

## **Photo-electrochemical and Photo-catalytic Conversion of Carbon Dioxide**

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## **Abstract**

The conversion of carbon dioxide to useful chemicals has been the subject of numerous investigations. Though there are a variety of activating methods, only electrochemical and photo-electrochemical activation of carbon dioxide have shown promise till date. However in this area, the extent of conversion and also the evolution of method for a specific chemical from carbon dioxide appear to be a far dream. The present article deals with a critical evaluation of knowledge available in literature in this important area.

## **1. Introduction**

Increasing emissions levels from combustion of fossil fuels in stationary and mobile energy conversion systems, as well as emissions from various industrial processes, have raised many environmental and health concerns in recent years. These emissions into the atmosphere include pollutants such as  $\text{NO}_x$ ,  $\text{SO}_x$ , particulate matter, and greenhouse gases (GHG) such as methane ( $\text{CH}_4$ ), chlorofluorohydrocarbons (CFCs), and carbon dioxide ( $\text{CO}_2$ ). Succinctly, anthropogenic GHG emissions into the atmosphere have been speculated to play a role in global climate change<sup>1</sup> and have sparked multiple initiatives to reduce such emissions, with particular emphasis on  $\text{CO}_2$ .<sup>1-3</sup>

The sources of  $\text{CO}_2$  emissions include stationary, mobile, and natural as listed in Table 1. Anthropogenic emissions include those from energy utilization from mobile and stationary

sources, but exclude natural sources. Figure 1 displays the change in the global atmospheric CO<sub>2</sub> concentration over the millennium from 1000-2004 based on recent studies of Antarctic ice core samples.<sup>4</sup> The estimated CO<sub>2</sub> concentration in the atmosphere remained steady at 280 ppmv (parts per million by volume) for the majority of the millennium, but increased to 315 ppmv in 1958 (US Industrial Revolution) and further to 377 ppmv in 2004 based on actual analysis conducted in Hawaii, USA.<sup>5</sup> Current estimations state that the atmospheric CO<sub>2</sub> concentration is around 384 ppmv and is forecasted to increase at a rate of approximately 1% per annum. Such increases in atmospheric CO<sub>2</sub> are primarily caused by the combustion of fossil fuels for energy consumption (25%) and deforestation (10-30%).<sup>3</sup> However, it should be mentioned that although CO<sub>2</sub> and other GHG's are suspected to play a role in global climate change, atmospheric CO<sub>2</sub> has an important and positive role in the ecological system, since photosynthesis and food production depend on it as a carbon source. Furthermore, fossil fuels used today originated from atmospheric CO<sub>2</sub> millions of years ago. Nevertheless, the research conducted in the last five decades, and more notably the last 15 years, shows drastic increase in CO<sub>2</sub> emissions in the last century with a continuing increase at much faster rates than previous years (Figure 2). As a result of the potential adverse effects of high CO<sub>2</sub> atmospheric concentrations to humans and the environment, a large effort has been put forth to reduce CO<sub>2</sub> emissions from major contributing sources. Methods for CO<sub>2</sub> mitigation can be achieved by the following:

- CO<sub>2</sub> sequestration;
- Post-treatment capture and utilization (recycle);
- Increase in efficiencies of carbon-based energy systems;
- Use of carbon-free alternative energy sources;

When examining methods for CO<sub>2</sub> mitigation, the process should be efficient and should not require substantial energy input from conventional energy systems (e.g. fossil fuel power generation). Sequestration of CO<sub>2</sub> in geological formations such as deep-sea beds or use in enhancing fossil fuel recovery is a highly debated method. The ability for such systems to handle billions of tons per year is still unanswered. Moreover, the processes for sequestration requires large energy input, which in turn leads to more GHG emissions if supplied by conventional power generation methods. The increase in efficiencies of carbon-based energy systems will help reduce future emissions; however, it does not supply an avenue to reduce existing CO<sub>2</sub> atmospheric concentrations. On the other hand, implementing carbon-free alternative energy sources requires significant socio-economic changes. These methods raise many political, environmental, and economic concerns. Conversely, converting or recycling CO<sub>2</sub> into value-added products, such as high-energy content fuels suitable for utilization in existing infrastructure appears to be an attractive option.

Conversion of CO<sub>2</sub> into value added products could be achieved in a number of ways. A review by Xiaoding and Moulijn<sup>3</sup> covers many catalytic conversion processes of CO<sub>2</sub>. Reactions involving CO<sub>2</sub> can be, to some extent, energy input intensive. Thus, the energy required for the conversion process should come from processes that do not emit more GHGs directly into the atmosphere. When evaluating the current technologies available for the storage and conversion of CO<sub>2</sub>, they do not fall within these criteria.

One avenue that has been extensively studied to convert CO<sub>2</sub> is through electrochemical reduction.<sup>6,7</sup> Several studies have reported converting CO<sub>2</sub> into various forms such as carbon monoxide,<sup>8</sup> formaldehyde, formic acid,<sup>9</sup> methanol,<sup>10</sup> ethanol,<sup>11</sup> n-propanol,<sup>12</sup> methane,<sup>8</sup> ethane, and ethylene.<sup>13</sup> Although several reports indicate high Faradaic efficiencies (~95%) with

electrochemical reduction of CO<sub>2</sub> to such fuels, there are still limitations within such systems, mainly owing to:

- kinetic limitations due to low solubility of CO<sub>2</sub> in water;
- competition with hydrogen evolution reaction in aqueous solutions;
- high-energy demand for conversion;
- uncontrolled intermediates.

In order to develop a process that is not only *green* but also is able to address some of the aforementioned limitations, the energy required for the reduction process preferably should be supplied by renewable and/or alternative sources. One such avenue, which still requires a more in-depth study, is the photo-electrochemical and photo-catalytic reduction of CO<sub>2</sub> to simple alcohols and low chain hydrocarbon fuels. Photo-electrochemical and photo-catalytic reduction of CO<sub>2</sub> are very similar in that a large portion (photo-electrochemical) to all (photo-catalytic) the energy required for the reduction is supplied by solar irradiation rather than external source (e.g., fossil fuel power plant).

The photo-catalytic activation and reduction of CO<sub>2</sub> has been attempted in a number of ways using suitable catalysts, sensitizers, transfer and sacrificial agents in both homogeneous and heterogeneous systems.<sup>14</sup> These recent studies have introduced the photo-reduction of CO<sub>2</sub> as a potential application to convert to fuels such as methane, methanol, ethanol, and formic acid. An important feature of the photo-electrochemical reduction of CO<sub>2</sub> is not only the utilization of process-waste CO<sub>2</sub> streams as a fuel source, but such systems utilize the most abundant sources of energy and hydrogen, being sunlight and water, respectively. Solar photo-electrochemical and photo-catalytic reduction to produce fuels by use of water as the hydrogen source thus has the potential to be a means to store intermittent solar energy and recycle CO<sub>2</sub> while decreasing the

use of fossil fuels, and in turn harmful GHG emissions. Despite recent advancements in the field of photo electrochemistry and photo-catalysis with regard to CO<sub>2</sub> conversion, yields are quite low and still warrant a comprehensive investigation.

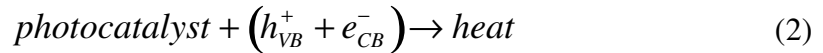
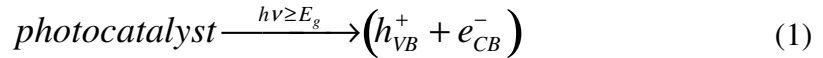
### *1.1 Photo electrochemistry (PEC) and Photo catalysis*

Ever since the discovery of the photoelectric effects of two metal electrodes immersed in a conducting medium by the French scientist Edmond Becquerel,<sup>15</sup> researchers and engineers have been probing the idea of converting light energy into electrical power and chemical fuels. Several advances between the years of 1955 and 1971 improved the understanding of electrochemical interactions between semiconductor-liquid interfaces, namely by Gerischer, Memming, and Williams, among others (and references there within).<sup>16-18</sup> Although such applications of solar light energy conversion were considered early on, Fujishima and Honda<sup>19</sup> first demonstrated the realization of such systems with the photo electrolysis of water to hydrogen and oxygen at a semiconductor electrode (TiO<sub>2</sub>). Subsequently, several review articles extensively cover the advancements of PEC and photo-catalysts over the years for several applications from wastewater remediation to hydrogen production and CO<sub>2</sub> photoreduction.<sup>14,20-</sup>

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Figure 3 shows a schematic of a liquid-junction photo-electrochemical cell. It consists of a photoanode, a counter electrode cathode, and a reference electrode. In a typical PEC setup, semiconductors utilize light irradiation to promote reactions on the surface of the electrodes within the system. For this example we will consider n-type semiconductors, since they have generally shown better stability. The principles and applications of p-type materials are generally similar to n-type materials. Oxidation of the electrolyte (e.g., H<sub>2</sub>O) occurs at the anode and reduction occurs at the cathode.

An innate property that makes semiconductors useful for PEC is their discrete quantum states of electrons. Unlike their metal counterparts that have a continuum of electron states (bands), semiconductors exhibit an electrical resistivity, or rather an energy band gap ( $E_g$ ) that extends from the top of the filled valance energy band (VB) to the bottom of the vacant conduction energy band (CB). In general, when a semiconductor surface is exposed to light radiation ( $h\nu \geq E_g$ ) electron hole pairs ( $e_{CB}^- - h_{VB}^+$ ) are generated, represented by eqn 1 with heat generation for the reverse reaction as shown by eqn 2.



Upon photo-excitation of an electron from the VB to the CB, the  $e_{CB}^-$  and  $h_{VB}^+$  pairs can follow several pathways. Ideally, in a PEC cell the photo-generated  $e_{CB}^-$ 's are driven to the external circuit and subsequently to the cathode with a small bias potential. The  $h_{VB}^+$ 's can then migrate to the surface of the semiconductor to oxidize any absorbed molecules or solvent on the surface. The ability for a photo-catalyst to carry out redox reactions and facilitate effective and efficient charge transfer is predisposed to efficient charge separation and its ability to absorb reacting species on the photo-catalyst surface. Although the lifetime of the ( $e_{CB}^- - h_{VB}^+$ ) pairs are on the order of  $10^{-15} \sim 10^{-12}$  seconds,<sup>27-29</sup> it is still sufficient to promote redox reactions on the surface of the respective electrodes. At the surface of the semiconductor, electrons can also be donated to an acceptor species and likewise the holes can migrate to the surface to combine with electrons from a donor species as well. However, the recombination of electrons and holes prevents them

from transferring to the surface to react with absorbed species and likewise can occur within the volume of the semiconductor or on the surface of the semiconductor. The rate at which charge transfer occurs depends up the position of the bands and the redox potential of the absorbed species of interest. For the activation and photo-reduction of CO<sub>2</sub>, this concept plays a significant role (*vide infra*).

For photo-catalytic systems, Bard's concept<sup>20</sup> can be applied. This suggests that semiconductor particles, or powders themselves can act as a short-circuited PEC by providing both oxidizing and reducing sites for the reaction (Figure 3b). When comparing the two systems, the photo-catalytic system is simpler and easy to construct. As a result, some important factors that should be met for optimal performance of a photo-catalytic process include the following:

- The redox potential of the photo-generated VB hole should be sufficiently positive for the hole to act as an acceptor;
- The redox potential of the photogenerated CB electron should be sufficiently negative for the electron to act as a donor;
- Photocatayst should be economically available and be environmentally inert;
- Photo-catalyst should be stable (Photo-stable) in a wide pH range and in a variety of electrolytes.

For the photo-reduction of CO<sub>2</sub>, large band-gap semiconductors are more suitable as they provide sufficient negative and positive potential in conductance and valance bands, respectively. However, the disadvantage of using wide band gap semiconductors is the high-energy input requirement and thus a limitation of a small portion of the solar spectrum. For example, titania (TiO<sub>2</sub>) is a widely studied and stable photo-catalyst with a large band gap (~3.2 eV for anatase), is active in the ultraviolet (UV) region of the solar spectrum which only



constitutes ~3-6% of the solar spectrum. Although a semiconductor such as cadmium sulfide (CdS) has a smaller band gap (~2.25 eV) and is visible-light active (~46-50% of the solar spectrum), its VB is not sufficiently positive enough to act as an acceptor and thus causes the photocatalyst to corrode<sup>30</sup>. Despite decades of work with TiO<sub>2</sub> to improve its properties via doping and addition of other photoactive materials, results have only demonstrated incremental improvements in photo-catalytic performance.<sup>31,32</sup> Thus, a material with a valance band that is sufficiently positive, a conduction band that is sufficiently negative to promote smooth electron transfer of absorbed CO<sub>2</sub> molecules, and is visible-light active would be the ideal material for the photo-reduction of CO<sub>2</sub>.

## **2. Photo-reduction of CO<sub>2</sub>**

### *2.1 Survey on the photo-electrochemical & photo-catalytic reduction of CO<sub>2</sub>*

The photo-electrochemical and photo-catalytic reduction of CO<sub>2</sub> on semiconductor electrodes has gained much attention in recent years. Studies have been extended to a variety of different avenues such as analysis of various semiconductor photocatalysts,<sup>33-36</sup> modification of photocatalyst by depositing small amounts of metal<sup>37,38</sup> and metal complexes,<sup>39,40</sup> and the effects of operating conditions.<sup>41-43</sup> In general, the photo-electrochemical and photo-catalytic reduction of CO<sub>2</sub> has not been examined extensively.

The first report of the photo-electrochemical reduction of CO<sub>2</sub> was shown by Halmann in 1978.<sup>33</sup> The photo-reduction was carried out using p-type gallium phosphate (p-GaP) as the photocathode with part or all of the energy was supplied by light. The products were found to be formaldehyde (HCHO), formic acid (HCOOH), and methanol (CH<sub>3</sub>OH). A presumed mechanism for the cathodic reduction of CO<sub>2</sub> involves a two-step electron transfer for the formation of formic acid and is as follows:



Comparatively, due to high over potentials for formic acid reduction in electrochemical systems utilizing metal cathodes, the reduction essentially stops at this point. However, in the photo-electrochemical route, further reduction of formic acid occurs more readily enabling the production of other products such as formaldehyde and methanol.

Subsequently, a report by Inoue *et al.*<sup>34</sup> studied the photo-catalytic reduction of CO<sub>2</sub> with an array of semiconductors: tungsten trioxide (WO<sub>3</sub>), titanium dioxide (TiO<sub>2</sub>), zinc oxide (ZnO), cadmium sulfide (CdS), GaP, and silicon carbide (SiC). Their report utilized irradiation by a xenon- and mercury-lamp to produce products of HCHO, HCOOH, CH<sub>3</sub>OH, and trace amounts of methane (CH<sub>4</sub>) and carbon monoxide (CO). Later reports have focused on better understanding the mechanism and improving the efficiencies of such systems by examining other semiconductors and operating parameters. The literature indicates that photo-electrochemically products such as (COOH)<sub>2</sub>,<sup>44</sup> CH<sub>3</sub>OH,<sup>45</sup> HCOOH,<sup>43</sup> can be produced selectively, with Faradaic efficiencies of 38, 96, and 37.8%, respectively. While photo-catalytically, C<sub>1</sub>-C<sub>3</sub> products have been reported,<sup>46-49</sup> however, the yields for photo-catalytic reduction are low and are on the order of magnitude of μmol gram-catalyst<sup>-1</sup> h<sup>-1</sup>. A summary of the literature in chronological order for the photo-electrochemical and photo-catalytic reduction is shown in Tables 3 and 4, respectively. The data presented in these tables illustrate typical products with either Faradaic efficiencies or yields/rates and are dependent upon many variables such as catalyst, catalyst modification, electrolyte/reductant, lamp (photon) source, temperature/pressure, which have been discussed in more detail within the literature. Although a more insightful analysis would lend

itself to examining conversion efficiencies and quantum yields, often the data are not readily available.

## 2.2 Concepts on the Activation of CO<sub>2</sub>

Carbon dioxide is a colorless, odorless, and a relatively inert gas. As a linear molecule with a double bond between the carbon and oxygen atoms (O = C = O) there is no dipole moment. In nature, CO<sub>2</sub> serves as a carbon source for the photosynthesis of organic compounds. Natural photosynthesis in plants occurs through several steps involving dark and light reactions to generate carbohydrates and oxygen from abundant raw reactants, CO<sub>2</sub> and H<sub>2</sub>O. For the case of the activation of CO<sub>2</sub> in dark reactions, artificial systems simulating such reactions are discussed elsewhere.<sup>50</sup> Over the past twenty years, a great deal of effort has been devoted to understand and mimic the mechanism of the light reactions in photosynthesis in which light energy is transformed into chemical energy. During this process, activation of CO<sub>2</sub> is achieved through a chain of electron carriers and chromophores. The work of Collin *et al.*<sup>51</sup> and Balzani *et al.*<sup>52</sup> cover artificial photosynthesis from the point of view of energy transfer and charge separation in multiple-component systems with transitional metal complexes. Although such homogeneous and microheterogeneous systems have shown to successfully reduce CO<sub>2</sub> to fuels such as formic acid,<sup>53</sup> methanol,<sup>54</sup> and methane,<sup>55</sup> such systems have draw backs such as low turnover numbers (mol reduced product of CO<sub>2</sub>/mol catalyst), low yields of only single carbon products, and the lifetime along with the selectivity of single components is not well understood. Moreover, such studies focus on homogeneous metal complexes, which can be expensive and are not practical for large-scale purposes. Thus the question arises, why is it so difficult to mimic nature and is it really possible? To answer such questions, a closer examination of thermodynamics and the bonding orbitals of CO<sub>2</sub> are required.

Being the most abundant and oxidized form of carbon occurring in nature, it is also one of the most stable of carbon compounds. A strong indication of a CO<sub>2</sub> molecule's stability can thermodynamically be inferred by its large, negative standard Gibbs free energy of formation value ( $\Delta_f G^\ominus = -349.67 \text{ kJ mol}^{-1}$ ).<sup>56</sup> Given that CO<sub>2</sub> is such a stable compound, consequently a substantial input of energy or a high-energy substrate is required for chemical conversion. This problem can be resolved with catalyst development; however, an important consideration for feasibility is a close examination of the thermodynamics of such reactions as shown in Table 2.

When first examining Table 2, it is noted that several of the reactions involving CO<sub>2</sub> are exothermic in light of the stability of the CO<sub>2</sub> molecule. It is worth mentioning that endothermic reactions, such as eqn 4 among several others, can be feasible and indeed useful. For example, some industrial endothermic reactions used today on large-scale are thermal cracking of hydrocarbons, dehydrogenation reaction of ethylbenzene to make styrene, and steam reforming for producing synthesis gas. Nevertheless, chemical reactions are driven by the difference in Gibbs free energy of products and reactants as shown by eqn 10. It is clear that most of the reactions in Table 2 have positive  $\Delta G^\ominus$  values and thus are not thermodynamically favored.

$$\Delta G = \Delta H - T\Delta S \quad (10)$$

For values in Table 2 where  $\Delta G^\ominus < 0$ , they correspond to hydrogenation reaction, leading to products containing C-O, for the most part. A reason for the relatively favorable values of  $\Delta G^\ominus$  for hydrogenation is that water is produced. However, it is important to note that these values involve the use of hydrogen. The hydrogen has to either be supplied by an outside source or via water splitting reaction in which both cases present their respective problems. For the latter case, the competition between electrons for water splitting and for CO<sub>2</sub> reduction arises in aqueous medium resulting in lower conversions and efficiencies. For the former case, if hydrogen is not

produced through alternative energy methods it does not favor the overall goal of CO<sub>2</sub> mitigation and utilization.

In the light of the results presented by this examination, thermodynamic arguments are not sufficient to explain the limited conversion of CO<sub>2</sub> into value added products via a technical processes. However, the reasons are kinetic in origin. A major factor is attributed to the large energy gap between the lowest unoccupied molecular orbital (LUMO) and the highest occupied molecular orbital (HOMO) (13.78 eV)<sup>57</sup> as well as a large electron affinity ( $-0.6 \pm 0.2$  eV).<sup>58</sup> While the HOMO level is a non-bonding  $\pi$  orbital, this attribute makes the activation difficult since the occupation by electrons within the non-bonding HOMO levels does not change the bond order between the C-O bond and these act as a lone pair of electrons. Additional challenges of activating CO<sub>2</sub> molecules arise from the molecule's symmetry. Due to the charge density symmetry of the HOMO energy level, any activation will be reflected on both sides of the molecule and hence preferential conversion is not realized. These innate properties of the CO<sub>2</sub> molecule are on par with inert gases. Activation of such molecules has been a formidable challenge within the catalyst community.

Even though several methods of activating CO<sub>2</sub> molecules have been proposed, as outlined in Scheme 1, a facile method to selectively activate the CO<sub>2</sub> molecule and convert it into useful chemicals has yet to be fully developed. Furthermore, a close examination of the bonding orbitals shows that activation of CO<sub>2</sub> has to be aimed at activating the carbon center in order to achieve such goals. The energies of the 2s and 2p orbitals of carbon are -19.4 and -10.7 eV while the energies of the 2s and 2p orbitals of oxygen are -32.4 and -15.9 eV, respectively.<sup>57</sup> This means that the 2s level of oxygen may not effectively participate in the bonding, whence the bonding levels come from the overlap of 2s and 2p orbitals of carbon with the 2p orbitals of

oxygen. Optical activation of CO<sub>2</sub> would require nearly 13.8 eV<sup>59</sup> and cannot be specific at activating the carbon center of the molecule. As direct optical activation is difficult, the activation must be realized through the removal/addition of electrons. One such avenue is the charge transfer phenomenon known in semiconductor catalysis (e.g., semiconductor photocatalysis). Although charge transfer via semiconductor catalysis provides a simpler route for CO<sub>2</sub> activation, it also imposes limitations on the position of the CB of the material to facilitate smooth charge transfer from an excited semiconductor to the CO<sub>2</sub> molecule. Since the  $\sigma$ -bonding orbitals of CO<sub>2</sub> are deep down (-38 eV),<sup>57</sup> it is deduced that only the  $\pi$ -orbitals of CO<sub>2</sub> alone may be perturbed and an attack on the oxygen centers alone may be possible. Thus it may be possible to activate CO<sub>2</sub> molecules by charge transfer route.

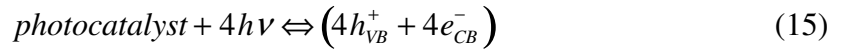
The simplest reduction products that could be produced from CO<sub>2</sub> reduction are CO and HCOO<sup>-</sup>. One, two, four, six and eight electron reduction potentials (*vs.* NHE) for CO<sub>2</sub> reduction and H<sub>2</sub>O oxidation at pH 7 and 25 °C are given in Table 5, assuming unit activities for all gaseous and aqueous species.<sup>60</sup> From Table 5, it is clear that CO<sub>2</sub> photo-reduction is not a single-step reaction. Additionally, single electron transfer to CO<sub>2</sub> is energy input intensive because of the negative adiabatic electron affinity of CO<sub>2</sub> (*vide supra*). Upon transfer of one electron, the structure of CO<sub>2</sub> changes from linear to bent,<sup>61</sup> which results in an irreversible reduction and the formation of a negatively charged CO<sub>2</sub><sup>•-</sup> species.<sup>62,63</sup> Therefore, the first plausible step in CO<sub>2</sub> photo-reduction on photoilluminated semiconductor surfaces is its activation to form CO<sub>2</sub><sup>•-</sup> species. The distortion of CO<sub>2</sub><sup>•-</sup> species is attributed to the repulsion among the two lone electron pairs on the oxygen atoms and the unpaired electron on the carbon atom. Consequently, the lower the O-C-O bond angle, the higher charge of the CO<sub>2</sub><sup>•-</sup> moiety and the greater possibility of charge transfer to CO<sub>2</sub> by lowering its LUMO.

### 2.3 Effect of reductant on mechanism pathway

The use of water as a solvent for the photo-reduction of CO<sub>2</sub> is as attractive option as it is readily available and inexpensive. Two important species involved in the CO<sub>2</sub> photo-reduction are H• (hydrogen atom) and CO<sub>2</sub>•<sup>-</sup> (carbon dioxide anion radical) which are produced by electron transfer from the conduction band as seen in eqns 13 and 14:



These radicals also form other stable substances. However, the solubility of CO<sub>2</sub> in water is low and competition with H<sub>2</sub> and H<sub>2</sub>O<sub>2</sub> formation consumes H<sup>+</sup> and e<sub>CB</sub><sup>-</sup> as:



water decomposition



hydrogen formation

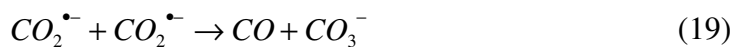


hydrogen peroxide formation



As a result of these limitations, some studies have attempted to replace water with other reductants. Liu *et al.*<sup>64</sup> conducted experiments with solutions with various dielectric constants and concluded that low polarity solvents yield CO as the primary product. This is attributed to the CO<sub>2</sub>•<sup>-</sup> anion not readily dissolved and strongly adsorbed to the surface of the photo-catalyst through the carbon atom of another CO<sub>2</sub>•<sup>-</sup> anion. On the other hand, for higher dielectric solvents (e.g. water) the CO<sub>2</sub>•<sup>-</sup> anions are greatly stabilized by the solvent resulting in weak interactions

with the photo-catalyst surface. The carbon atom of the radical can react with a proton, which results in the formation of formic acid.



A study of CO<sub>2</sub> photo-catalysis in the absence of a reductant was performed by Kaneco and coworkers.<sup>65</sup> A suspension of titania powder in supercritical CO<sub>2</sub> was illuminated by a Xe lamp. Following the irradiation, degassed aqueous solution was added to protonate the reaction intermediates on the titania powder. The results showed that CO<sub>2</sub> molecules interact with the excited-state photo-catalyst surface, resulting in the formation of CO<sub>2</sub><sup>•-</sup> radicals. During irradiation, no gaseous reduction products were identified. It was inferred that the CO<sub>2</sub><sup>•-</sup> anion radicals cannot be adsorbed on another CO<sub>2</sub><sup>•-</sup> anion radical, because the excited surface of the photo-catalyst is more active than the CO<sub>2</sub><sup>•-</sup> radical. However, following a washing process with several solvents, formic acid was detected. From these studies, it was noted that the amount of H<sup>+</sup> in the reductant controls the direction and selectivity of the CO<sub>2</sub> photo-reduction products and the amount of formic acid increased with the pH of the solution.

Improving the efficiency in aqueous systems using sacrificial electron donors such as trimethylamine, triethanolamine,<sup>66</sup> dimethylformamide,<sup>67</sup> and isopropyl alcohol<sup>68</sup> has also been extensively studied. Beyond the use of water as a reductant, CO<sub>2</sub> photo-catalysis can be achieved in the presence of gas-phase H<sub>2</sub>S,<sup>69</sup> H<sub>2</sub>,<sup>70,71</sup> and CH<sub>4</sub>.<sup>72</sup> The use of hydrogen as an alternative for photo-catalytic reduction of CO<sub>2</sub> has also been investigated.<sup>73,74</sup> The primary products were found to be CO. Although CO may be toxic it serves as a valuable substrate for many industrial processes, such as Fischer-Tropsh synthesis or methanol synthesis. The first step in CO formation produces formate from CO<sub>2</sub> and H<sub>2</sub>. The second is the reduction of CO<sub>2</sub> to CO on the



formate radical.

#### *2.4 Surface state & surface site on CO<sub>2</sub> activation*

Mechanisms presented within the literature for the photo-catalytic reduction of CO<sub>2</sub> indicate that CO<sub>2</sub> gains electrons from the CB of the photo-catalyst; however, when probed deeper, it appears not to be as straightforward. Semiconductor mediated photo-induced electron transfer reactions require the electrons in the conduction band of the semiconductor to have greater energy than that of the LUMO of the electron-accepting species. Using the values for the energy of the TiO<sub>2</sub> conduction band and the standard reduction potential for the HCOOH/ H<sub>2</sub>CO<sub>3</sub> couple at pH = 5, for example, Xu and Schoonen<sup>75</sup> suggested that the electrons in the conduction band of (bulk) TiO<sub>2</sub> may not be transferred to CO<sub>2</sub>. However, electronic interaction between the TiO<sub>2</sub> surface and CO<sub>2</sub> could facilitate electron transfer by lowering of the LUMO of the adsorbate by forming a surface state in the band gap. Such observations suggest that not much is known about the initial steps of CO<sub>2</sub> activation during photo-catalytic reduction. As a result, a better understanding of the interaction and charge transfer between the excited semiconductor surface and CO<sub>2</sub> is required.

Charge transfer from excited semiconductor surfaces to CO<sub>2</sub> is influenced by both bulk and local interactions. There are two different ways to understand electron transfer to CO<sub>2</sub> in semiconductor photo-catalysis: surface site and surface state. A surface site is a collection of atoms on the surface, which is reactive in some manner. These sites may be an oxygen vacancy or a coordinatively under-saturated metal atom, or a combination of features that results in an orbital containing unusual electron affinity. On the other hand, a surface state is a localized energy level at the surface. The occupation of the surface state with respect to the Fermi energy ( $E_f$ ) of the solid determines its occupation.

### 2.4.1 Surface state CO<sub>2</sub> activation

To better determine the feasibility of electron transfer from the CB of a photo-catalyst to CO<sub>2</sub> an understanding of surface state energy levels for the CO<sub>2</sub>/ CO<sub>2</sub>•<sup>-</sup> couple at the photocatalyst surface is required. A methodology to determine the energy levels of the surface state at the solid-gas interface has been proposed by Morrison.<sup>76</sup> Accordingly, the location of the standard redox potential with respect to the edges of the CB and VB of the solid at the point-of-zero-charge (pzc) determines the potential for electron transfer. The standard reduction potential for the CO<sub>2</sub>/ CO<sub>2</sub>•<sup>-</sup> redox couple is -1.9 V,<sup>77</sup> which corresponds to a redox state with an energy level of -2.6 eV (in AVS). The main contributions to this energy are the electron affinity (-0.6 eV) and the solvation of CO<sub>2</sub>•<sup>-</sup> in water (-3.2 eV). The high free energy of solvation indicates that solvent reorganization energy will be significant. If one compares the redox couple of CO<sub>2</sub>/ CO<sub>2</sub>•<sup>-</sup> with the location of the CB of titania in aqueous solution, without any specific interaction with the catalyst/electrode surface, it is clear that the reduction of CO<sub>2</sub> on titania is unlikely as the energy level associated with the CO<sub>2</sub>/ CO<sub>2</sub>•<sup>-</sup> redox couple is higher in energy. As a result, a fluctuating energy level mechanism is required to describe energy levels of CO<sub>2</sub> and CO<sub>2</sub>•<sup>-</sup>.

Surface states may be identified experimentally via spectroscopic studies of CO<sub>2</sub> adsorbed on well-defined surfaces. Using ultraviolet photoemission spectroscopy (UPS) and metastable impact electron spectroscopy (MIES), Krischok *et al.*<sup>78</sup> found that CO<sub>2</sub> interacted with rutile titania single crystals weakly, forming linearly adsorbed species. An *ab initio* periodic study of CO<sub>2</sub> adsorption on rutile titania supports these results.<sup>79</sup> Therefore, without irradiation, CO<sub>2</sub> does not form a surface state upon linear adsorption in the ground-electronic state. Once the photo-catalyst surface is irradiated with light energy greater than the band gap, electrons populate the CB. The energy of the CB electrons is likely to be less than that required for CO<sub>2</sub>

reduction to  $\text{CO}_2^{\bullet-}$ . From this analysis, it is clear that the energy levels of  $\text{CO}_2/\text{CO}_2^{\bullet-}$  couple require a combination of local and collective approaches.

#### 2.4.2 Surface site $\text{CO}_2$ activation

Studies of surface photo-catalytic processes has progressed from a surface state approach to a more detailed understanding of specific surface adsorbate structures, which are involved in the charge transfer. An examples such a study is by Nowotny *et al.*<sup>80</sup> on understanding the initial steps of  $\text{H}_2\text{O}$  oxidation on titania. As mentioned previously, the initial activation of  $\text{CO}_2$  via a semiconductor photo-catalytic process is not well understood. Consequently, a better understanding of local chemistry at surface sites where activated species are formed is necessary to understand the nature of charge transfer from a metal oxide surface to  $\text{CO}_2$ .  $\text{CO}_2$  can be adsorbed as a carbonate and a linear species on metal oxide surfaces. Various ground-state adsorbate configurations of  $\text{CO}_2$  on titania surfaces have been studied.<sup>79,81-83</sup> When  $\text{CO}_2$  is adsorbed on a titania surface, it acts as a net electron donor when it absorbs with the oxygen end on Ti Lewis acid sites, and a net electron acceptor when the electrophilic C atom interacts with surface Lewis basic sites, or surface O atoms.<sup>62</sup> The interaction between the C atom of  $\text{CO}_2$  and the surface oxygen atoms of a metal oxide photo-catalyst causes the formation of surface carbonate and bicarbonate species. Conversely, if  $\text{CO}_2$  does not interact strongly with the ground state of the semiconductor, the LUMO of adsorbed  $\text{CO}_2$  may not be lowered enough to ensure electron transfer from the conduction band. Questions to be addressed by a surface site approach are:

- Upon illumination of a semiconducting surface, how does electron transfer from the conduction band to  $\text{CO}_2$  occur?
- What specific sites and specific  $\text{CO}_2$  configurations on the semiconductor surface

promote this electron transfer? Can such sites be engineered?

A strong interaction between the metal oxide surface and CO<sub>2</sub> is presumed for CO<sub>2</sub> to become activated from electrons from the CB of the semiconductor. For example, consider the case of a widely studied semiconductor photo-catalyst, TiO<sub>2</sub>. Photoillumination of titania produces electron-hole (Ti<sup>3+</sup>-O<sup>-</sup>) centers in titania (eqns 1, 2, and 15). The Ti<sup>3+</sup> centers are proposed to interact with CO<sub>2</sub> to form CO<sub>2</sub>•<sup>-</sup> species adsorbed on the surface. Further reactions involving these carbon dioxide radical anions go to form C radicals and CH<sub>x</sub>O<sub>y</sub> end products. The presence of suitable unoccupied molecular orbitals on CO<sub>2</sub> is required for the electron transfer from the Ti<sup>3+</sup> surface electron centers to CO<sub>2</sub>. For effective formation of desirable products, electronic interaction between the surface and CO<sub>2</sub> is necessary for CO<sub>2</sub> to gain electrons. One role of the surface might be to interact with CO<sub>2</sub> reducing the bond angle. Freund and Roberts indicate that the LUMO of CO<sub>2</sub> decreases with the O–C–O bond angle.<sup>62</sup> The lowering of the LUMO corresponds to the formation of carbonate species on the surface. The application of this principle is not limited to CO<sub>2</sub> activation on irradiated metal oxide surfaces. Similar interactions of CO<sub>2</sub> with electrocatalysts are supposed to decrease the overpotential for CO<sub>2</sub> reduction during electrochemical reduction.<sup>84</sup> Analytical techniques such as FTIR spectroscopy have been used to study adsorbed CO<sub>2</sub> on surface electron centers (Ti<sup>3+</sup>) created due to oxygen vacancies.<sup>81</sup>

### *2.5 Effect of support and metal doping on CO<sub>2</sub> activation*

The use of promoters such as potassium (K) or impregnation with metals has been used to improve photo-catalytic activity. Alkali promotion of CO<sub>2</sub> activation on titania has been studied.<sup>85</sup> The added alkali atom donates an electron to CO<sub>2</sub> to form CO<sub>2</sub>•<sup>-</sup>. This then reacts with surface oxygen to form carbonate species on the surface. These promoters are stoichiometrically consumed upon charge transfer to CO<sub>2</sub> and cannot be regenerated. On the other hand,

modification with metals such as Pd, Rh, Pt, Cu, *etc.* can affect the thermodynamics and kinetics of CO<sub>2</sub> activation and further conversion and selectivity of products. Previous photo-catalytic studies have been performed on the loading of photo-catalysts with metals that function as electron sinks. Charge carrier traps suppress recombination and increase the lifetime of the separated electrons and holes. The deposition of various metals can lead to the formation of C<sub>2</sub> and C<sub>3</sub> compounds for the photo-reduction of CO<sub>2</sub>.<sup>47-49,86</sup>

A study by Subrahmanyam *et al.*<sup>46</sup> examined the role of the support on product selectivity for the photo-catalytic reduction of CO<sub>2</sub> to C<sub>1</sub>-C<sub>3</sub> products. CO<sub>2</sub> conversion to C<sub>1</sub>-C<sub>3</sub> compounds over these materials was specific over TiO<sub>2</sub>/Pd/Al<sub>2</sub>O<sub>3</sub>. This study demonstrated the role of SiO<sub>2</sub> acid-base material support in the product selectivity compared to Al<sub>2</sub>O<sub>3</sub> supported systems. In contrast to this, a bulk Pd/TiO<sub>2</sub> system exhibited a very high selectivity for the production of methane from the photo-reduction of CO<sub>2</sub>.<sup>47</sup> The nature of the support itself changes the selectivity to C<sub>1</sub> and C<sub>2</sub> compounds. Paul and Hoffmann<sup>87</sup> suggested that the lowered work function of an alkali promoted surface facilitates a high probability of electron transfer to impinge neutral CO<sub>2</sub> molecules. Moreover, they proved that the dimerization occurs via a radical-substrate reaction mechanism in a hydrogen deficient system. In addition, Kiwi and Morrison reported<sup>88</sup> an effect of lithium-doping of TiO<sub>2</sub> anatase. It was concluded from this study that lithium doping promotes conduction band electron transfer and enhances the photo-generation of hydrogen in water cleavage reaction. The use of Li as a dopant, for example, increases the total number of basic sites by creating a low coordinated oxygen species, which leads to an increase in the basic nature of the photo-catalyst. Selectivity towards C<sub>1</sub> and C<sub>2</sub> products over such catalysts is more specific than that of higher acidic supported based systems.<sup>46</sup> This suggests that though the basic nature of the support is of fundamental

importance, it is not alone responsible for controlling the selectivity during the photo-catalytic reduction of CO<sub>2</sub>.

Several researchers have investigated the efficiency and selectivity by modifying the photo-catalyst surface with metal. In general, the performance of CO<sub>2</sub> photo-reduction to produce formaldehyde, methanol, and methane, which consume more electrons, is increased. This is attributed to the metal contacts on the semiconductor surface, which enables electrons to easily flow from the semiconductor to the metal and distribute on the surface. Additionally, the holes are then free to diffuse to the semiconductor surface, where oxidation of organic species can occur. The metal loading must be optimized and uniformly dispersed over the photo-catalyst. An excess metal loading results in a decreased illuminated photo-catalyst surface, as photons cannot be absorbed because of reflection. In a study of photo-induced CO<sub>2</sub> dissociation on titania-supported noble metals, it was found that the activity towards CO dissociation correlated with the work function of the polycrystalline metal for titania-supported Pt, Rh and Ir/TiO<sub>2</sub>.<sup>83</sup> The following mechanism was proposed: Chemisorption of CO<sub>2</sub> on polycrystalline metal islands results in a bent CO<sub>2</sub><sup>•-</sup> species formed by back donation between the metal d-orbitals and the (C–O) π\* orbitals. This electron transfer results in the depopulation of the metal surface state. Photo-generated electrons generated upon band gap illumination would be transferred from the conduction band of TiO<sub>2</sub> to the metal island as a result of the work function of these metals being higher than that of reduced TiO<sub>2</sub>. Additionally, the study by Rasko<sup>83</sup> demonstrates that an understanding of both surface states as well as surface sites is required to understand CO<sub>2</sub> activation on such TiO<sub>2</sub>-supported metal photo-catalysts.

### **3. Concluding remarks**

Though one can consider the importance of converting carbon dioxide into useful chemicals and fuels, the knowledge base that is obtainable now appears to be inadequate to fulfill this

expectation. The present article therefore dealt with the limitations that exist in the development of a suitable technology for this conversion and it is hoped that further research, which has assumed already an alarming proportion, may be able to evolve a solution in the near future.

## Acknowledgment

The authors acknowledge the Department of Science and Technology, Government of India for funding the National Centre for Catalysis (NCCR) at IIT-Madras. The University of Nevada, Reno (USA) facilitated the participation of YRS.

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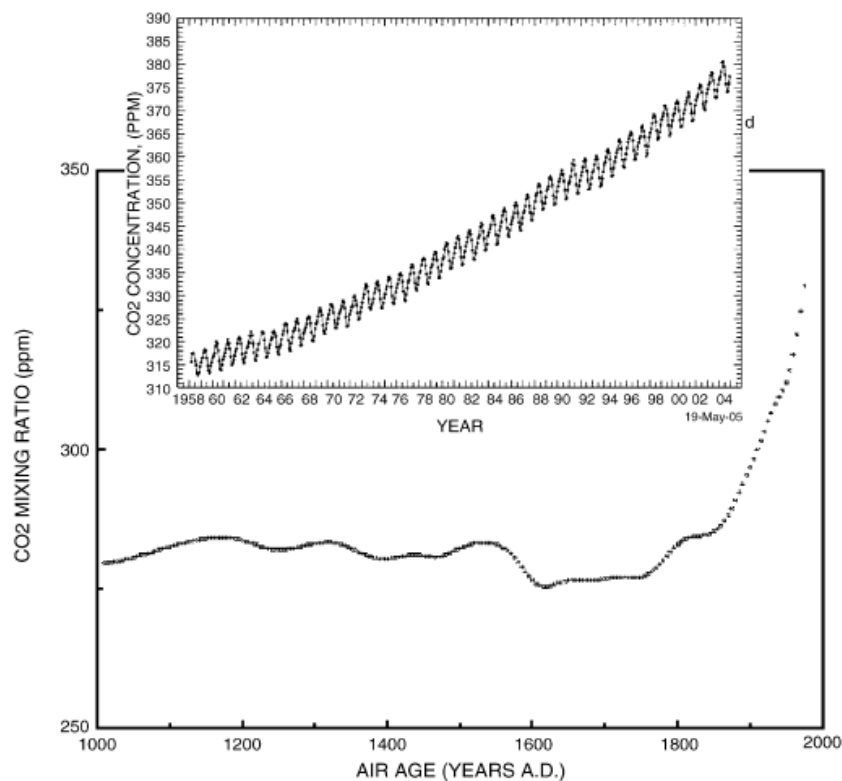
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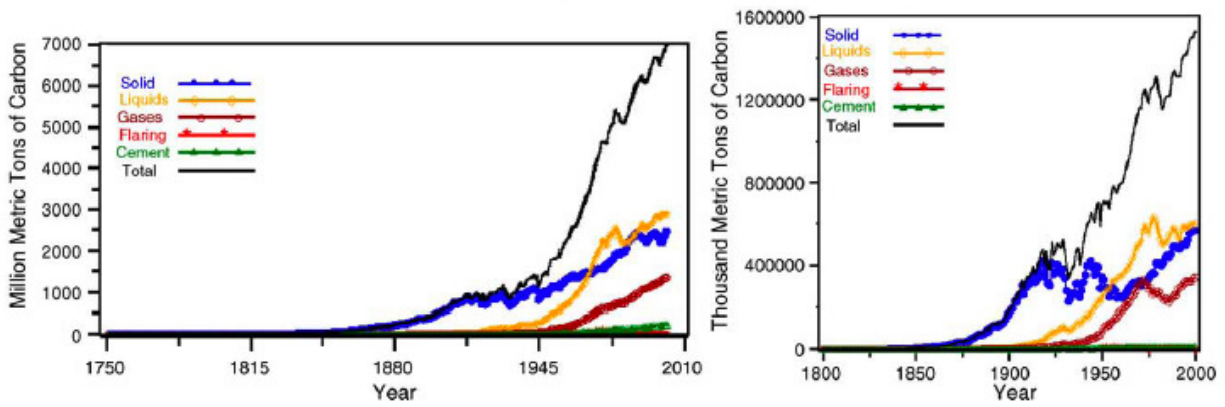
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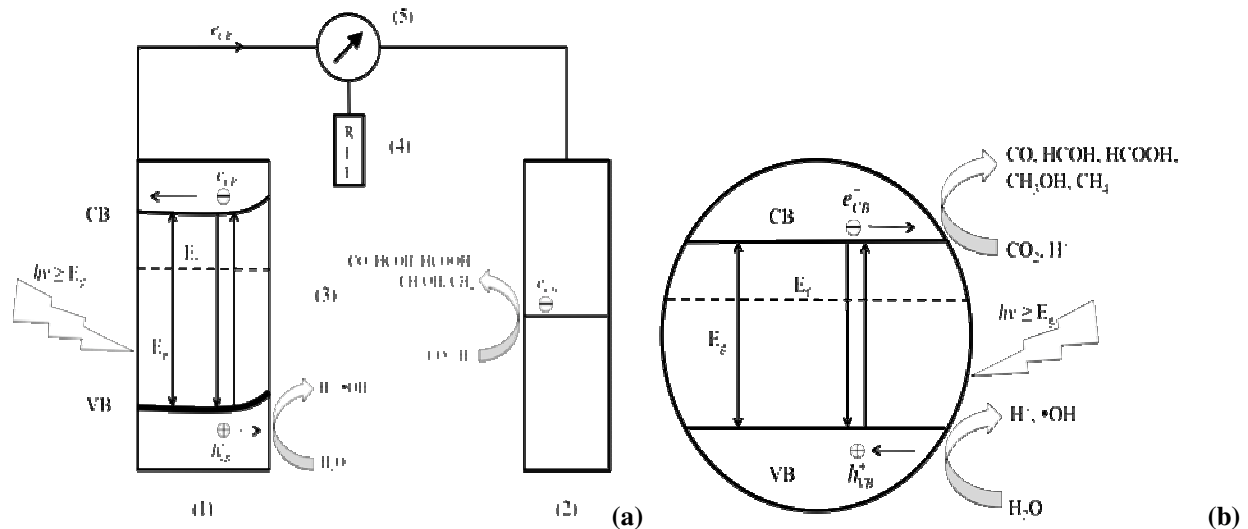
## Tables & Figures



**Figure 1:** Atmospheric carbon dioxide ( $\text{CO}_2$ ) concentrations during 1000-2004 based on the analysis of Antarctic ice cores<sup>4</sup> and the actual atmospheric  $\text{CO}_2$  analysis during 1958-2004.<sup>5</sup>



**Figure 2:** World carbon dioxide (CO<sub>2</sub>) emissions in million metric tons of carbon (left) and US CO<sub>2</sub> emissions in thousand metric tons of carbon by fuel type (right). Taken from Marland *et al.*<sup>89</sup>



**Figure 3:** (a) Schematic of a liquid junction photo-electrochemical cell for a p-type semiconductor for the reduction of carbon dioxide to various products. A similar setup is used for n-type cells as well. The anode (1) is the photoactive material, (2) is the cathode, (3) a conducting electrolyte, (4) reference electrode, (5) potentiostat. In photo-catalysis a semiconductor itself can act as a photo-electrochemical cell as shown by **Figure 3b**. The valance band and conduction band of the semiconductor are analogous to the anode and cathode in a photo-electrochemical cell, respectively. The Fermi level is denoted by  $E_f$ , which is defined as the energy level in which 50% of the distribution of charge carriers can be found defined by the Fermi-Dirac function.

**Table 1:** Sources of carbon dioxide emissions (CO<sub>2</sub>). Taken from C. Song 2006.<sup>90</sup>

Stationary sources	Mobile sources	Natural sources
Fossil fuel-based electrical power plants	Cars, and sport utility vehicles	Humans
Independent power producers	Trucks and buses	Animals
Manufacturing plants in industry <sup>a</sup>	Aircrafts	Plants and animal decay
Commercial residential buildings	Trains and ships	Land emission leakage
Flares of gas at field	Construction vehicles	Volcanoes
Military and government facilities	Military and government devices	Earthquakes

<sup>a</sup> Major concentrated CO<sub>2</sub> sources include plants for manufacturing hydrogen, ammonia, cement, limestone, and soda ash as well as fermentation processes and chemical oxidation processes.

**Table 2:** Thermodynamics for various reactions involving CO<sub>2</sub>.<sup>62</sup>

Reaction	$\Delta H^\ominus$ (kJ mol <sup>-1</sup> )	$\Delta G^\ominus$ (kJ mol <sup>-1</sup> )	eqn
$\text{CO}_{2(\text{g})} + \text{H}_{2(\text{g})} \rightarrow \text{CO}_{(\text{g})} + \text{H}_2\text{O}_{(\text{g})}$	41.2	18.6	(3)
$\text{CO}_{2(\text{g})} + \text{H}_{2(\text{g})} \rightarrow \text{CO}_{(\text{g})} + \text{H}_2\text{O}_{(\text{l})}$	-2.8	20.0	(4)
$\text{CO}_{2(\text{g})} + \text{H}_{2(\text{g})} \rightarrow \text{HCOOH}_{(\text{l})}$	-31.2	33.0	(5)
$\text{CO}_{2(\text{g})} + 2\text{H}_{2(\text{g})} \rightarrow \text{CHOH}_{(\text{l})} + \text{H}_2\text{O}_{(\text{l})}$	-9.0	44.0	(6)
$\text{CO}_{2(\text{g})} + 3\text{H}_{2(\text{g})} \rightarrow \text{CH}_3\text{OH}_{(\text{l})} + \text{H}_2\text{O}_{(\text{l})}$	-131.3	-9.2	(7)
$\text{CO}_{2(\text{g})} + 4\text{H}_{2(\text{g})} \rightarrow \text{CH}_{4(\text{g})} + 2\text{H}_2\text{O}_{(\text{l})}$	-252.9	-130.8	(8)
$2\text{CO}_{2(\text{g})} + 6\text{H}_{2(\text{g})} \rightarrow \text{CH}_3\text{OCH}_3_{(\text{l})} + 3\text{H}_2\text{O}_{(\text{l})}$	-264.9	-38.0	(9)

**Table 3:** Summary of the Photo-electrochemical reduction of CO<sub>2</sub>

Catalyst(s)	Electrolyte/Reductant	Light Source	(-)E/V (SCE)	i/ mA cm <sup>-2</sup>	Primary Products (Faradic efficiency, %)	Notes	Reference
p-GaP	Aqueous buffer	315-510 nm	1.0	6.0	HCHO (5.6), CH <sub>3</sub> OH (3.6)	Electrode etched in conc. HNO <sub>3</sub> :HF:H <sub>2</sub> O (3:1:4 v/v)	Halmann, 1978 <sup>33</sup>
p-GaAs				0.09,		Electrodes etched in 1 vol% Br <sub>2</sub> /CH <sub>3</sub> OH (v:v) in 0.1M EDTA	Canfield & Frese, 1983 <sup>35</sup>
p-InP	0.2 M Na <sub>2</sub> SO <sub>4</sub>	W-halogen lamp	1.3	0.180	CH <sub>3</sub> OH(55), (70), (100)	Electrode etched in 5% Br <sub>2</sub> in CH <sub>3</sub> OH + H <sub>2</sub> SO <sub>4</sub> , 30% H <sub>2</sub> O <sub>2</sub> (1:1 v/v)	
n-GaAs (dark)							
p-GaAs	3.1 M CaCl <sub>2</sub> + 0.87 M HCl + 0.07 M V(II)Cl <sub>2</sub>	150 W W or XO lamp	0.7	0.85	HCOOH (1.5), HCHO (0.3), CH <sub>3</sub> OH (0.14)		Zafirir <i>et al.</i> 1983 <sup>91</sup>
p-CdTe	TBAP in DMF NH <sub>4</sub> ClO <sub>4</sub> in DMF + H <sub>2</sub> O	Xe lamp, λ = 600 nm	1.6	1.25	CO, H <sub>2</sub> . (62.5, 0.25), (5-80%,-), increases as [H <sub>2</sub> O] increases	CdTe electrode was best performing over several others tested, etched in aqua regia	Taniguchi <i>et al.</i> 1984 <sup>36</sup>
	p-CdTe				p-CdTe H <sub>2</sub> , CO, HCOOH		
	0.1 M Na <sub>2</sub> CO <sub>3</sub>				(40.3, 15.6, 41.8)		
	0.1 M Li <sub>2</sub> CO <sub>3</sub>				(47.2, 10.7, 37.8)		
	0.1 M K <sub>2</sub> CO <sub>3</sub>				(39.7, 19.1, 35.5)		
	0.1 M Na <sub>2</sub> SO <sub>4</sub>				(45.1, 43.3, 5.4)		
	0.1 M K <sub>2</sub> SO <sub>4</sub>				(51.5, 32.7, 3.4)		
	0.1 M Na <sub>3</sub> PO <sub>4</sub>				(56.1, 25.4, 2.6)		
	0.1 M Na <sub>2</sub> HPO <sub>4</sub>				(57.2, 27.2, 1.7)		
	0.1 M LiClO <sub>4</sub>				(41.5, 40.5, 18.9)		
	0.1 M TEAP				(10.9, 60.7, 23.8)		
	p-InP				p-InP		
	0.1 M Na <sub>2</sub> CO <sub>3</sub>				(71.0, 8.6, 17.5)	p-CdTe electrode etched in HCl+HNO <sub>3</sub> (3:1 v/v) and p-InP electrode etched in 1% bromide dissolved in methanol	
	0.1 M Na <sub>2</sub> SO <sub>4</sub>				(70.7, 16.1, 4.6)		
	0.1 M Na <sub>3</sub> PO <sub>4</sub>				(80.3, 10.7, 2.3)		
p-CdTe	0.1 M LiClO <sub>4</sub>	500 W Xe lamp			(61.8, 13.7, 24.2)		
p-InP	0.1 M TEAP	(λ ≥ 450 nm)	1.24	-	(30.0, 26.0, 36.2)		Yoneyama, <i>et al.</i> 1988 <sup>43</sup>



p-CdTe bare						CO, CH <sub>3</sub> OH, H <sub>2</sub>			
p-CdTe & 24-crown-8						(92, -, 10)			
p-CdTe & 18-crown-6						(87, 6, 6)			
p-CdTe & 15-crown-6	0.1 M TEAP and DMF + 5%	560 nm				(85, 13, 1)			
p-CdTe & 12-crown-4	H <sub>2</sub> O (pH = 9)	(0.5 mW cm <sup>-2</sup> )	1.7	-		(-, -, 94)	Electrodes etched in		Bockris & Waas,
						(88, 5, 4)	aqua regia		1989 <sup>92</sup>
Au/p-GaP						(COOH) <sub>2</sub> , HCOOH, CO, H <sub>2</sub>			
In/p-GaP						(8, 6, 94, trace)			
Pb/p-GaP	0.1 M TEAP in					(0.4, 7, 94, trace)	Electrodes etched in		
Zn/p-GaP	phthalocyanine	300 W Xe lamp	1.24	-		(38, 11, 41, trace)	aqua regia		Ikeda <i>et al.</i> 1989 <sup>44</sup>
						(1, 8, 100, trace)			
p-Si			1.27	2.40		H <sub>2</sub> , CO, HCOOH, CH <sub>4</sub>	electrodes etched in CP-		
Ag			1.49	1.69		(73.5, 12, 4.3, 0.44)	4A and 12%HF, pure		
Ag/p-Si			1.05	2.29		(14.1, 75.9, 2.1, 0)	metal electrodes		
Au			1.21	2.13		(38.4, 50.9, 0.8, 0)	electrochemically		Hinogami <i>et al.</i>
Au/p-Si	0.1 M KHCO <sub>3</sub>	W-halogen lamp	0.74	1.87		(3.7, 82.2, 0, 24, 0)	polished in acid		1998 <sup>38</sup>
						(9, 62.2, 0, 0)			
Pb/p-InP					Pb (5.5)	CO, HCOOH, H <sub>2</sub>			
Ag/p-InP					Ag (10)	Pb (10, 30, 5)			
Au/p-InP					Au (11)	Ag (80, 2.5, 5)			
Pd/p-InP					Pd (5.9)	Au (70, 5, 10)			
Cu/p-InP					Cu (3.8)	Pd (45, 0, 20)	electrodes etched in CP-		
Ni/p-InP	3 mM LiOH/CH <sub>3</sub> OH	500 W Xe lamp	2.54		Ni (5.9)	Cu (35, 18, 22)	4A and a mixture of		Kaneco <i>et al.</i>
						Ni (12, 18, 22)	conc. Acids		2006 <sup>93</sup>
			465 nm	465 nm		CH <sub>3</sub> OH 465 nm			
			0.7	1.1		56			
			0.6	1.0		51			
			0.5	0.46		78			
			0.4	0.33		83			
			0.3	0.27		90			
			365 nm	365 nm		365 nm			
			0.5	0.92		62	electrode etched in		
			0.4	0.48		89	HNO <sub>3</sub> :HCl (2:1 v/v),		
			0.3	0.28		92	selectivity for		
		200 W W-halogen	0.2	0.21		96	methanol favored only		Barton <i>et al.</i>
p-GaP (111)	0.5 M KCl + 10 mM pyridine	lamp	0.25	0.21		96	with pyridine		2007 <sup>45</sup>

**Table 4:** Summary of the Photo-catalytic reduction of CO<sub>2</sub>

Catalyst(s)	Electrolyte/ Reductant	Light Source	Experimental Conditions	System	Primary Products (yield/rate)	Notes	Reference
TiO <sub>2</sub> SrTiO <sub>3</sub> CaTiO <sub>3</sub>	H <sub>2</sub> O + LiHCO <sub>3</sub>	Sunlight	Temperature and solar flux variation based on ambient conditions	1 X 0.5 m <sup>2</sup> solar collector, immobilized particulate films	HCOOH (10.2-518.8 μmol kJ <sup>-1</sup> ) HCHO (0.2-15.4) CH <sub>3</sub> OH (2.7-48.1) CH <sub>3</sub> CHO (0-15.4) C <sub>2</sub> H <sub>5</sub> OH (0-2)	South facing solar collector, natural light, conditions varied such as catalyst and electrolyte, most efficient system was SrTiO <sub>3</sub> with LiHCO <sub>3</sub>	Halmann <i>et al.</i> 1983 <sup>94</sup>
Cu/SiC	0.5 M KHCO <sub>3</sub>	Hg lamp (7 mW/cm <sup>2</sup> , λ = 365 nm)	Temperature: 273-353 K pH: 3-9	35 mL batch slurry reactor	CH <sub>4</sub> (14.9 μL h <sup>-1</sup> g <sup>-1</sup> ) C <sub>2</sub> H <sub>4</sub> (3.9) C <sub>2</sub> H <sub>6</sub> (2.3)	Only gaseous products analyzed, selectivity toward C <sub>2</sub> hydrocarbon favored with Cu addition	Cook <i>et al.</i> 1988 <sup>95</sup>
ZnO	H <sub>2</sub> O	75 W Xe lamp (λ > 398 nm)	Temperature: 278 K Pressure: 0.25-0.35 MPa	slurry reactor particulate films, fine particle	CH <sub>3</sub> OH and CH <sub>4</sub>	Product yields highly depend upon catalyst crystalline, CO <sub>2</sub> /H <sub>2</sub> O ratio and temperature	Watanabe 1992 <sup>48</sup>
TiO <sub>2</sub>	H <sub>2</sub> O	75 W Hg lamp (λ > 280 nm)	Temperature: 273-323 K	slurry, and single crystal	CH <sub>4</sub> , CH <sub>3</sub> OH, CO, and C <sub>2</sub> hydrocarbons found in trace		Anpo <i>et al.</i> 1992 <sup>96</sup>
Cu/TiO <sub>2</sub>	H <sub>2</sub> O	400 W Xe lamp	ambient temperature P = 2.7 MPa pH = 5.45	42.5 mL stainless steel slurry reactor with quartz window	CH <sub>4</sub> (21.8 μL g <sup>-1</sup> ) C <sub>2</sub> H <sub>4</sub> (26.2) C <sub>2</sub> H <sub>6</sub> (2.7)	Product yields found to be a function of Cu loading and illumination time, 5wt.% Cu optimal	Adachi <i>et al.</i> 1994 <sup>97</sup>
surface modified CdS with thiol compounds	2-propanol with various solvents	500 W Hg lamp (λ > 300 nm)	Ambient temperature & pressure	7 mL quartz slurry reactor	formate and CO	Dielectric constant of solvent plays a role on naked CdS toward CO selectivity	Liu <i>et al.</i> 1998 <sup>98</sup>
TiO <sub>2</sub> /Pd/Al <sub>2</sub> O <sub>3</sub> TiO <sub>2</sub> /Pd/SiO <sub>2</sub> Cu/ZnO Li <sub>2</sub> O/TiO <sub>2</sub> over MgO, Al <sub>2</sub> O <sub>3</sub>	H <sub>2</sub> O	250 mW Hg arc lamp	Ambient temperature & pressure	slurry reactor	CH <sub>3</sub> COCH <sub>3</sub> CH <sub>3</sub> CH <sub>2</sub> OH CH <sub>3</sub> OH HCOOH HCHO CH <sub>4</sub> C <sub>2</sub> H <sub>6</sub>	Selectivity for C <sub>3</sub> favored by TiO <sub>2</sub> /Pd/Al <sub>2</sub> O <sub>3</sub> , basic catalyst support favored C <sub>1</sub> -C <sub>3</sub> while acidic support favored C <sub>1</sub>	Subrahmanyam <i>et al.</i> 1999 <sup>46</sup>
Sol-gel Cu(I)/TiO <sub>2</sub>	0.2 M NaOH	8 W Hg lamp, λ = 254nm	Temperature: 323 K Pressure: 0.1 MPa	300 mL slurry reactor	CH <sub>3</sub> OH (19.7 μmol h <sup>-1</sup> g <sup>-1</sup> ) H <sub>2</sub> (6.17 μmol h <sup>-1</sup> ) CH <sub>4</sub> (0.014) CH <sub>3</sub> OH (1.45) HCOOH (3.88) C <sub>2</sub> H <sub>5</sub> OH (0.35)	Selectivity increased towards CH <sub>3</sub> OH over CH <sub>4</sub> with Cu(I) loading	Tseng <i>et al.</i> 2002 <sup>99</sup>
Pt/K <sub>2</sub> Ti <sub>6</sub> O <sub>13</sub> with Fe- Cu-K/DAY Zeolite catalyst	H <sub>2</sub> O	Concentrated sunlight	Temperature: 590 K Pressure: 0.2 MPa	173 mL fixed bed		Product rates and yields found to be a function of reaction temperature and Fe:K <sub>2</sub> Ti <sub>6</sub> O <sub>13</sub> ratio	Guan <i>et al.</i> 2003 <sup>100</sup>

TiO <sub>2</sub> -ZrO <sub>2</sub>	H <sub>2</sub> + H <sub>2</sub> O	(4) 15 W UV lamps, $\lambda = 265$ and 354 nm	Temperature: 316 ± 2 K Pressure: 0.11 MPa	Gas-solid, 120 mL annular reactor, TiO <sub>2</sub> & ZrO <sub>2</sub> immobilized on pyrex glass pellets	CH <sub>4</sub> (8.21 $\mu\text{mol g}^{-1}$ ) CO (0.28) C <sub>2</sub> H <sub>6</sub> (0.20)	Most effective system was TiO <sub>2</sub> with H <sub>2</sub> +H <sub>2</sub> O, use of ZrO <sub>2</sub> leads to only CO products Methanol rate increased with NiO loading and with R50-O200 treatment, quantum/energy efficiency of 2.54% reported for optimal system	Lo <i>et al.</i> 2007 <sup>73</sup>
NiO/InTaO <sub>4</sub> -R50-O200	0.2 M KHO <sub>3</sub>	500 W halogen lamp	Ambient temperature & pressure	75 mL slurry reactor	CH <sub>3</sub> OH (1.39 $\mu\text{mol h}^{-1} \text{g}^{-1}$ )	Higher yields for Pt impregnated TiO <sub>2</sub> over sol-gel Pt-TiO <sub>2</sub> in UV, sensitizers reduced activity under UV irradiation and CH <sub>4</sub> was observed under visible light	Pan <i>et al.</i> 2007 <sup>101</sup>
Dye [Ru(Bpy) <sub>3</sub> ] <sup>2+</sup> , BrGly, BrAsp, sensitized, Pt-promoted, TiO <sub>2</sub> films	H <sub>2</sub> O	150 W UVA and 75 W daylight lamps	Temperature: 298 K $p\text{CO}_2 = 0.09$ MPa	Gas-solid, glass chamber	CH <sub>4</sub> only monitored	Anatase-rutile nanocomposite exhibited higher evolution of CH <sub>4</sub> of commercial TiO <sub>2</sub>	Ozcan <i>et al.</i> 2007 <sup>102</sup>
Mixed phase TiO <sub>2</sub> powders from TiCl <sub>4</sub> hydrolysis	NaHCO <sub>3</sub> + isoporpanol	450 W medium-pressure Hg lamp, UV+vis components	Temperature: 293-298 K	1280 mL annular glass reactor	CH <sub>4</sub> (33.3 $\mu\text{mol h}^{-1} \text{g}^{-1}$ )	Higher methane rate noted for system without N3 dye under artificial light	Li <i>et al.</i> 2008 <sup>103</sup>
N3-dye sensitized/ Cu(0.5wt%)/Fe(0.5wt%)/TiO <sub>2</sub>	H <sub>2</sub> O	Concentrated sunlight at ~25 mW cm <sup>-2</sup> and artificial light at 225 mW cm <sup>-2</sup> (320-500 nm)	Temperature: 348 K	216 mL, continuous circulating optical fiber reactor	Artificial light: C <sub>2</sub> H <sub>4</sub> (0.562 $\mu\text{mol h}^{-1} \text{g}^{-1}$ ) CH <sub>4</sub> (0.847) Natural sunlight: CH <sub>4</sub> (0.617)	conditions where as ethylene remains fairly constant, no ethylene detected under natural concentrated light	Nguyen <i>et al.</i> 2008 <sup>104</sup>
Nitrogen doped nanotubular films of Pt/TiO <sub>2</sub> Cu/TiO <sub>2</sub>	H <sub>2</sub> O	Sunlight, measured between 75-102 mW cm <sup>-2</sup>	Temperature: 317 ± 4 K Pressure: 0.11 MPa	Gas-solid, 7.5 and 8.6 mL stainless steel reactor with quartz window	H <sub>2</sub> , CO, CH <sub>4</sub> , other alkanes, olfin, and branched paraffin, hydrocarbon rate ~160 $\mu\text{L h}^{-1} \text{g}^{-1}$	Nanotube length, crystalline, and cocatalyst play a role in the production rates hydrocarbons, Pt cocatalyst plays a role in H <sub>2</sub> /CO selectivity	Varghese <i>et al.</i> 2009 <sup>105</sup>

**Table 5:** Reduction potentials (vs. NHE) of some reactions involved in CO<sub>2</sub> reduction at pH = 7 and unit activity.

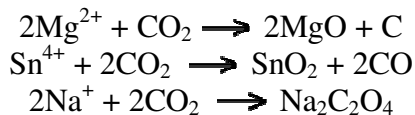
Reaction	E <sup>0</sup> , V (vs. NHE)
$2\text{H}^+ + 2\text{e}^- \rightarrow \text{H}_2$	-0.41
$\text{H}_2\text{O} + \frac{1}{2} \text{O}_2 + 2\text{H}^+ + 2\text{e}^-$	0.82
$\text{CO}_2 + \text{e}^- \rightarrow \text{CO}_2^{\cdot-}$	-1.90
$\text{CO}_2 + \text{H}^+ + \text{e}^- \rightarrow \text{HCO}_2^- + \text{H}_2\text{O}$	-0.49
$\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \rightarrow \text{CO} + \text{H}_2\text{O}$	-0.53
$\text{CO}_2 + 4\text{H}^+ + 4\text{e}^- \rightarrow \text{HCHO} + \text{H}_2\text{O}$	-0.48
$\text{CO}_2 + 6\text{H}^+ + 6\text{e}^- \rightarrow \text{CH}_3\text{OH} + \text{H}_2\text{O}$	-0.38
$\text{CO}_2 + 8\text{H}^+ + 8\text{e}^- \rightarrow \text{CH}_4 + 2\text{H}_2\text{O}$	-0.24

**Scheme 1:** Various modes of activating CO<sub>2</sub>

Radiochemical



Chemical reduction



Thermo chemical



Photochemical



Electrochemical



Biochemical



Photo-electrochemical



Bioelectrochemical



Biophotoelectrochemical

