo-catalysis). Although charge transfer via semiconductor catalysis provides a simpler route for CO₂ activation, it also imposes limitations on the position of the CB of the material to facilitate smooth charge transfer from an excited semiconductor to the CO₂ molecule. Since the π -bonding orbitals of CO₂ are deep down (-38 eV) [57], it is deduced that only the π -orbitals of CO₂ alone may be perturbed and an attack on the oxygen centers alone may be possible. Thus it may be possible to activate CO₂ molecules by charge transfer route.

The simplest reduction products that could be produced from CO_2 reduction are CO and HCOO⁻. One, two, four, six and eight electron reduction potentials (vs.

NHE) for CO₂ reduction and H₂O oxidation at pH 7 and 25 °C are given in Table 5, assuming unit activities for all gaseous and aqueous species [60]. From Table 5, it is clear that CO₂ photo-reduction is not a single-step reaction. Additionally, single electron transfer to CO₂ is energy input intensive because of the negative adiabatic electron affinity of CO₂ (*vide supra*). Upon transfer of one electron, the structure of CO₂ changes form linear to bent [61], which results in an irreversible reduction and the formation of a negatively charged CO₂⁻⁻ species [62,63]. Therefore, the first plausible step in CO₂ photo-reduction on photoilluminated semiconductor surfaces is its activation to form CO₂⁻⁻ species. The distortion of CO₂⁻⁻ species is attributed to the repulsion among the two lone electron pairs on the oxygen atoms and the unpaired electron on the carbon atom. Consequently, the lower the O-C-O bond angle, the higher charge of the CO₂⁻⁻ moiety and the greater possibility of charge transfer to CO₂ by lowering its LUMO.

Table 5. Reduction potentials (vs. NHE) of some reactions involved in CO_2 reduction at pH = 7 and unit activity.

Reaction	E°, V (vs. NHE)
$2\mathrm{H}^{+} + 2\mathrm{e}^{-} \rightarrow \mathrm{H}_{2}$	-0.41
$\mathrm{H}_{2}\mathrm{O} \mathrm{I}_{2}\mathrm{O}_{2} + 2\mathrm{H}^{+} + 2\mathrm{e}^{-}$	0.82
$CO_2 + e^- \rightarrow CO_2^{}$	-1.90
$CO_2 + H^+ + e^- \rightarrow HCO_2^- + H_2O$	-0.49
$CO_2 + 2H^+ + 2e^- \rightarrow CO + H_2O$	-0.53
$CO_2 + 4H^+ + 4e^- \rightarrow HCHO + H_2O$	-0.48
$CO_2 + 6H^+ + 6e^- \rightarrow CH_3OH + H_2O$	-0.38
$CO_2 + 8H^+ + 8e^- \rightarrow CH_4 + 2H_2O$	-0.24

Effect of reductant on mechanism pathway

The use of water as a solvent for the photo-reduction of CO_2 is as attractive option as it is readily available and inexpensive. Two important species involved in the CO_2 photo-reduction are H• (hydrogen atom) and CO_2^{-} (carbon dioxide anion radical) which are produced by electron transfer from the conduction band as seen in Eqns. 13 and 14:

$$\begin{array}{cccc} H^{+} + e^{-}_{CB^{-}} & \longrightarrow & H^{\bullet} \\ CO_{2} + e^{-}_{CB^{-}} & \longrightarrow & CO_{2}^{\bullet} \end{array} \tag{13}$$

These radicals also form other stable substances. However, the solubility of CO₂

in water is low and competition with H_2 and H_2O_2 formation consumes H^+ and as:

$$photocatalyst + hv \longrightarrow (4h^+_{VB} + 4e^-_{CB})$$
(15)

water decomposition

$$2H_2O + 4h^+_{VB} \longrightarrow O_2 + 4H^+$$
 (16)

hydrogen formation

$$4H^+ + 4e^-_{CB} \longrightarrow H_2$$
(17)

hydrogen peroxide formation

$$O_2 + 2H^+ + 2e_{CB} \longrightarrow H_2O_2$$
 (18)

As a result of these limitations, some studies have attempted to replace water with other reductants. Liu *et at.* [64] conducted experiments with solutions with various dielectric constants and concluded that low polarity solvents yield CO as the primary product. This is attributed to the CO_2^{+} anion not readily dissolved and strongly adsorbed to the surface of the photo-catalyst through the carbon atom of another CO_2^{+} anion. On the other hand, for higher dielectric solvents (e.g. water) the CO_2^{+} anions are greatly stabilized by the solvent resulting in weak interactions with the photo-catalyst surface. The carbon atom of the radical can react with a proton, which results in the formation of formic acid.

A study of CO_2 photo-catalysis in the absence of a reductant was performed by Kaneco and coworkers [65]. A suspension of titania powder in supercritical CO_2 was illuminated by a Xe lamp. Following the irradiation, degassed aqueous solution was added to protonate the reaction intermediates on the titania powder. The results showed that CO_2 molecules interact with the excited-state photo-catalyst surface, resulting in the formation of CO_2^- radicals. During irradiation, no gaseous reduction products were identified. It was inferred that the CO_2^- anion radicals cannot be adsorbed on another CO_2^- anion radical, because the excited surface of the photo-catalyst is more active than the CO_2^- radical. However, following a washing process with several solvents, formic acid was detected. From these studies, it was noted that the amount of H⁺ in the reductant controls the direction and selectivity of the CO_2 photo-reduction products and the amount of formic acid increased with the pH of the solution.

Improving the efficiency in aqueous systems using sacrificial electron donors such as trimethylamine, triethanolamine [66], dimethyformamide [67], and isopropyl alcohol [68] has also been extensively studied. Beyond the use of water as a reductant, CO₂ photo-catalysis can be achieved in the presence of gas-phase H₂S [69], H₂ [70,71], and CH₄ [72]. The use of hydrogen as an alternative for photo-catalytic reduction of CO₂ has also been investigated [73,74]. The primary product was found to be CO. Although CO may be toxic it serves as a valuable substrate for many industrial processes, such as Fischer-Tropsh synthesis or methanol synthesis. The first step in CO formation produces formate from CO₂ and H₂. The second is the reduction of CO₂ to CO on the formate radical.

Surface state & surface site on CO₂ activation

Mechanisms presented within the literature for the photo-catalytic reduction of CO_2 indicate that CO_2 gains electrons from the CB of the photo-catalyst; however, when probed deeper, it appears not to be as straightforward. Semiconductor mediated photo-induced electron transfer reactions require the electrons in the conduction band of the semiconductor to have greater energy than that of the LUMO of the electron-accepting species. Using the values for the energy of the TiO₂ conduction band and the standard reduction potential for the HCOOH/ H_2CO_3 couple at pH = 5, for example, Xu and Schoonen [75] suggested that the electrons in the conduction band of (bulk) TiO₂ may not be transferred to CO₂. However, electronic interaction between the TiO₂ surface and CO₂ could facilitate electron transfer by lowering of the LUMO of the adsorbate by forming a surface state in the band gap. Such observations suggest that not much is known about the initial steps of CO₂ activation during photo-catalytic reduction. As a result, a better understanding of the interaction and charge transfer between the excited semiconductor surface and CO₂ is required.

Charge transfer from excited semiconductor surfaces to CO_2 is influenced by both bulk and local interactions. There are two different ways to understand electron transfer to CO_2 in semiconductor photo-catalysis: surface site and surface state. A surface site is a collection of atoms on the surface, which is reactive in some manner These sites may be an oxygen vacancy or a coordinatively under-saturated metal atom, or a combination of features that results in an orbital containing unusual electron affinity. On the other hand, a surface state is a localized energy level at the surface. The occupation of the surface state with respect to the Fermi energy (E_f) of the solid determines its occupation.

Surface state CO₂ activation

To better determine the feasibility of electron transfer from the CB of a

photo-catalyst to CO_2 an understanding of surface state energy levels for the $CO_2/$ CO_2 couple at the photocatlyst surface is required. A methodology to determine the energy levels of the surface state at the solid-gas interface has been proposed by Morrison [76]. Accordingly, the location of the standard redox potential with respect to the edges of the CB and VB of the solid at the point-of-zero-charge (pzc) determines the potential for electron transfer. The standard reduction potential for the CO_2/CO_2^{-1} redox couple is -1.9 V [77], which corresponds to a redox state with an energy level of -2.6 eV (in AVS). The main contributions to this energy are the electron affinity (-0.6 eV) and the solvation of CO_2^{-1} in water (-3.2 eV). The high free energy of solvation indicates that solvent reorganization energy will be significant. If one compares the redox couple of CO_2/CO_2^{-1} with the location of the CB of titania in aqueous solution, without any specific interaction with the catalyst/electrode surface, it is clear that the reduction of CO₂ on titania is unlikely as the energy level associated with the CO_2/CO_2^{\bullet} redox couple is higher in energy. As a result, a fluctuating energy level mechanism is required to describe energy levels of CO_2 and CO_2^{-} .

Surface states may be identified experimentally via spectroscopic studies of CO_2 adsorbed on well-defined surfaces. Using ultraviolet photoemission spectroscopy (UPS) and metastable impact electron spectroscopy (MIES), Krischok *et al.* [78] found that CO_2 interacted with rutile titania single crystals weakly, forming linearly adsorbed species. An *ab initio* periodic study of CO_2 adsorption on rutile titania supports these results [79]. Therefore, without irradiation, CO_2 does not form a surface state upon linear adsorption in the ground-electronic state. Once the photo-catalyst surface is irradiated with light energy greater than the band gap, electrons populate the CB. The energy of the CB electrons is likely to be less than that required for CO_2 reduction to CO_2^{-1} . From this analysis, it is clear that the energy levels of CO_2/CO_2^{-1} couple require a combination of local and collective approaches.

Surface site CO₂ activation

Studies of surface photo-catalytic processes has progressed from a surface state approach to a more detailed understanding of specific surface adsorbate structures, which are involved in the charge transfer. An example of such a study is by Nowotny *et al.* [80] on understanding the initial steps of H₂O oxidation on titania. As mentioned previously, the initial activation of CO₂ via a semiconductor photo-catalytic process is not well understood. Consequently, a better understanding of local chemistry at surface sites where activated species are formed is necessary to understand the nature of charge transfer from a metal oxide surface to CO₂. CO₂ can be adsorbed as a carbonate and a linear species on metal oxide surfaces. Various ground-state adsorbate configurations of CO_2 on titania surfaces have been studied [79,81-83]. When CO_2 is adsorbed on a titania surface, it acts as a net electron donor when it absorbs with the oxygen end on Ti Lewis acid sites, and a net electron acceptor when the electrophillic C atom interacts with surface Lewis basic sites, or surface O atoms [62]. The interaction between the C atom of CO_2 and the surface oxygen atoms of a metal oxide photo-catalyst causes the formation of surface carbonate and bicarbonate species. Conversely, if CO_2 does not interact strongly with the ground state of the semiconductor, the LUMO of adsorbed CO_2 may not be lowered enough to ensure electron transfer from the conduction band. Questions to be addressed by a surface site approach are:

- Upon illumination of a semiconducting surface, how does electron transfer from the conduction band to CO₂ occur?
- What specific sites and specific CO₂ configurations on the semiconductor surface promote this electron transfer? Can such sites be engineered?

A strong interaction between the metal oxide surface and CO_2 is presumed for CO₂ to become activated from electrons from the CB of the semiconductor. For example, consider the case of a widely studied semiconductor photo-catalyst, TiO₂. Photoillumination of titania produces electron-hole (Ti³⁺-O) centers in titania (Eqns. 1, 2, and 15). The Ti^{3+} centers are proposed to interact with CO₂ to form CO_2 species adsorbed on the surface. Further reactions involving these carbon dioxide radial anions go to from C radicals and CH_xO_y end products. The presence of suitable unoccupied molecular orbitals on CO₂ is required for the electron transfer from the Ti³⁺ surface electron centers to CO₂. For effective formation of desirable products, electronic interaction between the surface and CO₂ is necessary for CO_2 to gain electrons. One role of the surface might be to interact with CO₂ reducing the bond angle. Freund and Roberts indicate that the LUMO of CO₂ decreases with the O–C–O bond angle [62]. The lowering of the LUMO corresponds to the formation of carbonate species on the surface. The application of this principle is not limited to CO₂ activation on irradiated metal oxide surfaces. Similar interactions of CO₂ with electrocatalysts are supposed to decrease the overpotential for CO₂ reduction during electrochemical reduction [84]. Analytical techniques such as FTIR spectroscopy have been used to study adsorbed CO₂ on surface electron centers (Ti^{3+}) created due to oxygen vacancies [81].

Effect of support and metal doping on CO₂ activation

The use of promoters such as potassium (K) or impregnation with metals has been used to improve photo-catalytic activity. Alkali promotion of titania and the effect

on CO_2 activation has been studied [85]. The added alkali atom donates an electron to CO_2 to form CO_2^{-} . This then reacts with surface oxygen to from carbonate species on the surface. These promoters are stoichiometrically consumed upon charge transfer to CO_2 and cannot be regenerated. On the other hand, modification with metals such as Pd, Rh, Pt, Cu, etc. can affect the thermodynamics and kinetics of CO_2 activation and further conversion and selectivity of products. Previous photo-catalytic studies have been performed on the loading of photo-catalysts with metals that function as electron sinks. Charge carrier traps suppress recombination and increase the lifetime of the separated electrons and holes. The deposition of various metals can lead to the formation of C_2 and C_3 compounds for the photo-reduction of CO_2 [47-49,86].

A study by Subrahmanyam et al. [46] examined the role of the support on product selectivity for the photo-catalytic reduction of CO_2 to C_1-C_3 products. CO_2 conversion to C_1 - C_3 compounds over these materials was specific over TiO₂/Pd/Al₂O₃. This study demonstrated the role of SiO₂ acid-base material support in the product selectivity compared to Al_2O_3 supported systems. In contrast to this, a bulk Pd/TiO₂ system exhibited a very high selectivity for the production of methane from the photo-reduction of CO_2 [47]. The nature of the support itself changes the selectivity to C₁ and C₂ compounds. Paul and Hoffmann [87] suggested that the lowered work function of an alkali promoted surface facilitates a high probability of electron transfer to impinge neutral CO₂ molecules. Moreover, they proved that the dimerization occurs via a radical-substrate reaction mechanism in a hydrogen deficient system. In addition, Kiwi and Morrison [88] reported an effect of lithium-doping of TiO₂ anatase. It was concluded from this study that lithium doping promotes conduction band electron transfer and enhances the photo-generation of hydrogen in water cleavage reaction. The use of Li as a dopant, for example, increases the total number of basic sites by creating a low coordinated oxygen species, which leads to an increase in the basic nature of the photo-catalyst. Selectivity towards C1 and C2 products over such catalysts is more specific than that of higher acidic supported based systems [46]. This suggests that though the basic nature of the support is of fundamental importance, it is not alone responsible for controlling the selectivity during the photo-catalytic reduction of CO₂.

Several researchers have investigated the efficiency and selectivity by modifying the photo-catalyst surface with metal. In general, the performance of CO_2 photo-reduction to produce formaldehyde, methanol, and methane, which consume more electrons, is increased. This is attributed to the metal contacts on the semiconductor surface, which enables electrons to easily flow from the

semiconductor to the metal and distribute on the surface. Additionally, the holes are then free to diffuse to the semiconductor surface, where oxidation of organic species can occur. The metal loading must be optimized and uniformly dispersed over the photo-catalyst. An excess metal loading results in a decreased illuminated photo-catalyst surface, as photons cannot be absorbed because of reflection. In a study of photo-induced CO_2 dissociation on titania-supported noble metals, it was found that the activity towards CO dissociation correlated with the work function of the polycrystalline metal for titania-supported Pt, Rh and Ir/TiO_2 [83]. The following mechanism was proposed: Chemisorption of CO₂ on polycrystalline metal islands results in a bent CO₂⁻ species formed by back donation between the metal d-orbitals and the $(C-O)\pi^*$ orbitals. This electron transfer results in the depopulation of the metal surface state. Photo-generated electrons generated upon band gap illumination would be transferred from the conduction band of TiO_2 to the metal island as a result of the work function of these metals being higher than that of reduced TiO_2 . Additionally, the study by Rasko [83] demonstrates that an understanding of both surface states as well as surface sites is required to understand CO₂ activation on such TiO₂-supported metal photo-catalysts.

CONCLUDING REMARKS

Though one can consider the importance of converting carbon dioxide into useful chemicals and fuels, the knowledge base that is obtainable now appears to be inadequate to fulfill this expectation. The present article therefore dealt with the limitations that exist in the development of a suitable technology for this conversion and it is hoped that further research, which has assumed already an alarming proportion, may be able to evolve a solution in the near future.

ACKNOWLEDGMENT

The authors acknowledge the Department of Science and Technology, Government of India for funding the National Centre for Catalysis (NCCR) at IIT-Madras. The University of Nevada, Reno (USA) facilitated the participation of YRS.

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